

# **Newcomb's Problem: One Box xor Two Boxes, Which is Rational?**

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*Are Humans Rational?*

10/28/19



# The Paradoxes: Our Coverage, & RPI Context

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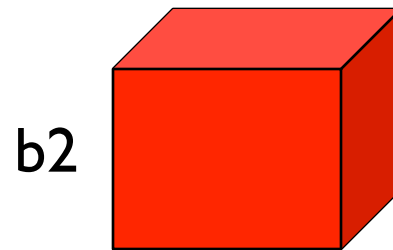
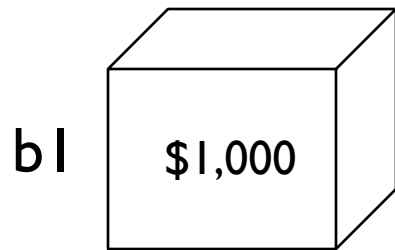
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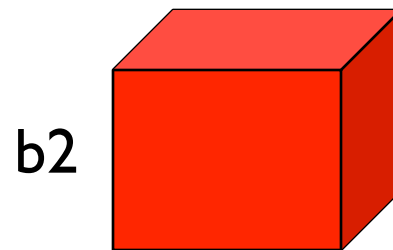
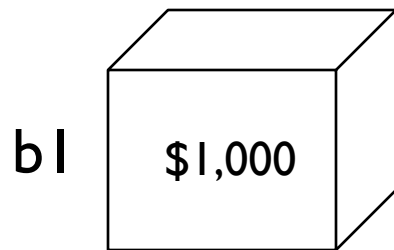
**The Paradoxes of Time Travel: Grandfather & "Looping Painter":** Painter is an active research area, sponsored by Air Force; Naveen Sundar G., S Bringsjord. Covered 11/4/19.

# The Setup ...

# Original Version

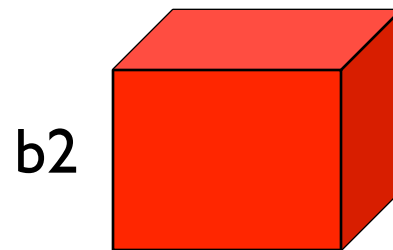
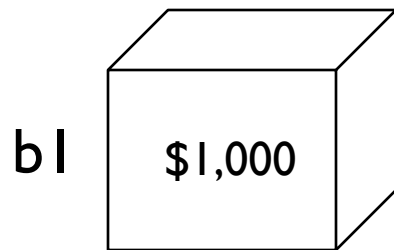


# Original Version



You face two boxes.

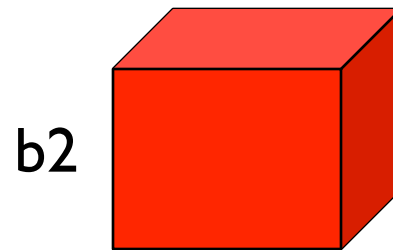
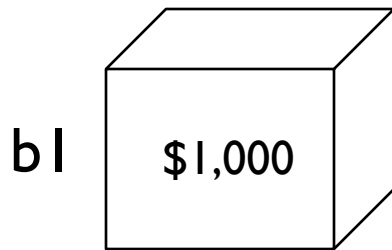
# Original Version



You face two boxes.

b1 is transparent, and contains \$1,000.

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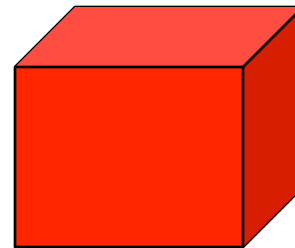
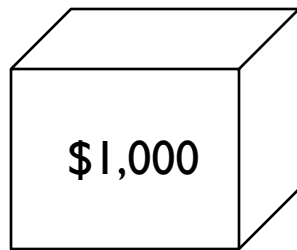


You face two boxes.

b1 is transparent, and contains \$1,000.

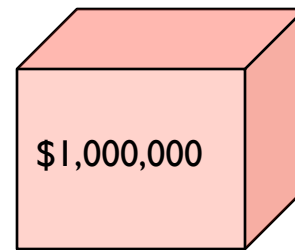
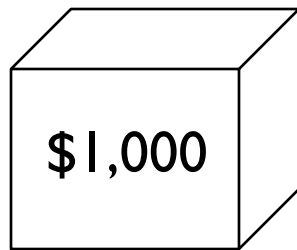
b2, on the other hand, is opaque.

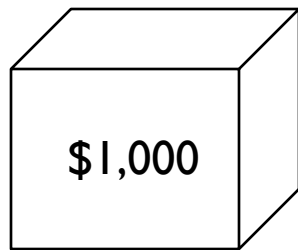
b2 either contains...



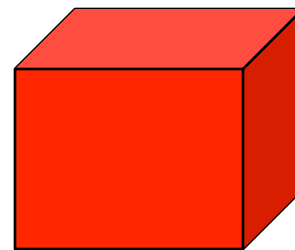


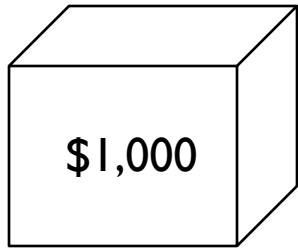
b2 either contains...



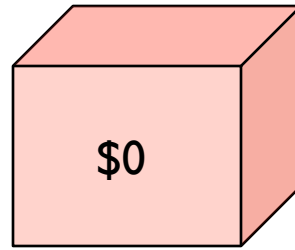


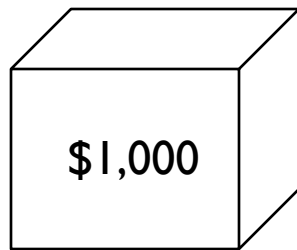
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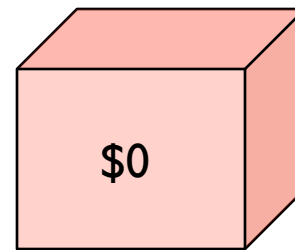


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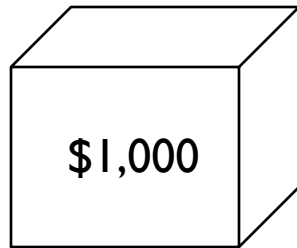


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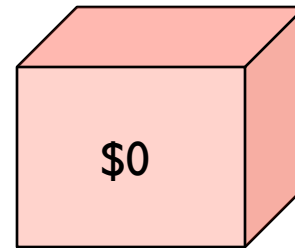


And whether or not there's \$1M  
in b2 is a 50/50 proposition.

You can either pick box b2, or b2  
*and* b1. What's rational to do?



or...



And whether or not there's \$1M  
in b2 is a 50/50 proposition.

# The Optimality Principle

When choosing between alternative actions  $a_1$  and  $a_2$ , rationality dictates choosing that action that maximizes expected value, computed by multiplying the value of each outcome that can result from each action by the probability that it will occur, adding the results together, and selecting the action associated with the higher utility.

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(This principle is taught to students in every introductory economics or decision-theory class, and is at least usually a key thing to follow in the pursuit of rational behavior.)

## Easy w/o the Predictor:

	b2 empty	b2 filled
take b1 & b2	\$1,000	\$1,001,000
take only b2	0	\$1,000,000



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# Use The Optimality Principle!

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$$\text{take b1 \& b2} = 1(1000) + .5(1,000,000) = 1000 + 500,000 = 501,000$$

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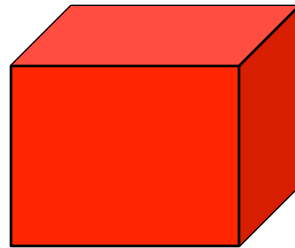
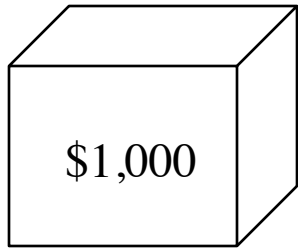
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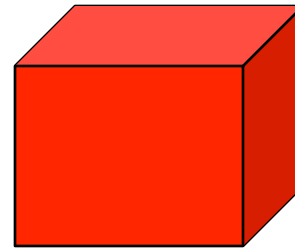
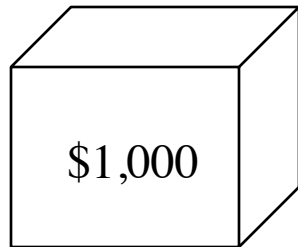
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$$\text{take only b2} = 1(0) + .5(1,000,000) = 0 + 500,000 = 500,000$$

$$\sum_{i=1}^n p_i \times u(O_i^a)$$





The catch is that a being with preternaturally accurate powers of prediction (on the basis, say, of brain scans), scanned your brain before your decision point, and if he predicted that you would take both boxes, he left b2 empty, while if he predicted you'd take only b2, he put the \$1,000,000 in it. ('Preternatural accuracy' can be unpacked by statistical facts as stupendous as you wish. E.g., the being can be batting 1000 in previous predictions about future human actions.)

So where's the paradox? ...



So where's the paradox? ...

# Argument #1 (Max R)

The super-being is super because in the past he has proved to be nearly invariably correct in his predictions about what humans are going to do. So it's highly probable that his prediction is going to be correct in my case. Given this, if I take b2, it's exceedingly likely that he will have predicted that I would do so, and it is thus highly likely that I will thus receive \$1,000,000. On the other hand, if I take both b1 and b2, it is almost certain that he will once again have predicted that that is what I would do, and hence I will receive only \$1,000, and I won't get rich. So, by the Optimality Principle I should take b2!

# Different Calculation (e.g.) Based on the Optimality Principle

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# Different Calculation (e.g.) Based on the Optimality Principle

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$$\sum_{i=1}^n p_i \times u(O_i^a)$$

# The Dominance Principle

If in some case  $S$  your doing action  $a_1$  rather than action  $a_2$  will secure a larger payoff, and if in case  $\sim S$  your doing  $a_1$  rather than  $a_2$  will likewise secure a larger payoff, you should do  $a_1$  regardless of whether or not  $S$  holds.

# Argument #2

The prediction has been made (a week ago, a month ago, then years ago, ...), and what's in the boxes before me isn't going to change. So, either it's just \$1,000 in b1 (Case 1), or that plus \$1,000,000 in b2 (Case 2). Either way, if I take both boxes I will be the richer for it: If Case 1 holds, I get \$1,000 instead of nothing; if Case 2 holds, I get \$1,001,000 instead of \$1,000,000. So by the Dominance Principle I should take both boxes.





Uh oh!!

# The Setup

Moke: A drug, quite pleasurable without any negative side-effects if taken in moderation.

Moking: To take make.

The Genetic Twist: There's a hidden gene, M-G, present in many people, which causes a desire in these people to make, and also (in separate etiology/causality) statistically predisposes these people to getting blood clots that can sometimes travel to the brain.

Uh oh:

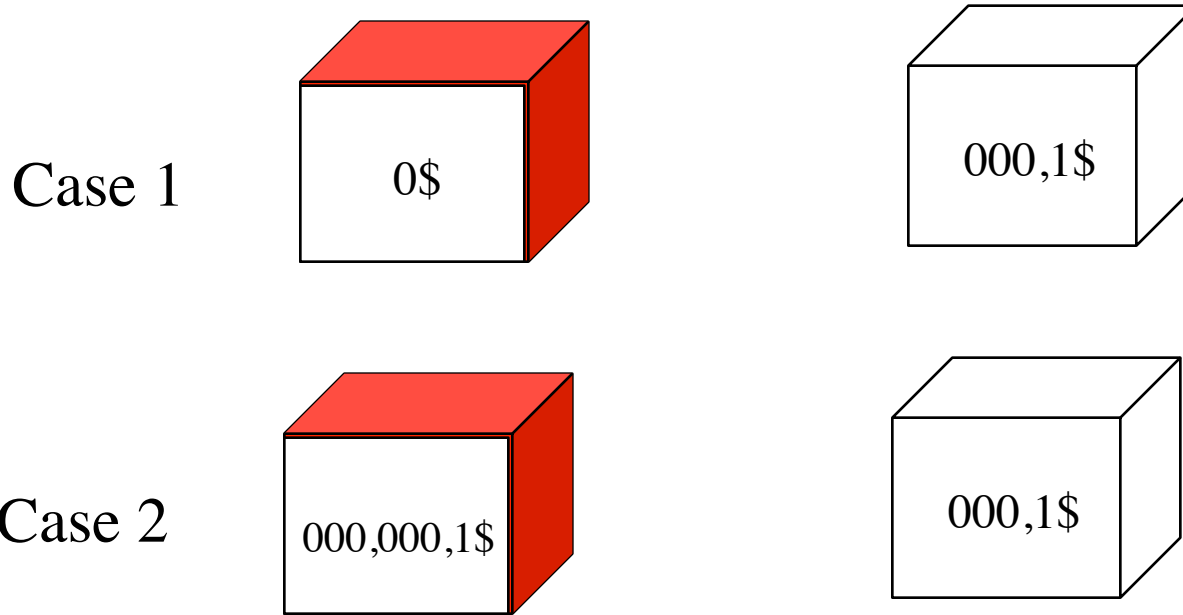
# Uh oh:

Reasoning based on the Optimality Principle implies that a rational person shouldn't smoke. But that seems stupid, since smoking doesn't *cause* blood clots, and you already have the M-G gene or not, whether or not you smoke! Since smoking is quite pleasant, you should go ahead and enjoy the activity of smoking (by the Dominance Principle).

Selmer:

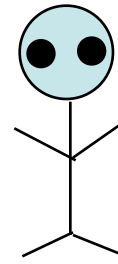
“A *third* argument rules,  
and trumps the other two...”

As Nozick points out all the way back in 1969 when introducing the Newcomb Problem to the world, suppose someone ...



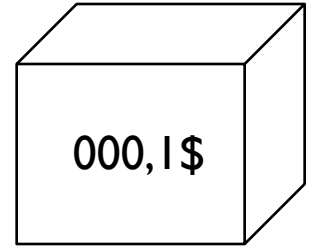
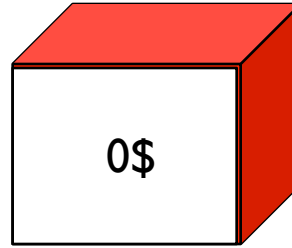
can see inside b2. Wouldn't they be (internally) shouting to you: Take both boxes?!

What to do?



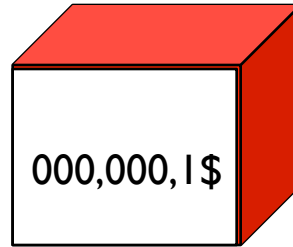
Both boxes better!

Case 1

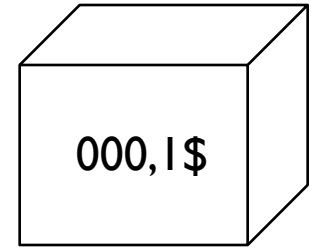
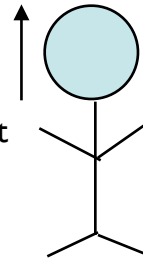


Both boxes better!

Case 2

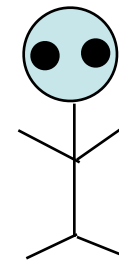


line of sight



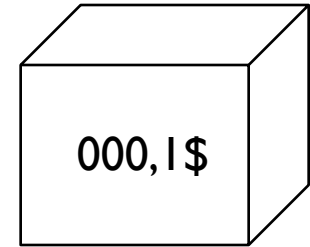
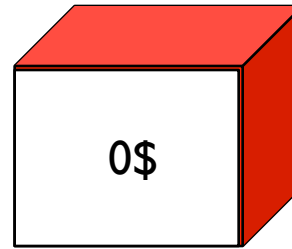


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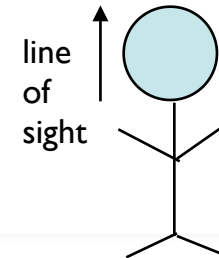
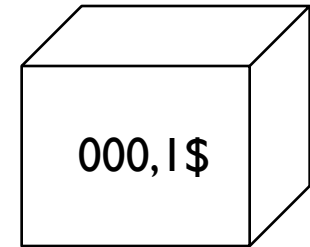
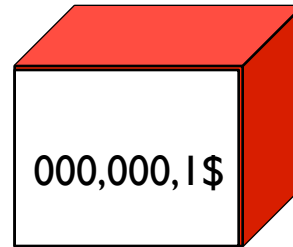
Both boxes better!

Case 1



Both boxes better!

Case 2



One ought to choose at  $t$ , from among  $n$  competing options, the one which is utility-best from the perspective of a god-like agent who knows all the relevant “occurrent” information at  $t$ , and also knows all the consequences of selecting each of the options.

# Further Reading

- A seminal paper on Newcomb's Problem appeared fairly recently in the journal *Synthese*:
  - Pollock, J. (2010) "A Resource-Bounded Agent Addresses the Newcomb Problem" *Synthese* **176.1**: 57–82.
  - This truly excellent paper, ultimately a defense of two-boxing, is available, in preprint form, at:
    - <http://johnpollock.us/ftp/PAPERS/Newcomb%20Problem.pdf>.
- Newcomb's Problem was originally introduced in 1969 by Robert Nozick. Full references are provided in Pollock's paper.