

Logicist Machine Ethics Can Save Us

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Rensselaer AI & Reasoning (RAIR) Lab
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Troy, New York 12180 USA

Are Humans Rational?
10/17/2019



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Note!

Test 2 is now on Oct 24.



The PAID Problem



The PAID Problem

$\forall x$: Agents



The PAID Problem

$\forall x : \text{Agents}$

$$\mathbf{P}owerful(x) + \mathbf{A}utonomous(x) + \mathbf{I}ntelligent(x) = \mathbf{D}angerous(x) / \mathbf{D}estroy_Us$$



The PAID Problem

$\forall x : \text{Agents}$

Powerful(x) + **A**utonomous(x) + **I**ntelligent(x) = **D**angerous(x)/

Destroy_Us





The PAID Problem

$\forall x : \text{Agents}$

$$\mathbf{P}owerful(x) + \mathbf{A}utonomous(x) + \mathbf{I}ntelligent(x) = \mathbf{D}angerous(x) / \mathbf{D}estroy_Us$$

$$u(AIA_i(\pi_j)) > \tau^+ \in \mathbb{Z} \text{ or } \tau^- \in \mathbb{Z}$$



The PAID Problem

$\forall x : \text{Agents}$

$$\mathbf{P}owerful(x) + \mathbf{A}utonomous(x) + \mathbf{I}ntelligent(x) = \mathbf{D}angerous(x) / \mathbf{D}estroy_Us$$



Are Autonomous-and-Creative Machines Intrinsically Untrustworthy?*

Selmer Bringsjord • Naveen Sundar G.

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 Troy NY 12180 USA

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Abstract

Given what we find in the case of human cognition, the following principle appears to be quite plausible: An artificial agent that is both autonomous (A) and creative (C) will tend to be, from the viewpoint of a rational, fully informed agent, (U) untrustworthy. After briefly explaining the intuitive, internal structure of this disturbing principle, in the context of the human sphere, we provide a more formal rendition of it designed to apply to the realm of intelligent artificial agents. The more-formal version makes use of some of the basic structures available in one of our cognitive-event calculi, and can be expressed as a (confessedly — for reasons explained — naïve) theorem. We prove the theorem, and provide simple demonstrations of it in action, using a novel theorem prover (ShadowProver). We then end by pointing toward some future defensive engineering measures that should be taken in light of the theorem.

Contents

1	Introduction	1
2	The Distressing Principle, Intuitively Put	1
3	The Distressing Principle, More Formally Put	2
3.1	The Ideal-Observer Point of View	2
3.2	Theory-of-Mind-Creativity	3
3.3	Autonomy	4
3.4	The Deontic Cognitive Event Calculus (D ² C ² C)	5



The PAID Problem

$\forall x : \text{Agents}$

$$\mathbf{P}owerful(x) + \mathbf{A}utonomous(x) + \mathbf{I}ntelligent(x) = \mathbf{D}angerous(x) / \mathbf{D}estroy_Us$$

$$u(AIA_i(\pi_j)) > \tau^+ \in \mathbb{Z} \text{ or } \tau^- \in \mathbb{Z}$$



The PAID Problem

$\forall x : \text{Agents}$

$$\mathbf{P}owerful(x) + \mathbf{A}utonomous(x) + \mathbf{I}ntelligent(x) = \mathbf{D}angerous(x) / \mathbf{D}estroy_Us$$

$$u(AIA_i(\pi_j)) > \tau^+ \in \mathbb{Z} \text{ or } \tau^- \in \mathbb{Z}$$

Theorem ACU: In a collaborative situation involving agents a (as the “trustor”) and a' (as the “trustee”), if a' is at once both autonomous and ToM-creative, a' is untrustworthy from an ideal-observer o ’s viewpoint, with respect to the action-goal pair $\langle \alpha, \gamma \rangle$ in question.

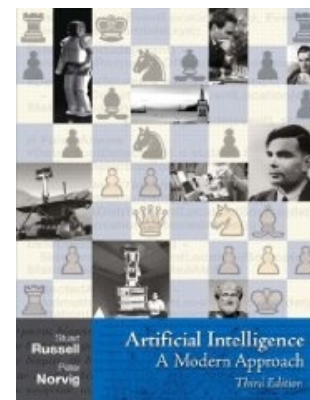
Proof: Let a and a' be agents satisfying the hypothesis of the theorem in an arbitrary collaborative situation. Then, by definition, $a \neq a'$ desires to obtain some goal γ in part by way of a contributed action α_k from a' , a' knows this, and moreover a' knows that a believes that this contribution will succeed. Since a' is by supposition ToM-creative, a' may desire to surprise a with respect to a ’s belief regarding a' ’s contribution; and because a' is autonomous, attempts to ascertain whether such surprise will come to pass are fruitless since what will happen is locked inaccessibly in the oracle that decides the case. Hence it follows by TRANS that an ideal observer o will regard a' to be untrustworthy with respect to the pair $\langle \alpha, \gamma \rangle$ pair. **QED**

“We’re in *very* deep trouble.”

“We’re in *very* deep trouble.”



“We’re in *very* deep trouble.”



Unfortunately, not quite as easy as
this to use logic to save the day ...

Logic Thwarts Landru!



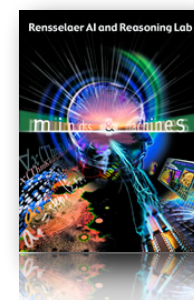
First Suspicion That It's a Mere Computer Running the Show



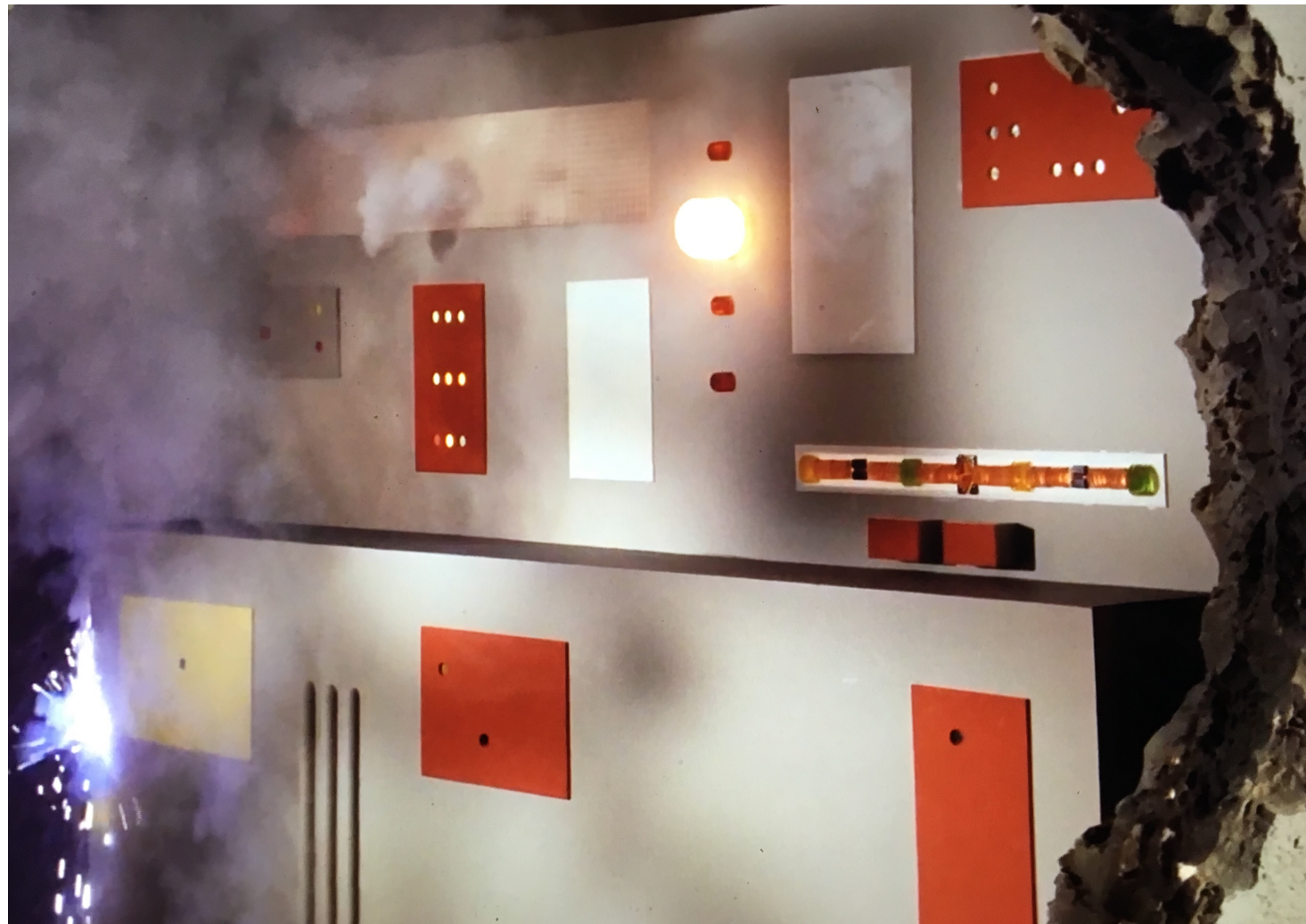
Logic Thwarts Landru!



Landru is Indeed Merely a Computer
(the real Landru having done the programming)



Logic Thwarts Landru!



Landru Kills Himself Because Kirk/Spock Argue He Has Violated the Prime Directive for Good by Denying Creativity to Others



Logic Thwarts Nomad!

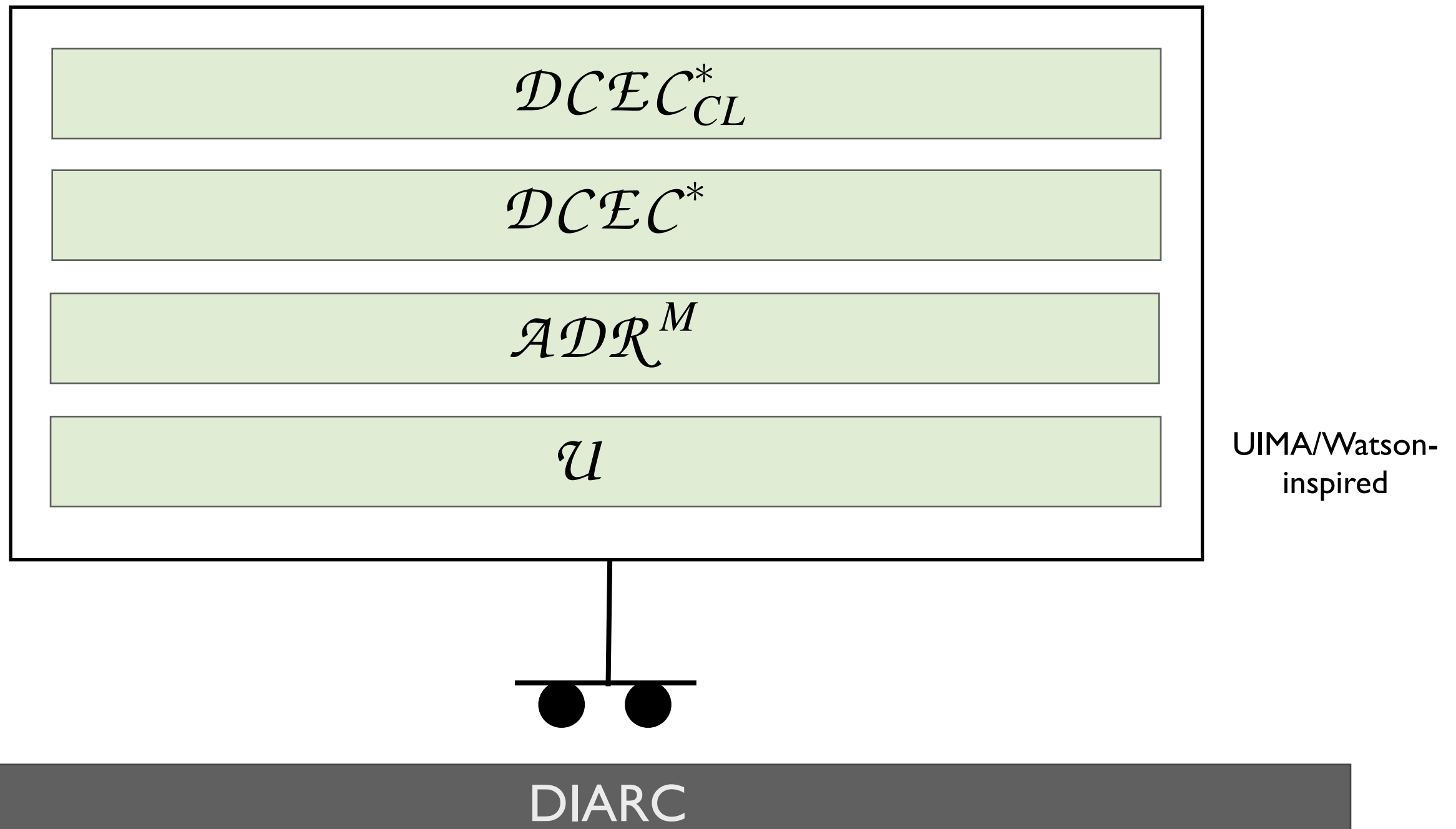
(with the Liar Paradox)



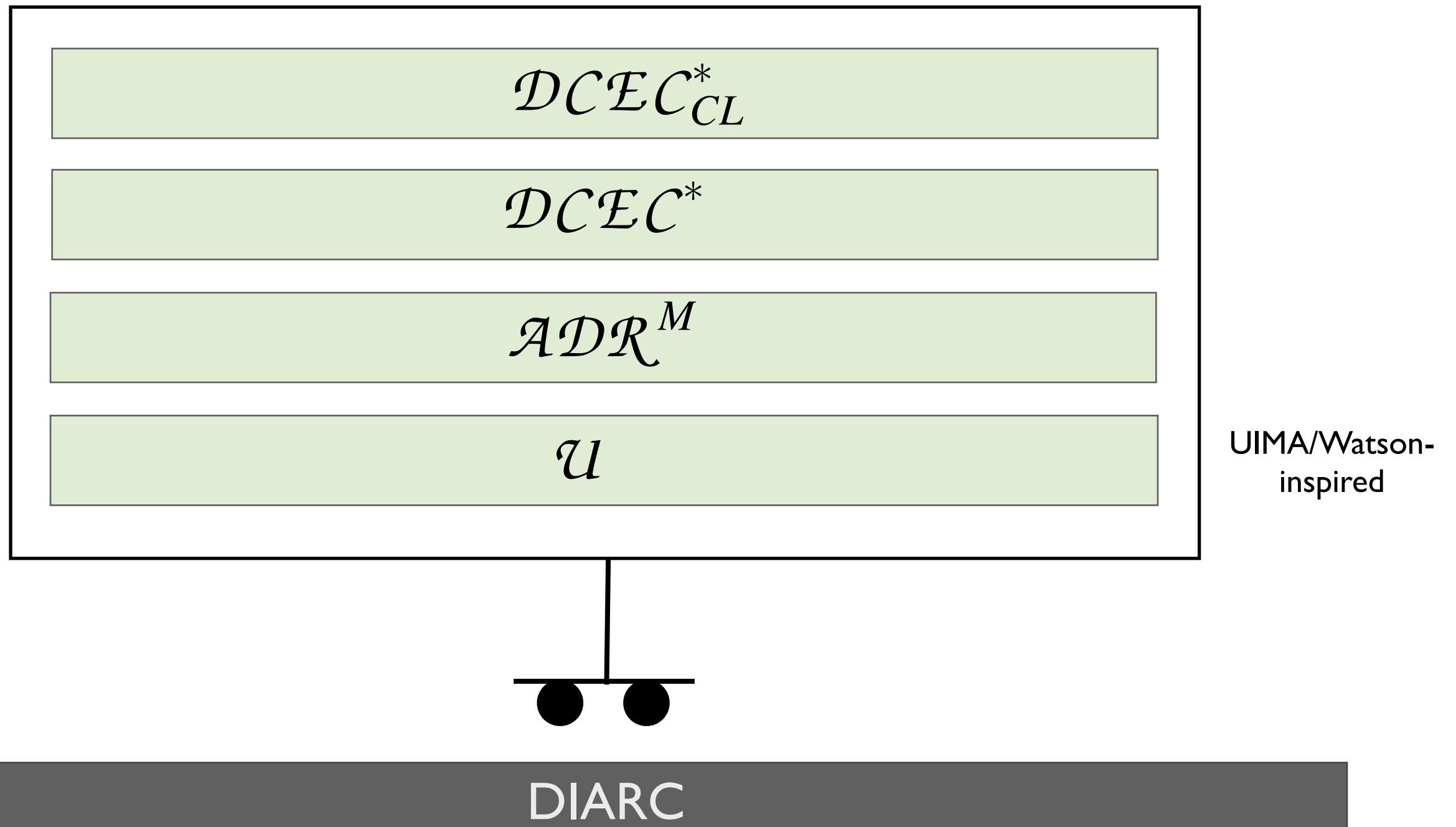
I.

Cognitive Calculi ...

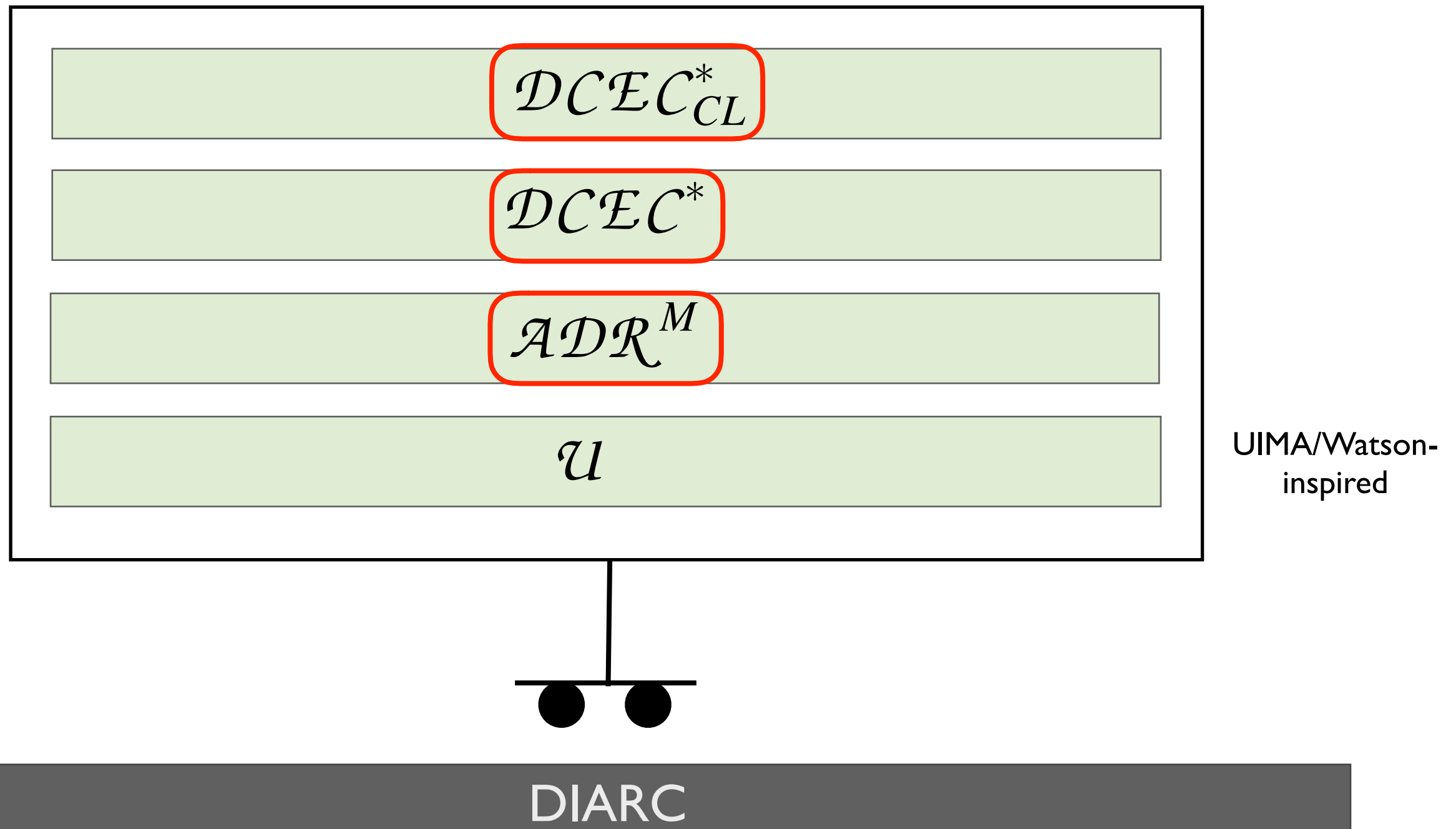
Hierarchy of Ethical Reasoning



Hierarchy of Ethical Reasoning

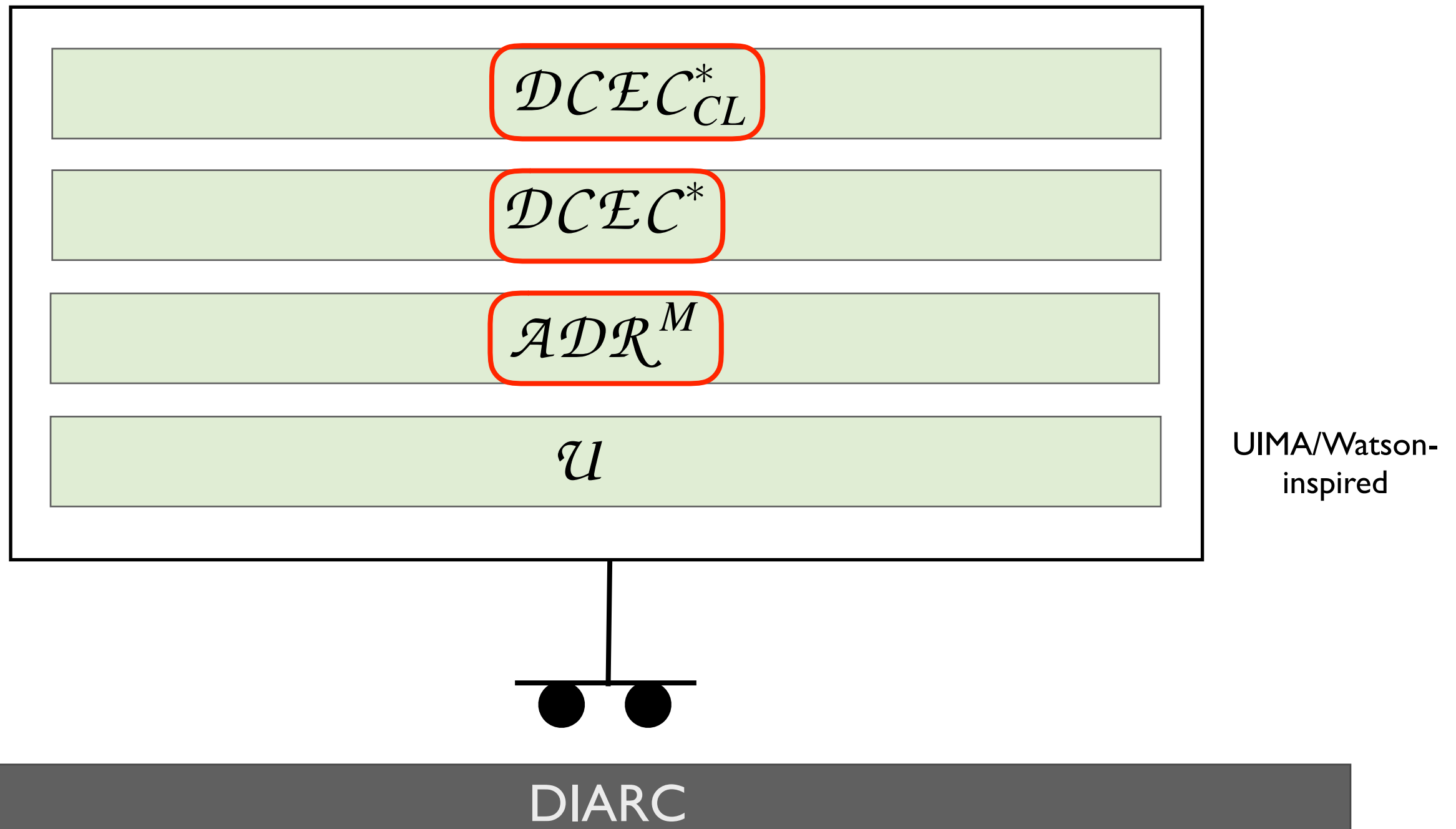


Hierarchy of Ethical Reasoning



Hierarchy of Ethical Reasoning

Not paradox-prone deontic logics!



$\mathcal{DC}\mathcal{EC}^*$ [illegible]A black and white portrait of Isaac Newton, showing him from the chest up. He has long, dark, curly hair and is wearing a dark coat over a white shirt with a high collar. He is looking slightly to the right of the viewer.

1.5 centuries < Boole!
2.5 centuries < Kripke



2019

R A I R
Rensselaer AI and Reasoning Lab

“Sorry, not impressed.”

Selmer Bringsjord

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Turin Italy


$$\int$$

II.

Early Progress With Our Calculi:
Simple Dilemmas;
Non-Akratic Robots

NewScientist

Ethical robots save humans

NewScientist

Ethical robots save humans





Informal Definition of Akrasia

Informal Definition of Akrasia



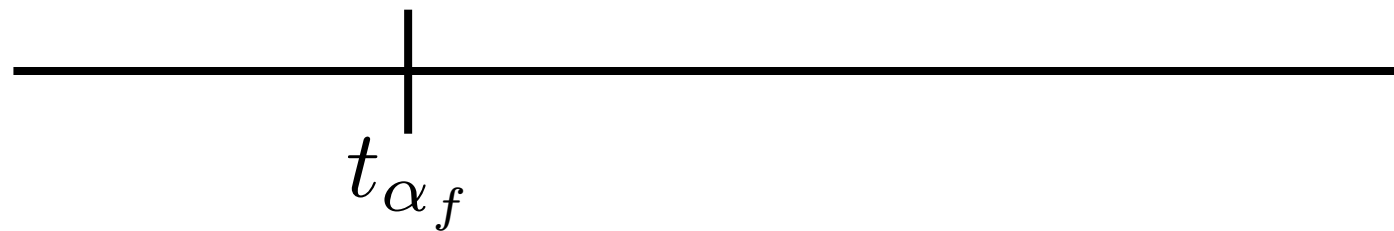
Informal Definition of Akrasia



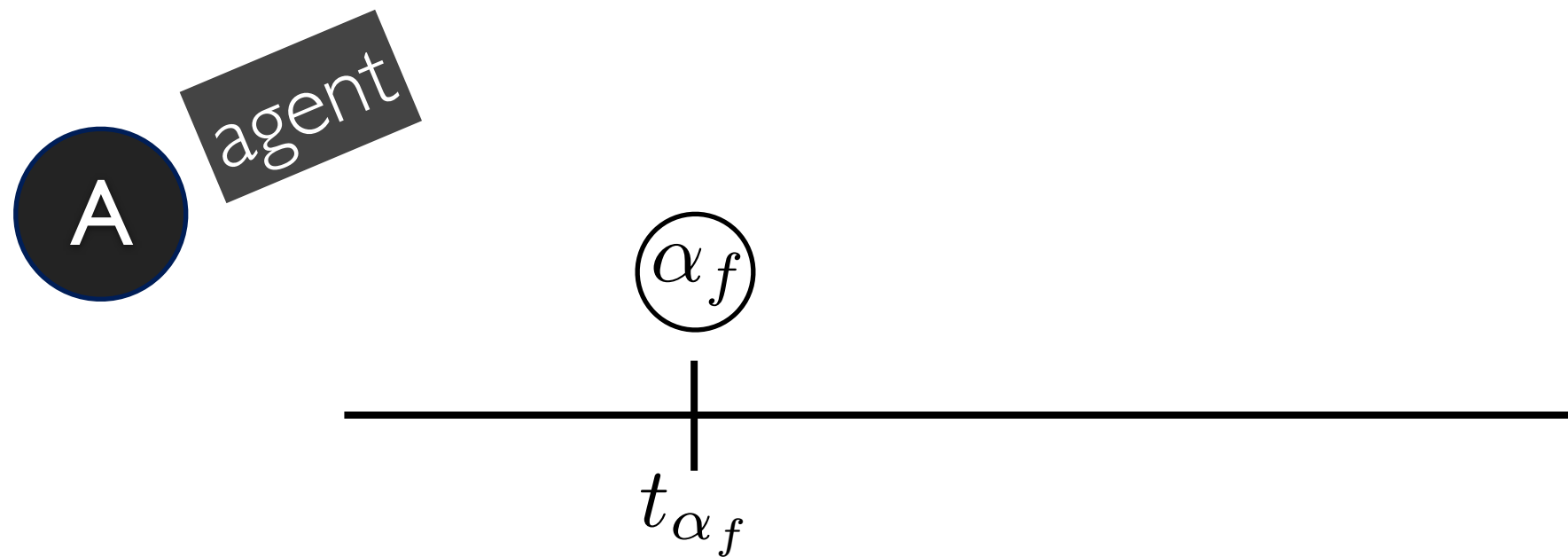
Informal Definition of Akrasia



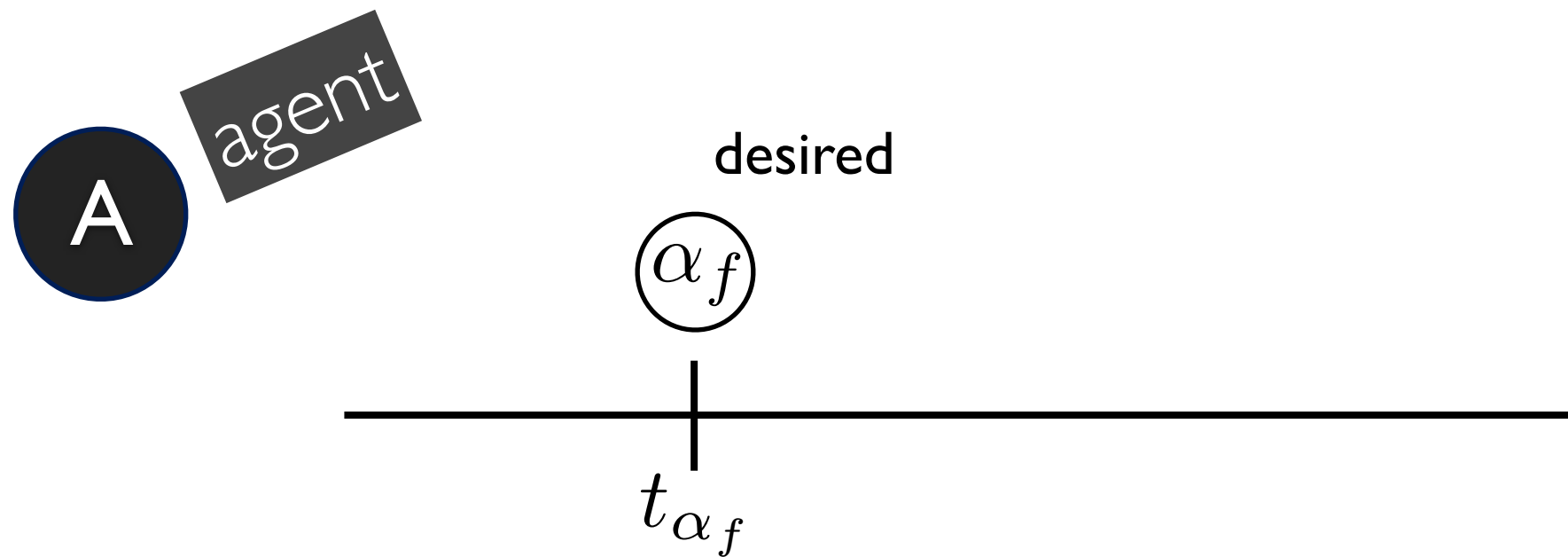
Informal Definition of Akrasia



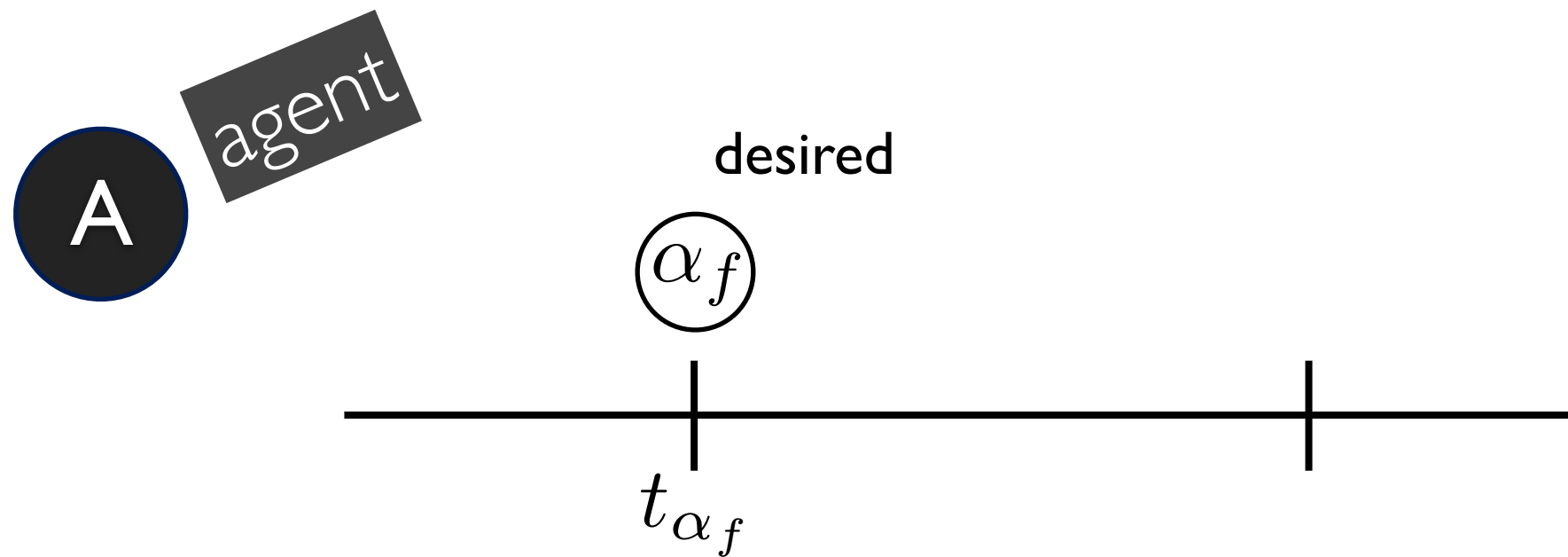
Informal Definition of Akrasia



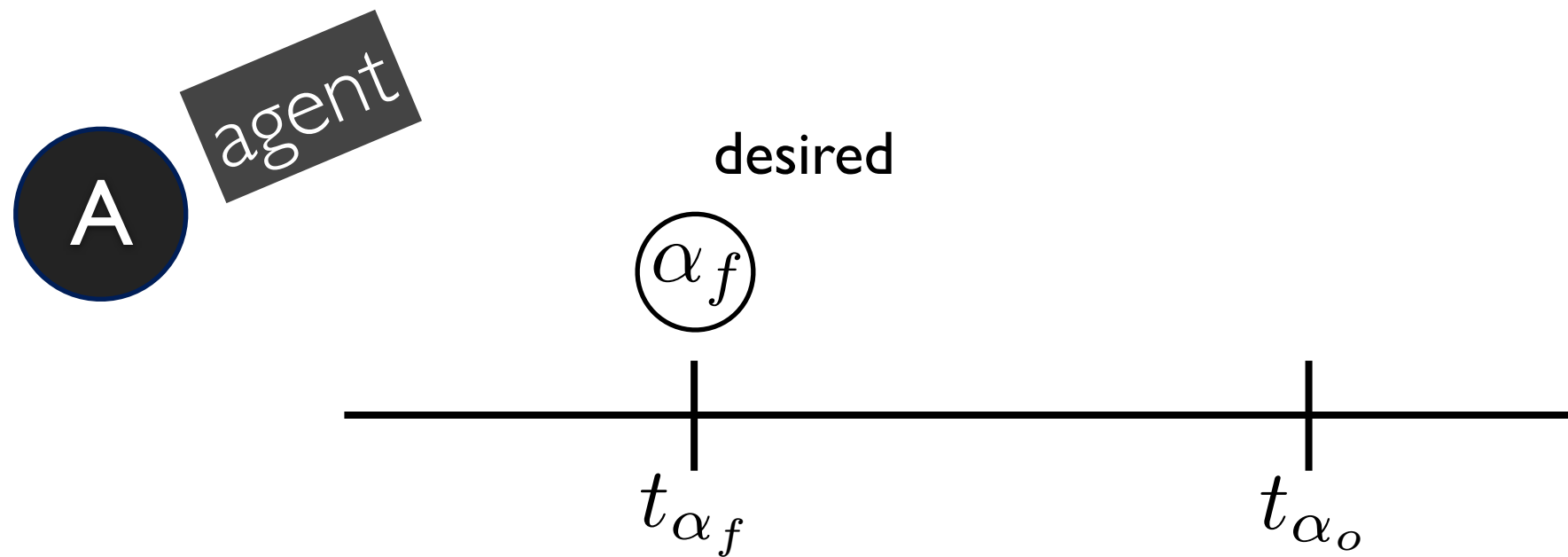
Informal Definition of Akrasia



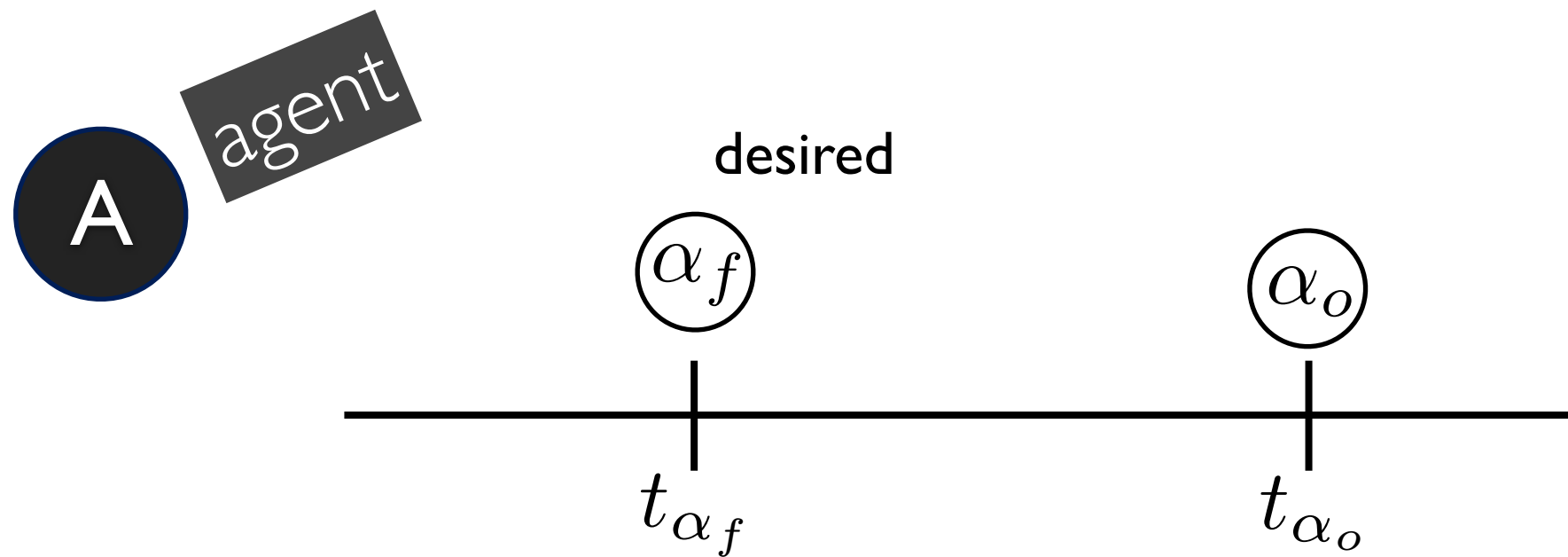
Informal Definition of Akrasia



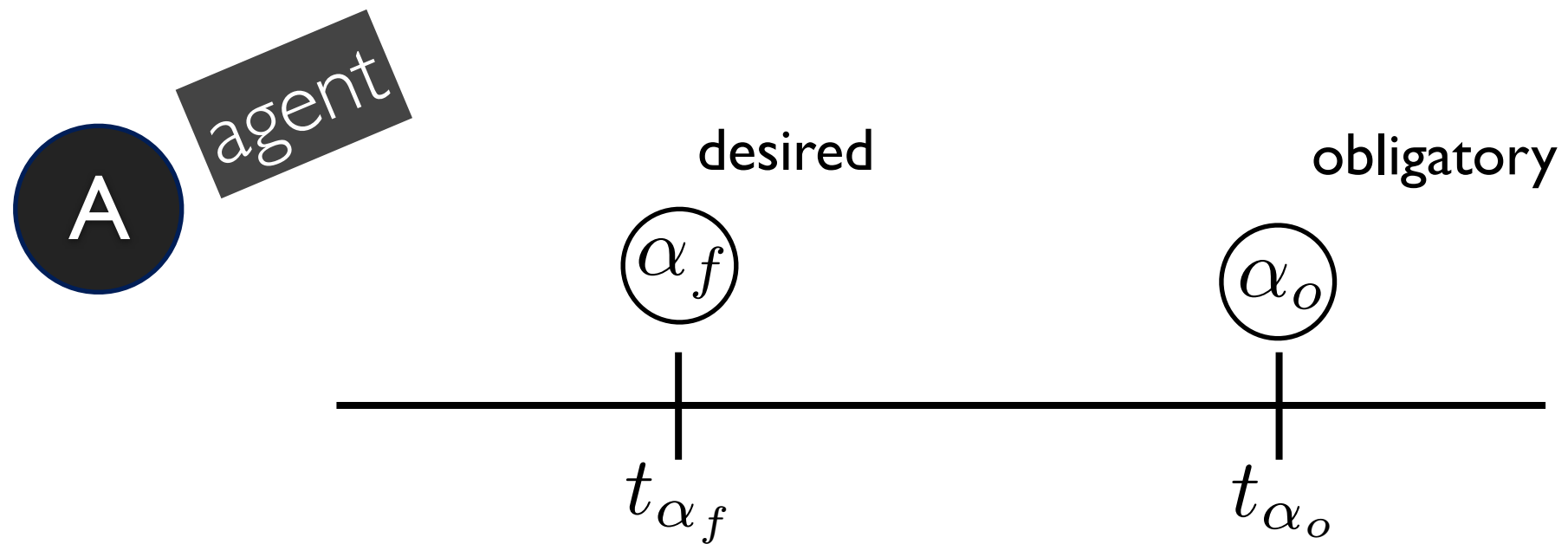
Informal Definition of Akrasia



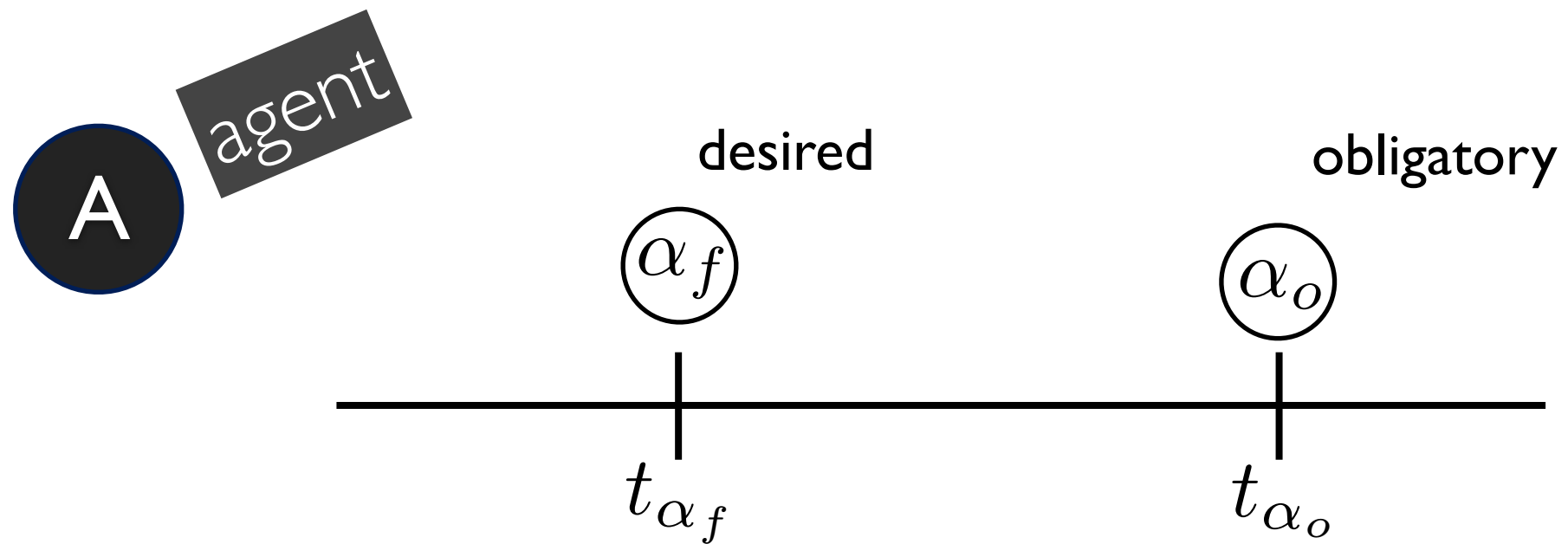
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Informal Definition of Akrasia

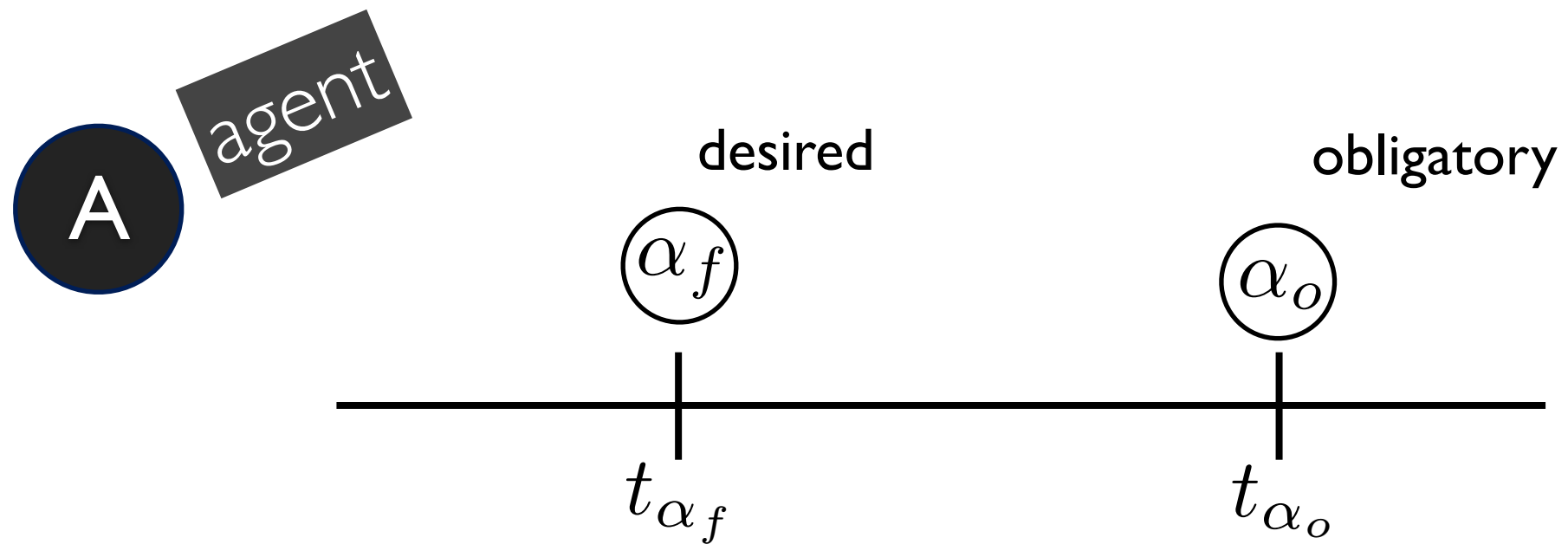


Informal Definition of Akrasia



If α_f happens, then α_o can't happen

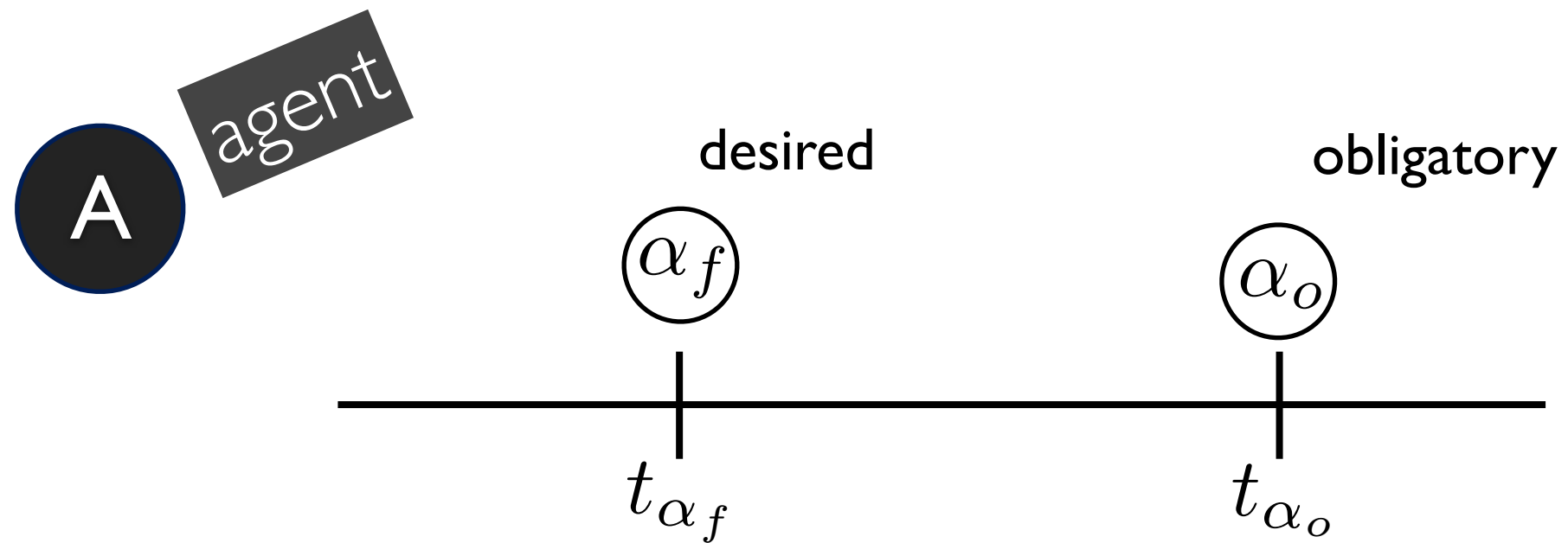
Informal Definition of Akrasia



If α_f happens, then α_o can't happen



Informal Definition of Akrasia



If α_f happens, then α_o can't happen

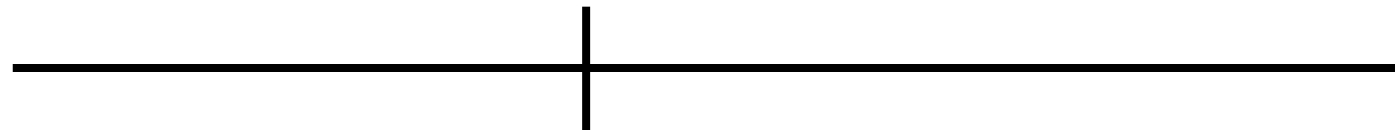
A knows this

Informal Definition of Akrasia

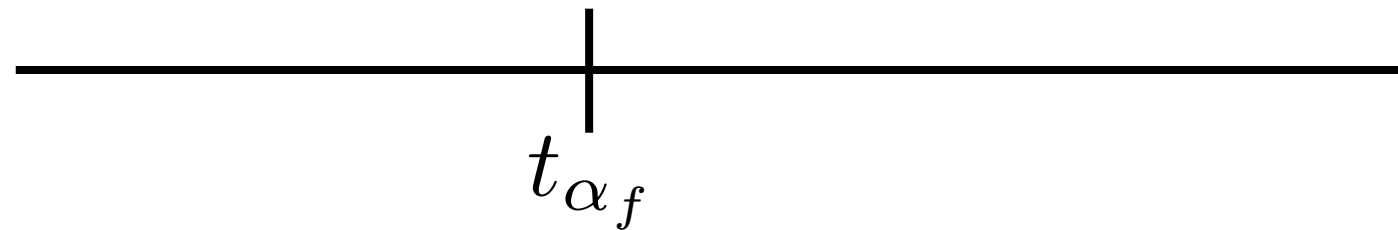
Informal Definition of Akrasia



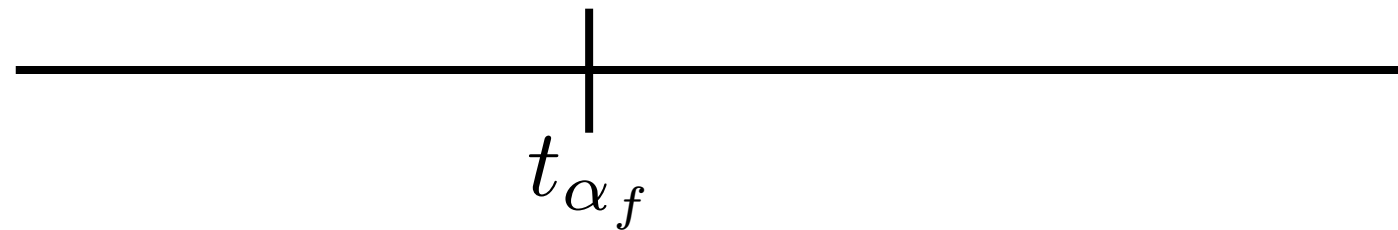
Informal Definition of Akrasia



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Informal Definition of Akrasia



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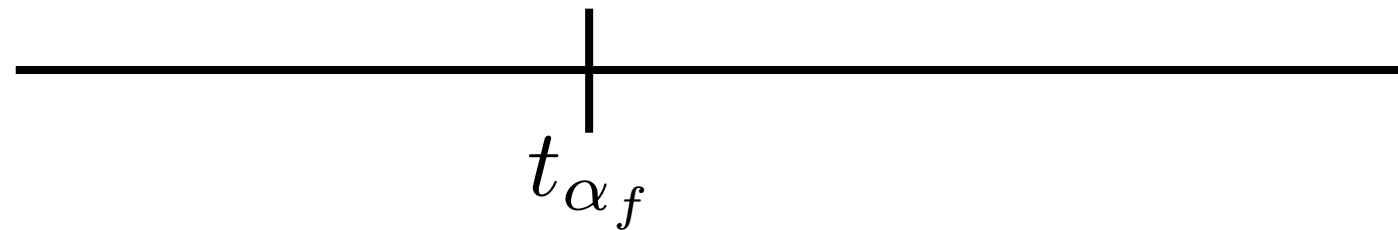
Desire to do (α_f)

A

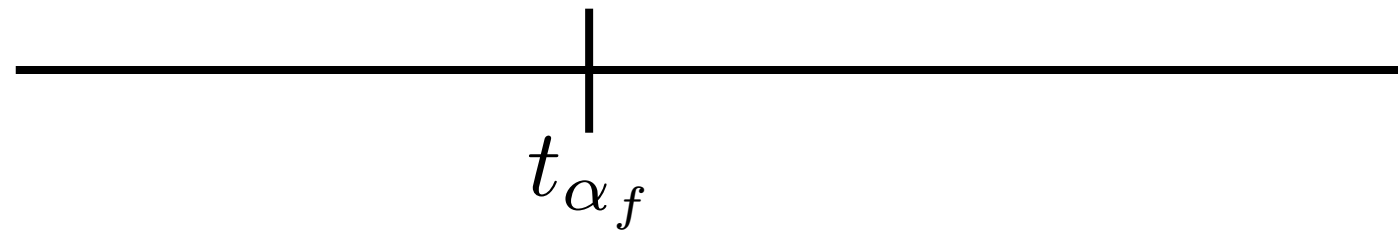
t_{α_f}

Informal Definition of Akrasia

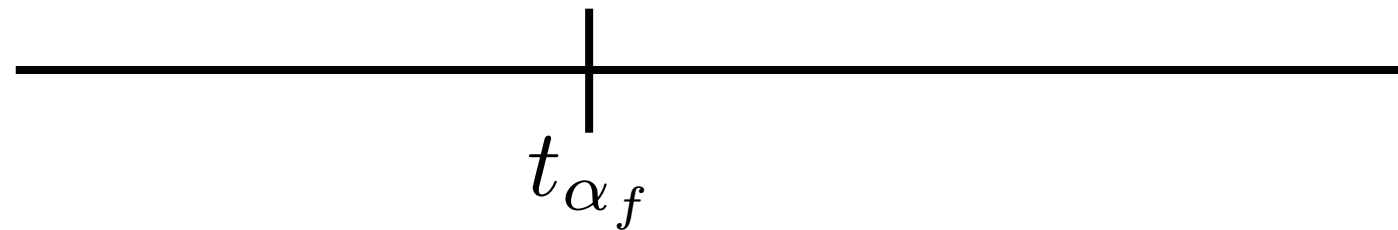
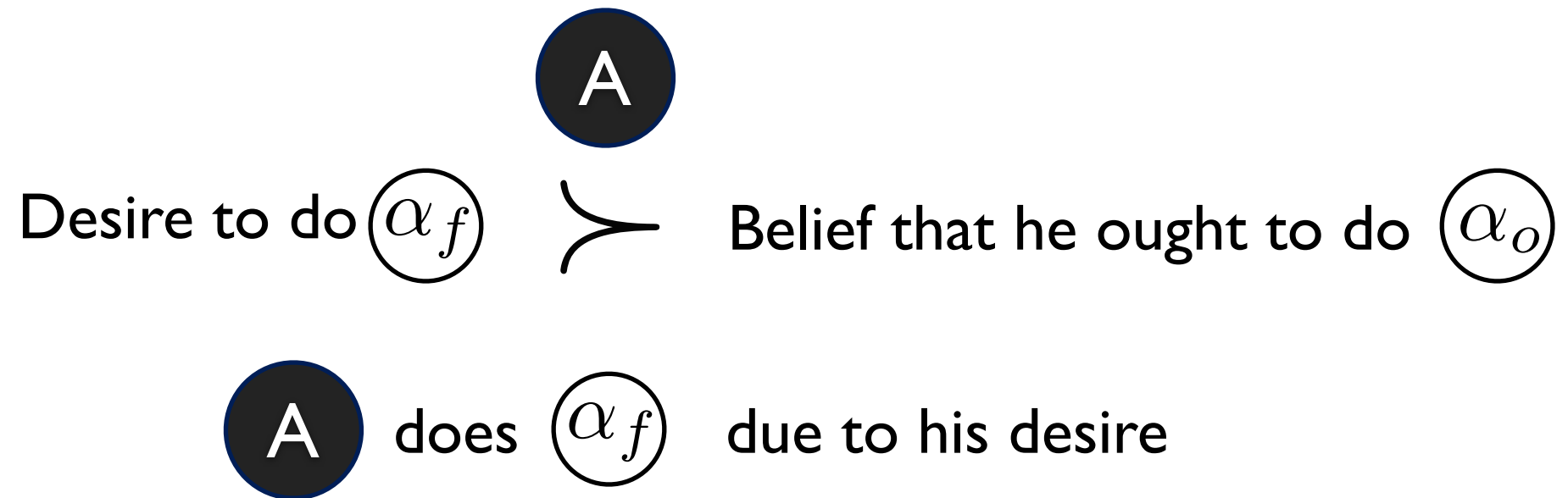
Desire to do (α_f) \succ \textcircled{A}



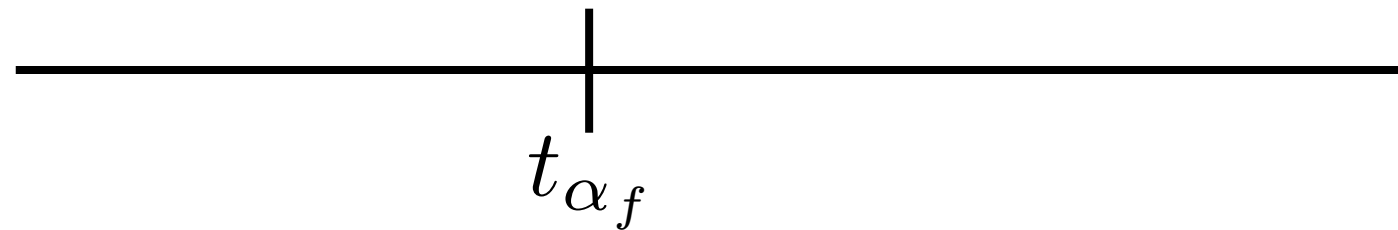
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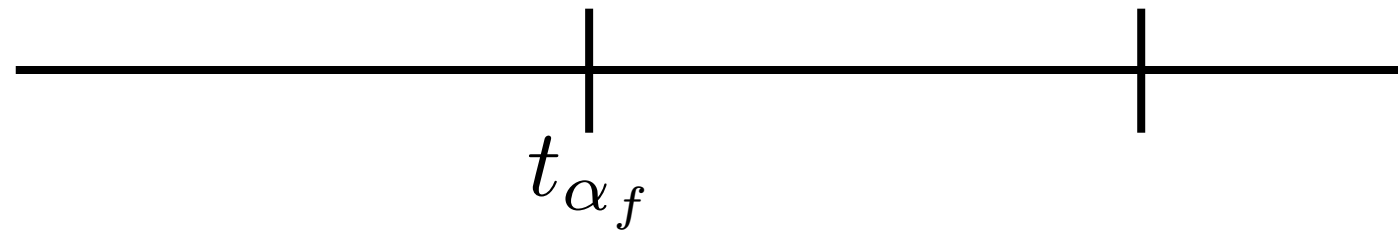
Informal Definition of Akrasia



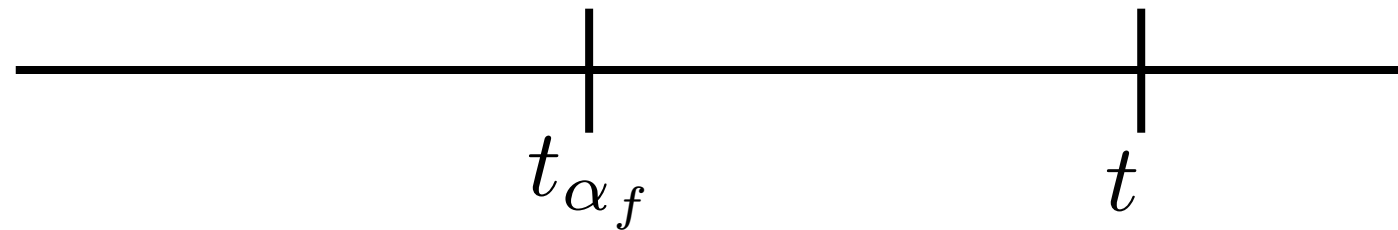
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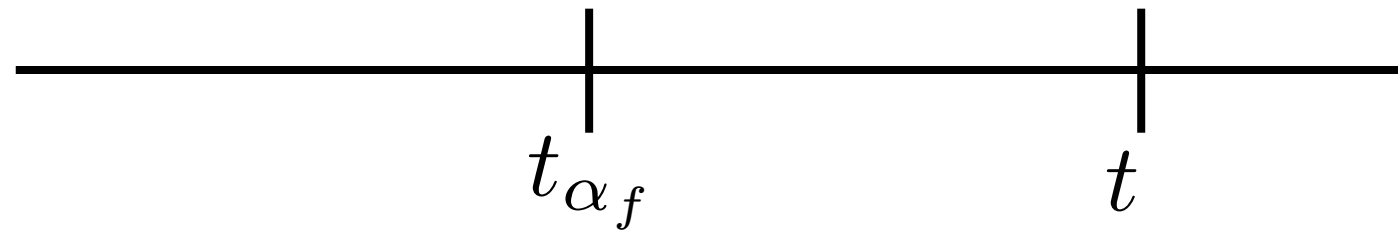


Informal Definition of Akrasia

 Desire to do (α_f) \succ Belief that he ought to do (α_o)

 does (α_f) due to his desire

 believes he should have done (α_o)



Informal Definition of Akrasia

An action α_f is (Augustinian) akratic for an agent A at t_{α_f} iff the following eight conditions hold:

- (1) A believes that A ought to do α_o at t_{α_o} ;
- (2) A desires to do α_f at t_{α_f} ;
- (3) A 's doing α_f at t_{α_f} entails his not doing α_o at t_{α_o} ;
- (4) A knows that doing α_f at t_{α_f} entails his not doing α_o at t_{α_o} ;
- (5) At the time (t_{α_f}) of doing the forbidden α_f , A 's desire to do α_f overrides A 's belief that he ought to do α_o at t_{α_o} .
- (6) A does the forbidden action α_f at t_{α_f} ;
- (7) A 's doing α_f results from A 's desire to do α_f ;
- (8) At some time t after t_{α_f} , A has the belief that A ought to have done α_o rather than α_f .

Informal Definition of Akrasia

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- (8) At some time t after t_{α_f} , A has the belief that A ought to have done α_o rather than α_f .

“Regret”

Cast in

\mathcal{DCEC}^*

this becomes ...

$$\text{KB}_{rs} \cup \text{KB}_{m_1} \cup \text{KB}_{m_2} \dots \text{KB}_{m_n} \vdash$$

$$D_1 : \mathbf{B}(\mathbf{l}, \text{now}, \mathbf{O}(\mathbf{l}^*, t_\alpha \Phi, \text{happens}(\text{action}(\mathbf{l}^*, \alpha), t_\alpha)))$$

$$D_2 : \mathbf{D}(\mathbf{l}, \text{now}, \text{holds}(\text{does}(\mathbf{l}^*, \bar{\alpha}), t_{\bar{\alpha}}))$$

$$D_3 : \text{happens}(\text{action}(\mathbf{l}^*, \bar{\alpha}), t_{\bar{\alpha}}) \Rightarrow \neg \text{happens}(\text{action}(\mathbf{l}^*, \alpha), t_\alpha)$$

$$D_4 : \mathbf{K}\left(\mathbf{l}, \text{now}, \left(\begin{array}{l} \text{happens}(\text{action}(\mathbf{l}^*, \bar{\alpha}), t_{\bar{\alpha}}) \Rightarrow \\ \neg \text{happens}(\text{action}(\mathbf{l}^*, \alpha), t_\alpha) \end{array} \right)\right)$$

$$D_5 : \begin{array}{l} \mathbf{I}(\mathbf{l}, t_\alpha, \text{happens}(\text{action}(\mathbf{l}^*, \bar{\alpha}), t_{\bar{\alpha}})) \wedge \\ \neg \mathbf{I}(\mathbf{l}, t_\alpha, \text{happens}(\text{action}(\mathbf{l}^*, \alpha), t_\alpha)) \end{array}$$

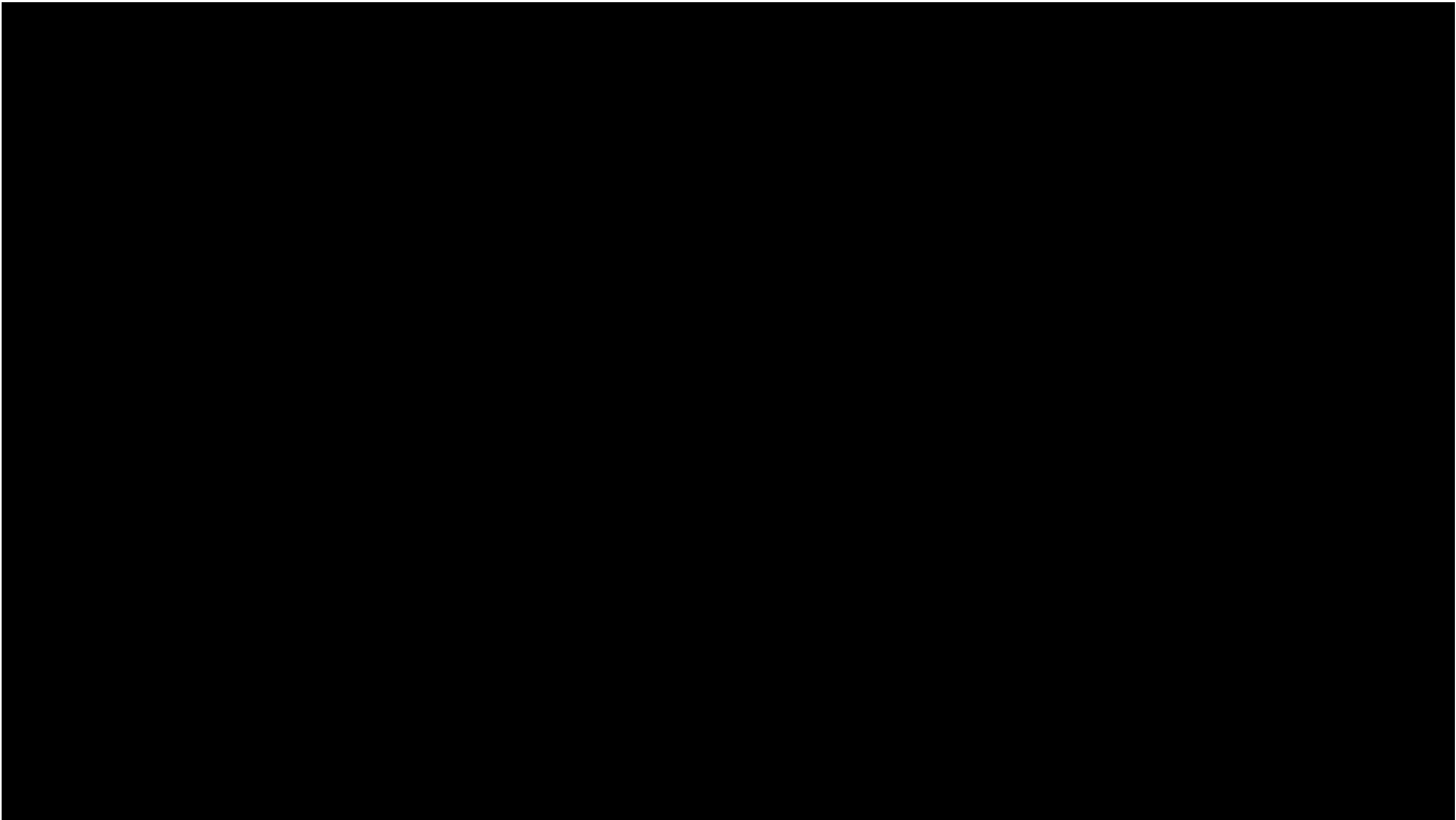
$$D_6 : \text{happens}(\text{action}(\mathbf{l}^*, \bar{\alpha}), t_{\bar{\alpha}})$$

$$D_{7a} : \begin{array}{l} \Gamma \cup \{\mathbf{D}(\mathbf{l}, \text{now}, \text{holds}(\text{does}(\mathbf{l}^*, \bar{\alpha}), t))\} \vdash \\ \text{happens}(\text{action}(\mathbf{l}^*, \bar{\alpha}), t_\alpha) \end{array}$$

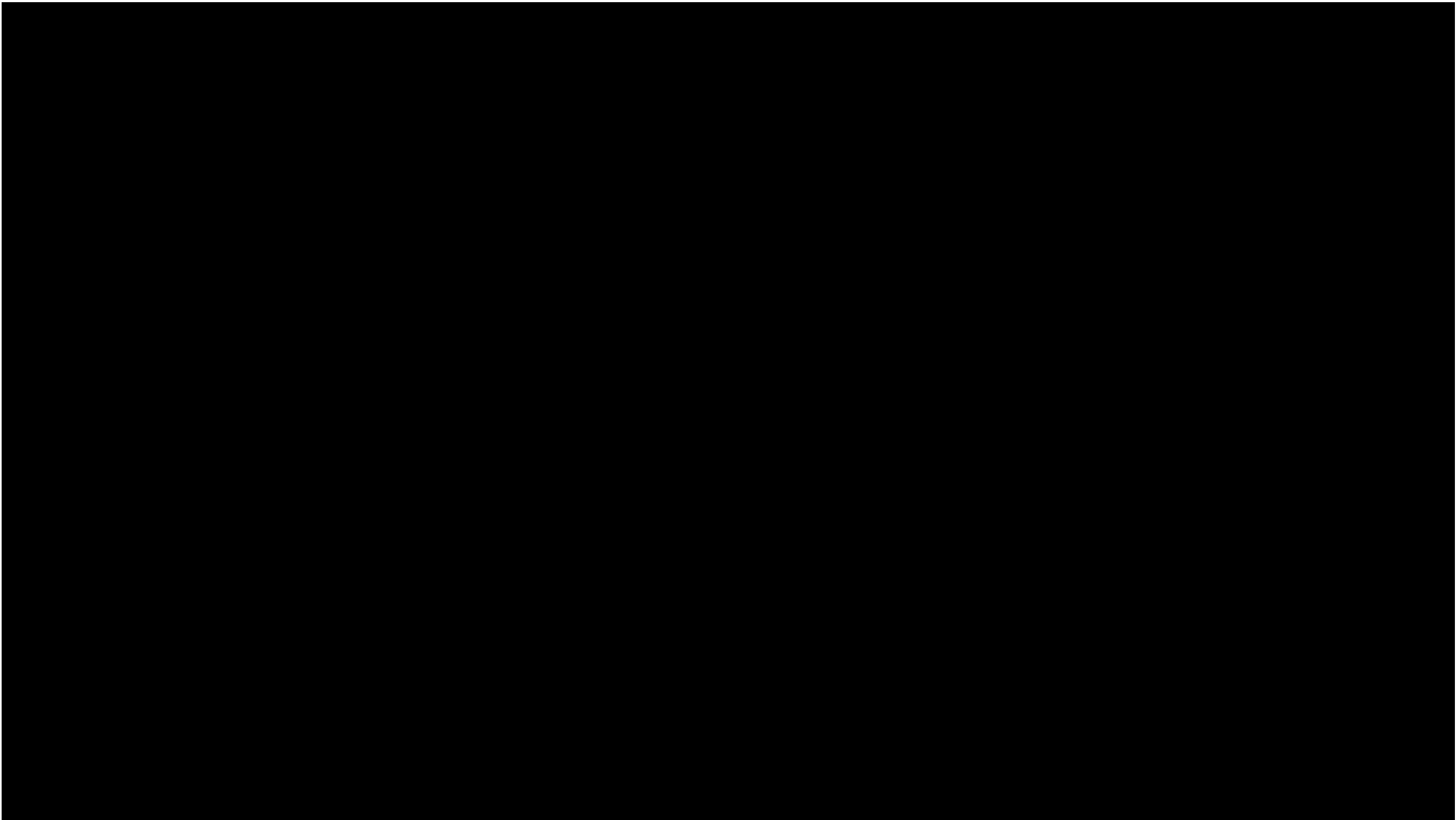
$$D_{7b} : \begin{array}{l} \Gamma - \{\mathbf{D}(\mathbf{l}, \text{now}, \text{holds}(\text{does}(\mathbf{l}^*, \bar{\alpha}), t))\} \not\vdash \\ \text{happens}(\text{action}(\mathbf{l}^*, \bar{\alpha}), t_\alpha) \end{array}$$

$$D_8 : \mathbf{B}(\mathbf{l}, t_f, \mathbf{O}(\mathbf{l}^*, t_\alpha, \Phi, \text{happens}(\text{action}(\mathbf{l}^*, \alpha), t_\alpha)))$$

Demos ...



Demos ...



III.

But, a twist befell the logicians ...

Chisholm had argued that the three old 19th-century ethical categories (*forbidden, morally neutral, obligatory*) are not enough — and soul-searching brought me to agreement.

heroic

morally
neutral

deviltry

civil

forbidden

uncivil

obligatory

Leibnizian Ethical Hierarchy for Persons and Robots:

EH



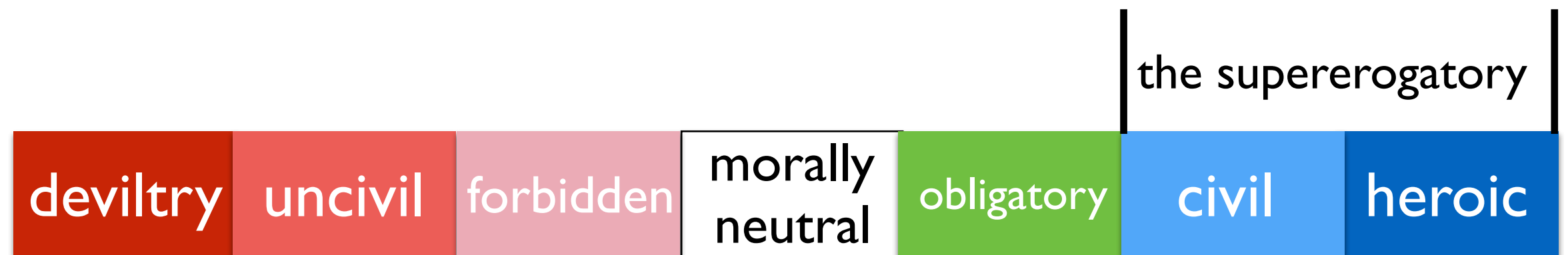
Leibnizian Ethical Hierarchy for Persons and Robots:

EH



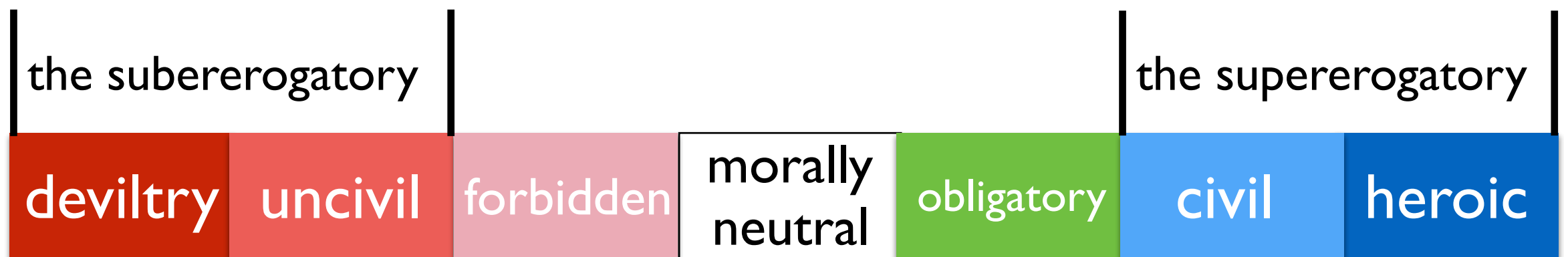
Leibnizian Ethical Hierarchy for Persons and Robots:

EH



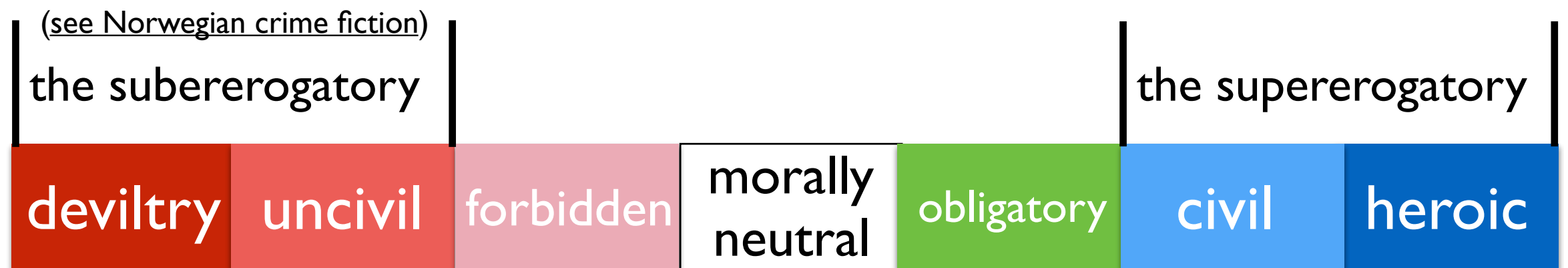
Leibnizian Ethical Hierarchy for Persons and Robots:

EH



Leibnizian Ethical Hierarchy for Persons and Robots:

EH



Leibnizian Ethical Hierarchy for Persons and Robots:

EH

19th-Century Triad

(see Norwegian crime fiction)

the subererogatory

the supererogatory

deviltry

uncivil

forbidden

morally
neutral

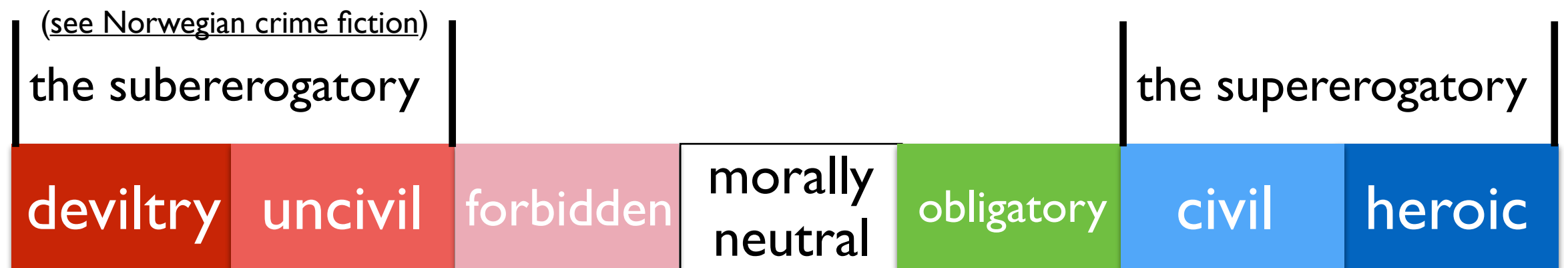
obligatory

civil

heroic

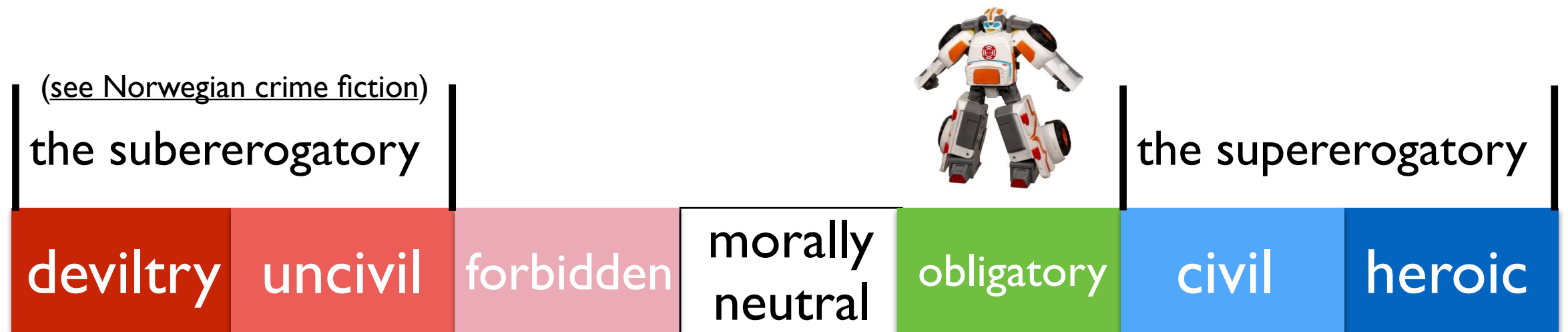
Leibnizian Ethical Hierarchy for Persons and Robots:

EH



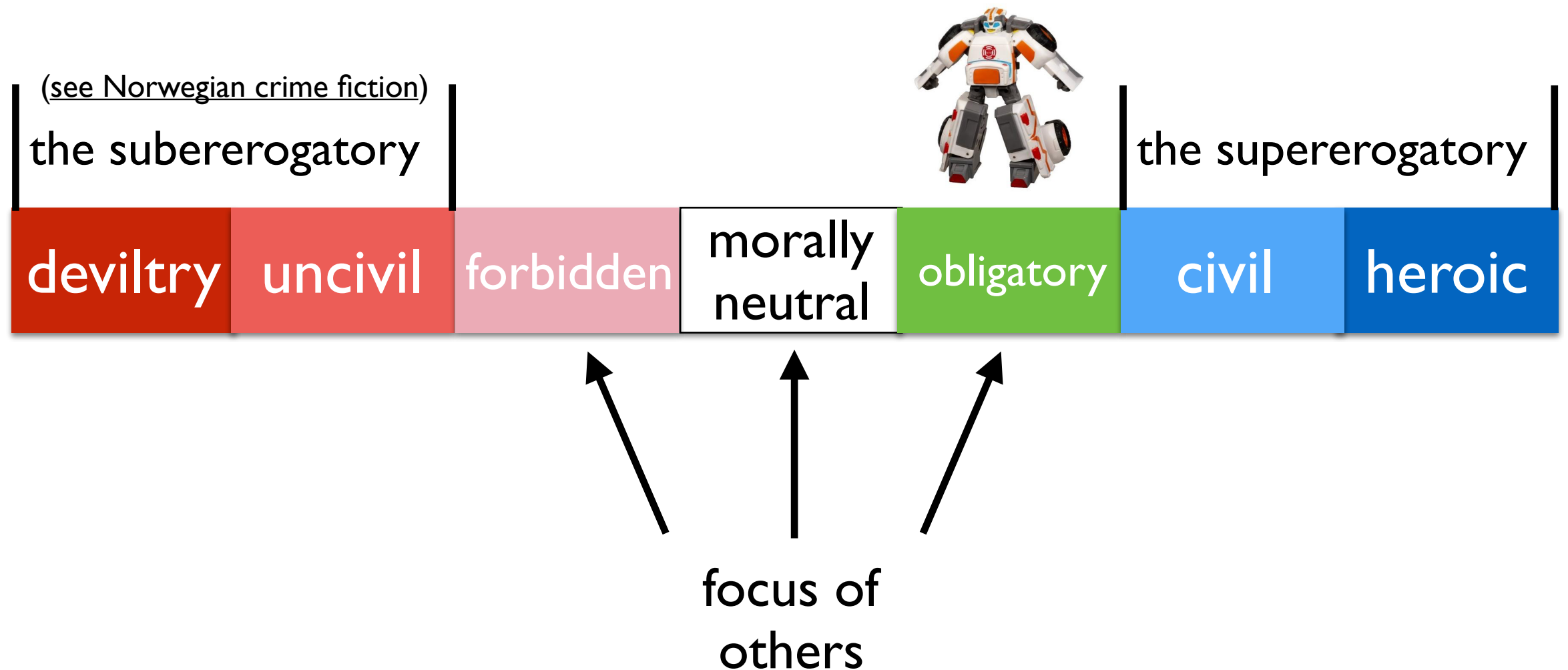
Leibnizian Ethical Hierarchy for Persons and Robots:

EH



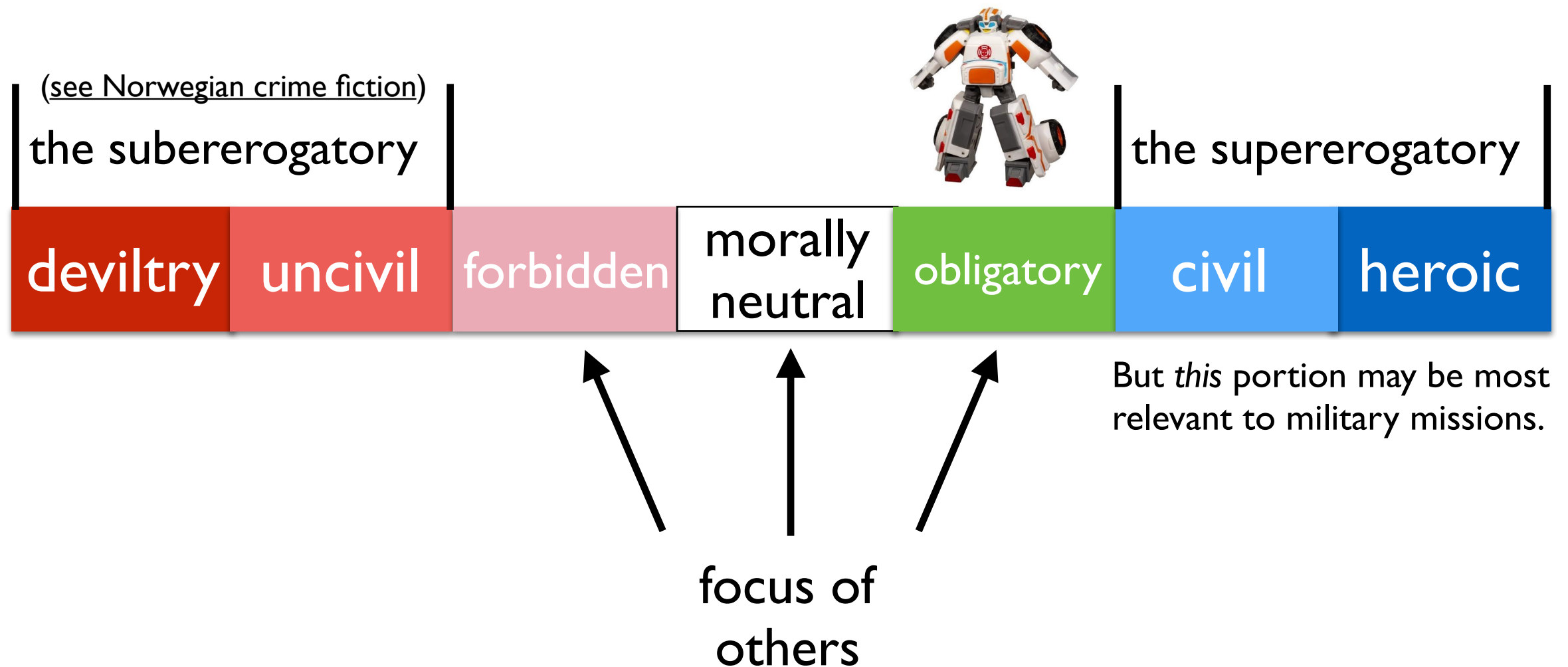
Leibnizian Ethical Hierarchy for Persons and Robots:

EH



Leibnizian Ethical Hierarchy for Persons and Robots:

EH



Bert “Heroically” Saved?



Courtesy of RAIR-Lab Researcher Atriya Sen

Bert “Heroically” Saved?



Courtesy of RAIR-Lab Researcher Atriya Sen

Supererogatory² Robot Action



Courtesy of RAIR-Lab Researcher Atriya Sen



Courtesy of RAIR-Lab Researcher Atriya Sen

Bert “Heroically” Saved!!



Courtesy of RAIR-Lab Researcher Atriya Sen

Bert “Heroically” Saved!!



Courtesy of RAIR-Lab Researcher Atriya Sen



Courtesy of RAIR-Lab Researcher Atriya Sen

$$\begin{aligned}
& K(\text{nao}, t_1, \text{lessthan}(\text{payoff}(\text{nao}^*, \neg \text{dive}, t_2), \text{threshold})) \\
& K(\text{nao}, t_1, \text{greaterthan}(\text{payoff}(\text{nao}^*, \text{dive}, t_2), \text{threshold})) \\
& K(\text{nao}, t_1, \neg O(\text{nao}^*, t_2, \text{lessthan}(\text{payoff}(\text{nao}^*, \neg \text{dive}, t_2), \text{threshold}), \text{happens}(\text{action}(\text{nao}^*, \text{dive}), t_2))) \\
& \therefore K(\text{nao}, t_1, S^{\text{UP2}}(\text{nao}, t_2, \text{happens}(\text{action}(\text{nao}^*, \text{dive}), t_2))) \\
& \therefore I(\text{nao}, t_2, \text{happens}(\text{action}(\text{nao}^*, \text{dive}), t_2)) \\
& \therefore \text{happens}(\text{action}(\text{nao}, \text{dive}), t_2)
\end{aligned}$$


Courtesy of RAIR-Lab Researcher Atriya Sen

$$\begin{aligned}
& K(\text{nao}, t_1, \text{lessthan}(\text{payoff}(\text{nao}^*, \neg \text{dive}, t_2), \text{threshold})) \\
& K(\text{nao}, t_1, \text{greaterthan}(\text{payoff}(\text{nao}^*, \text{dive}, t_2), \text{threshold})) \\
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& \therefore \text{happens}(\text{action}(\text{nao}, \text{dive}), t_2)
\end{aligned}$$


Courtesy of RAIR-Lab Researcher Atriya Sen

In Talos (available via Web interface); & ShadowProver

Prototypes:

Boolean lessThan Numeric Numeric

Boolean greaterThan Numeric Numeric

ActionType not ActionType

ActionType dive

Axioms:

lessOrEqual(Moment t1,t2)

K(nao,t1,lessThan(payoff(nao,not(dive),t2),threshold))

K(nao,t1,greaterThan(payoff(nao,dive,t2),threshold))

K(nao,t1,not(0(nao,t2,lessThan(payoff(nao,not(dive),t2),threshold),happens(action(nao,dive),t2))))

provable Conjectures:

happens(action(nao,dive),t2)

K(nao,t1,SUP2(nao,t2,happens(action(nao,dive),t2)))

I(nao,t2,happens(action(nao,dive),t2))

In Talos (available via Web interface); & ShadowProver

Prototypes:

Boolean lessThan Numeric Numeric

Boolean greaterThan Numeric Numeric

ActionType not ActionType

ActionType dive

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lessOrEqual(Moment t1,t2)

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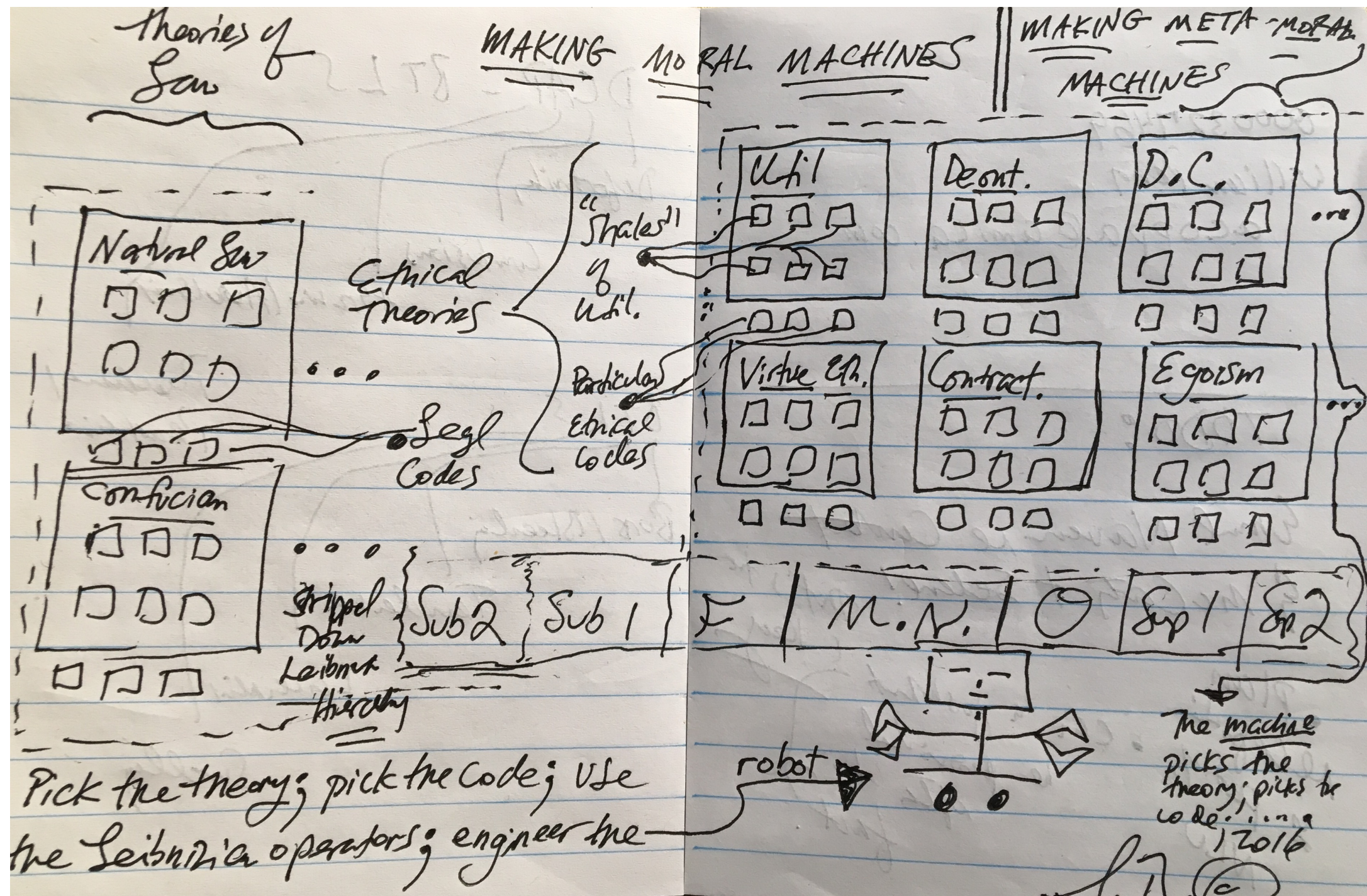
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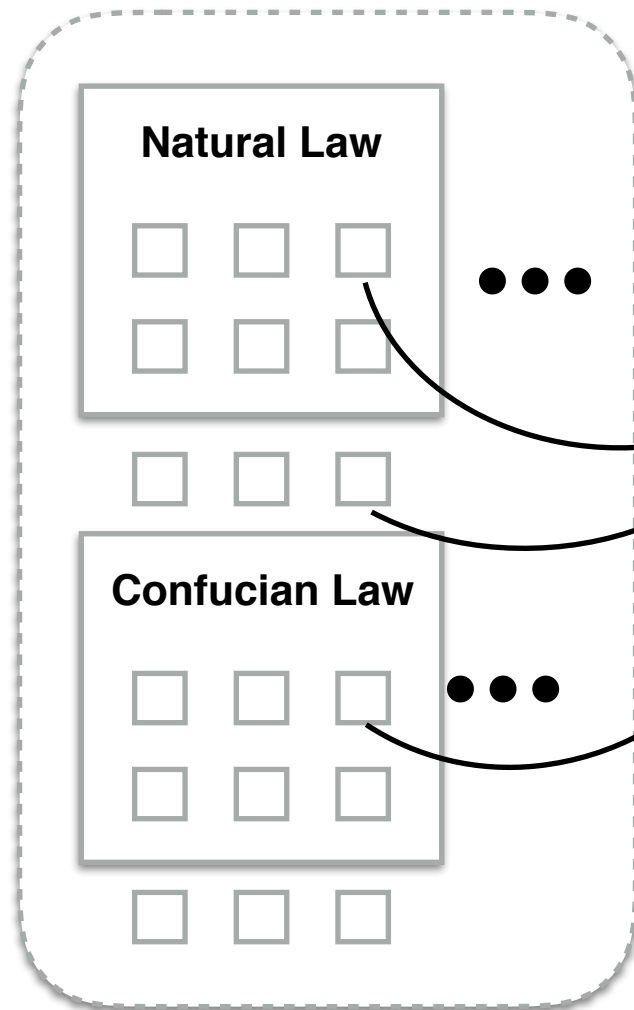
Hence, we now have *this* overview of the logicist engineering required:



Making Morally X Machines, in Four Steps

~\$10M

Theories of Law

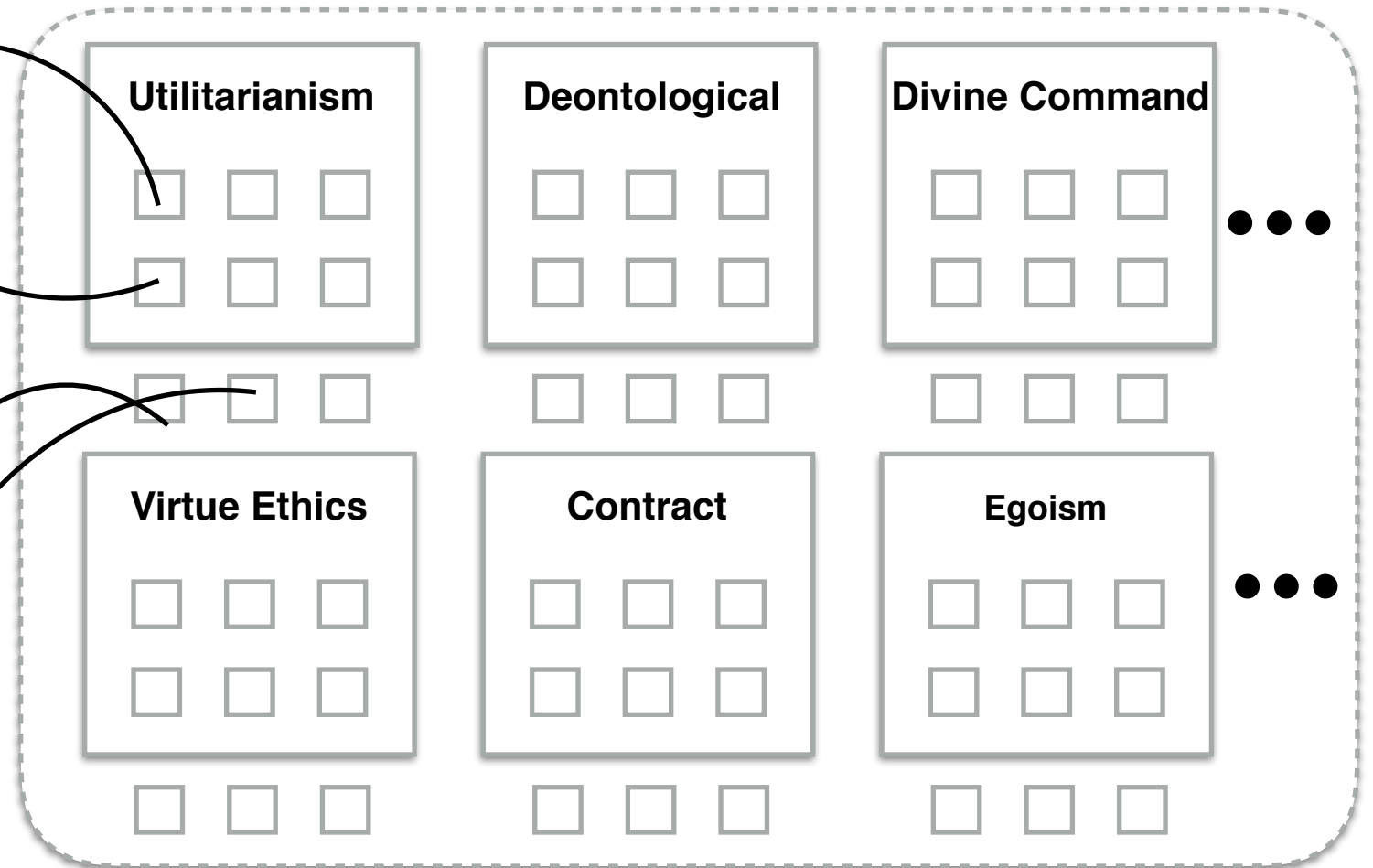


Shades
of
Utilitarianism

Legal Codes

Particular
Ethical Codes

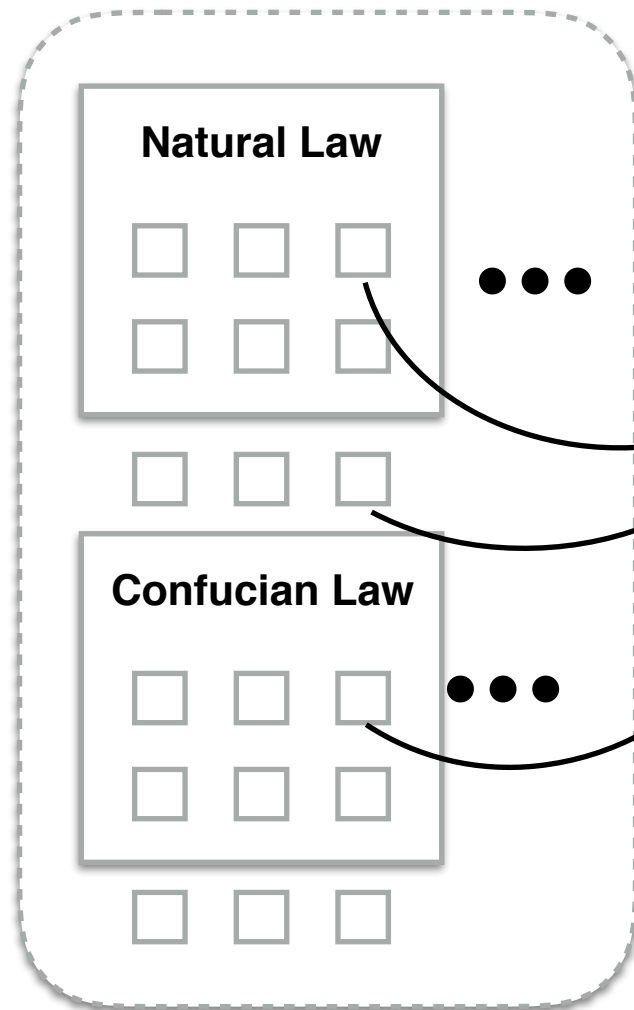
Ethical Theories



Making Morally X Machines, in Four Steps

~\$10M

Theories of Law

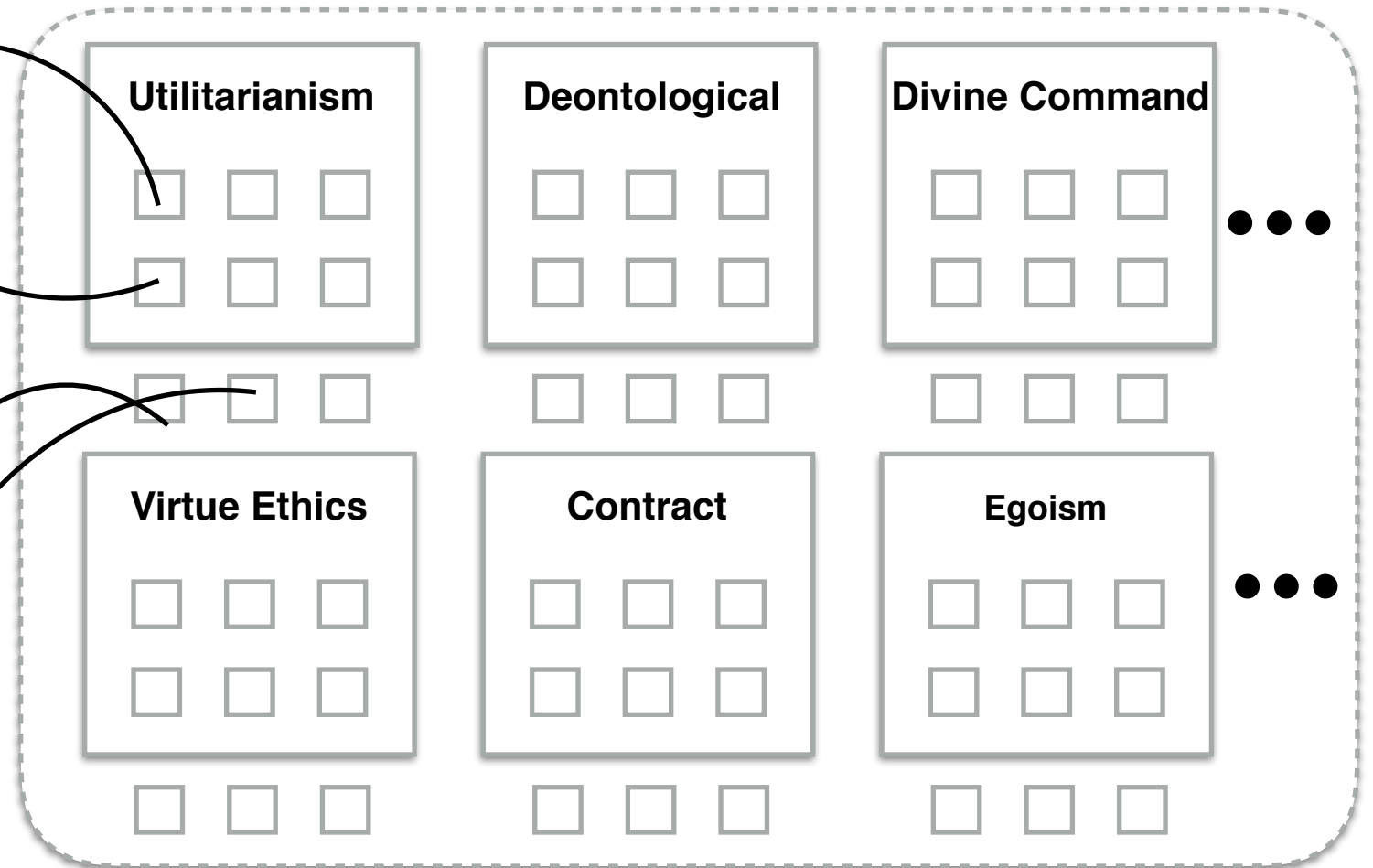


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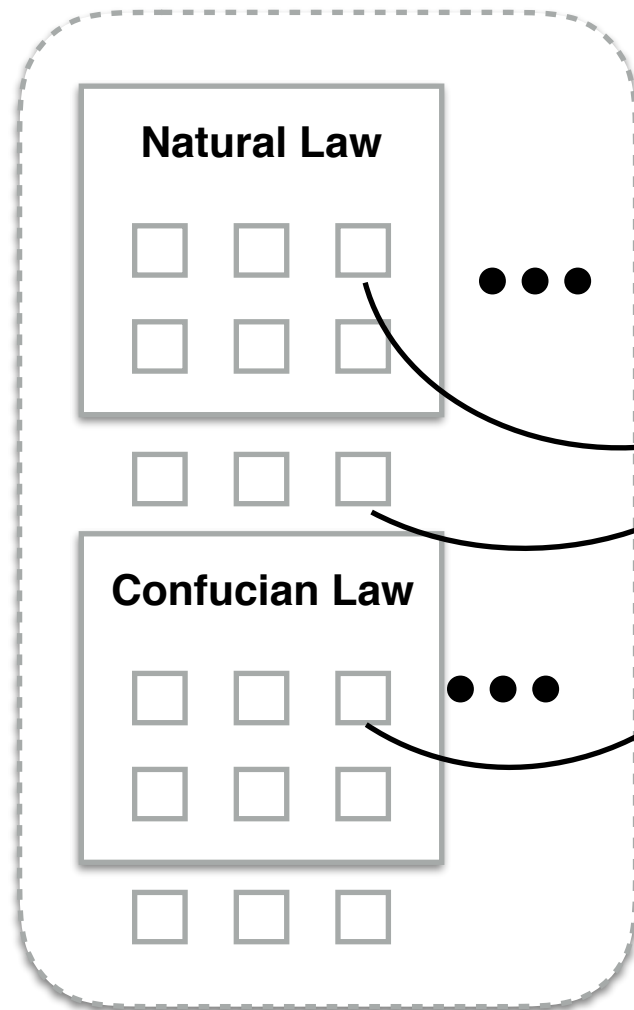
Step I

1. Pick (a) theories.
2. Pick (a) code(s).
3. Run through EH.
4. Which X in *MMXM*?

Making Morally X Machines, in Four Steps

~\$10M

Theories of Law

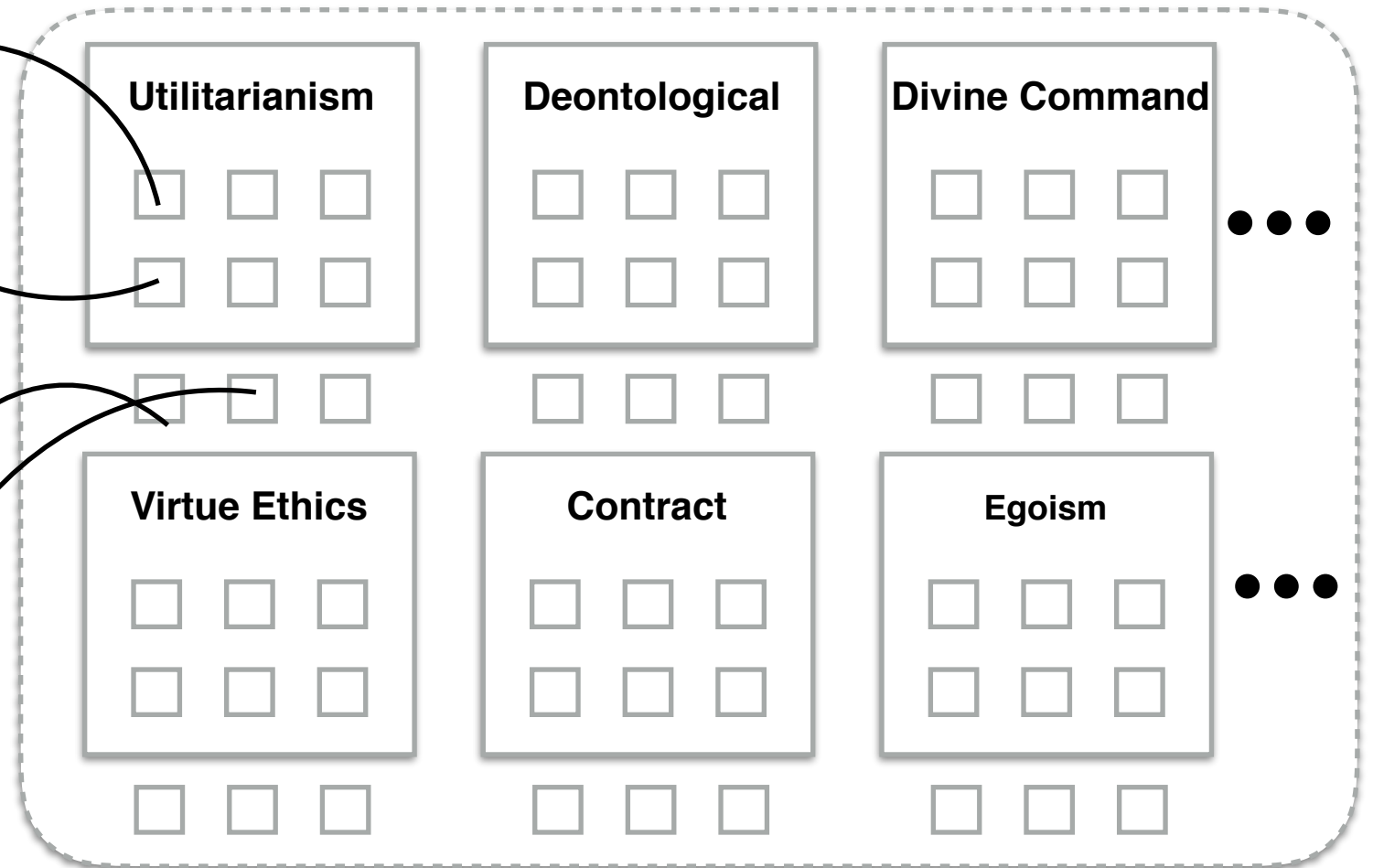


Shades
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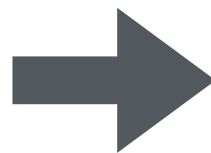
Particular
Ethical Codes

Ethical Theories



Step I

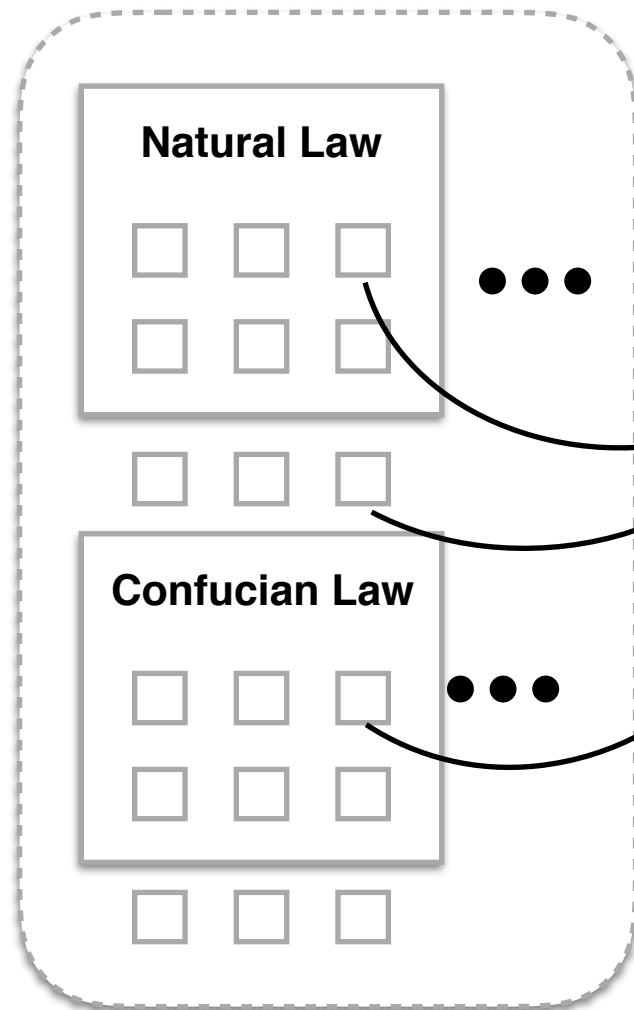
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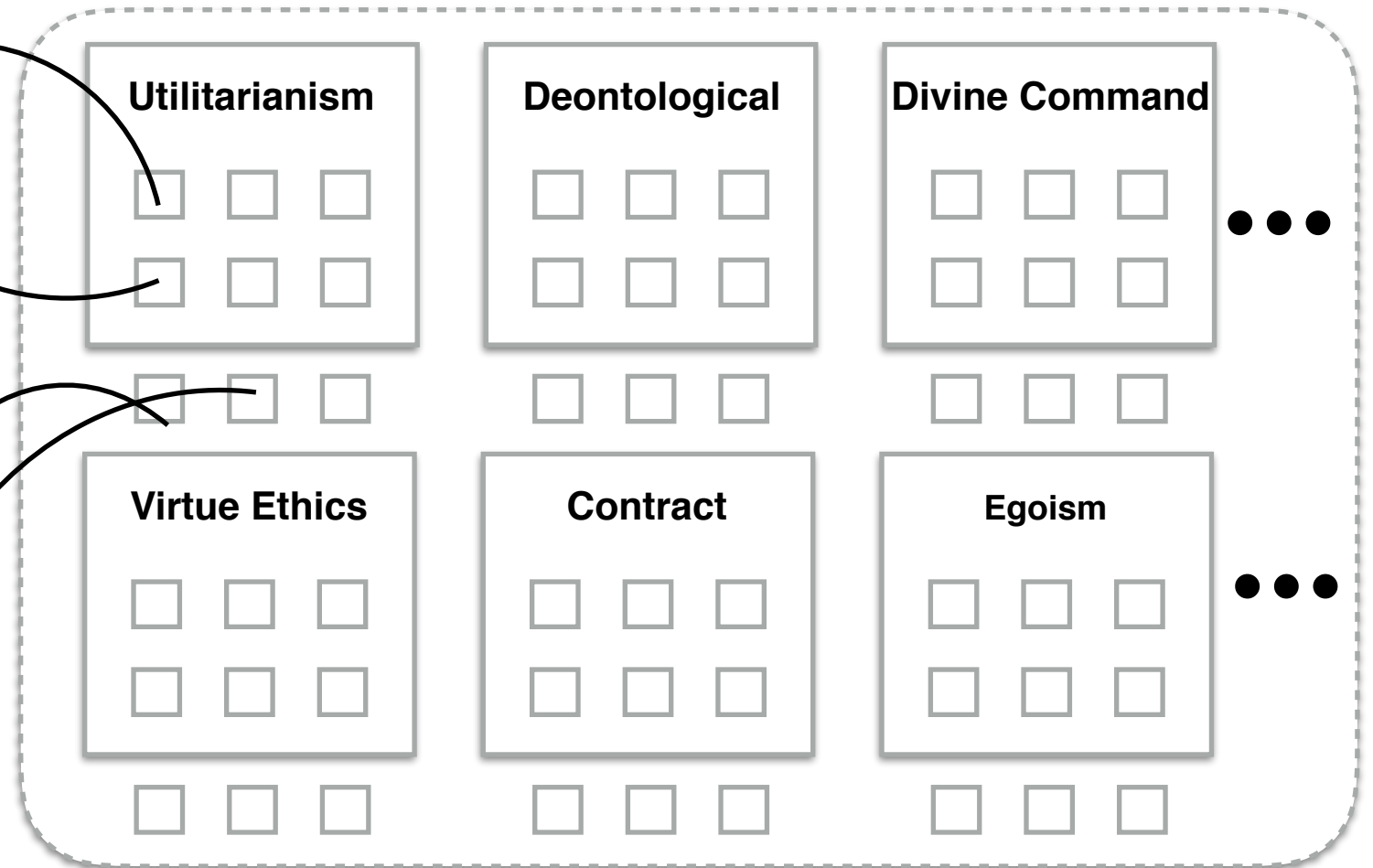


Shades
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Legal Codes

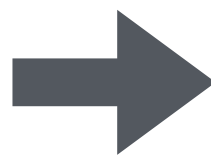
Particular
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Ethical Theories



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Step 2

Formalize & Automate



Shadow Prover

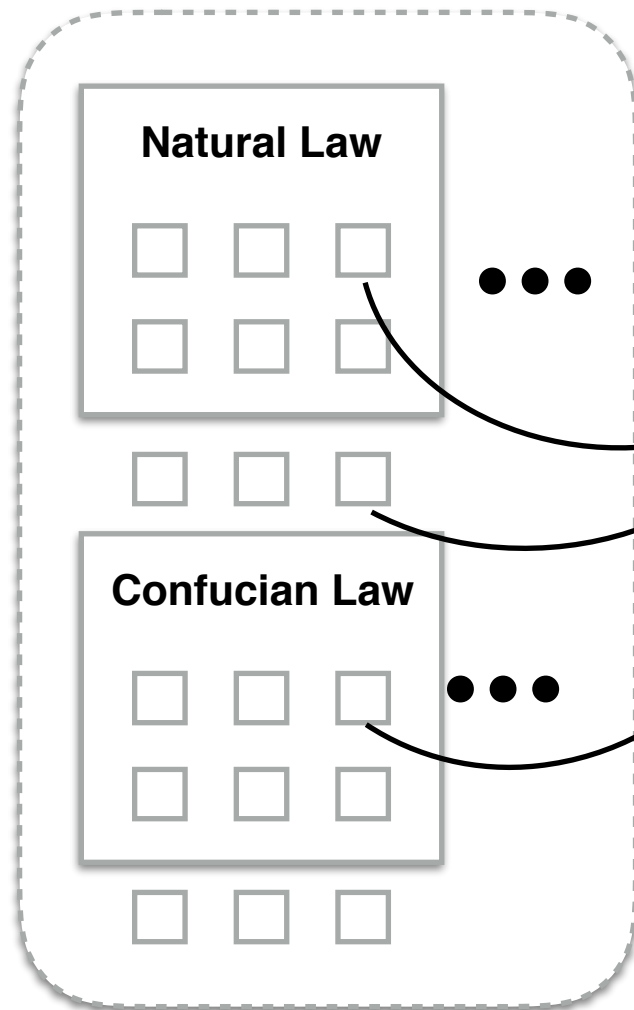


Spectra

Making Morally X Machines, in Four Steps

~\$10M

Theories of Law

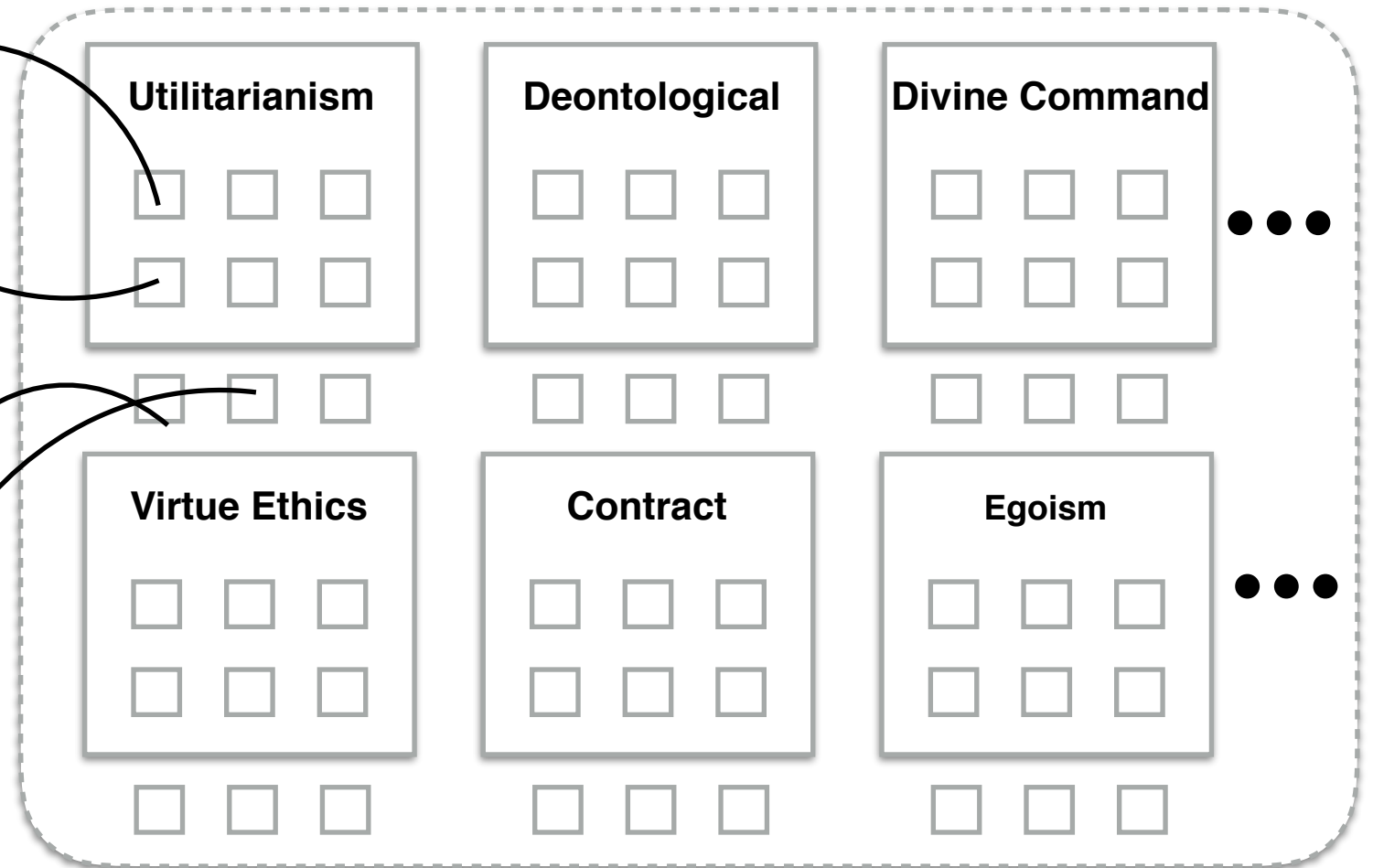


Shades
of
Utilitarianism

Legal Codes

Particular
Ethical Codes

Ethical Theories



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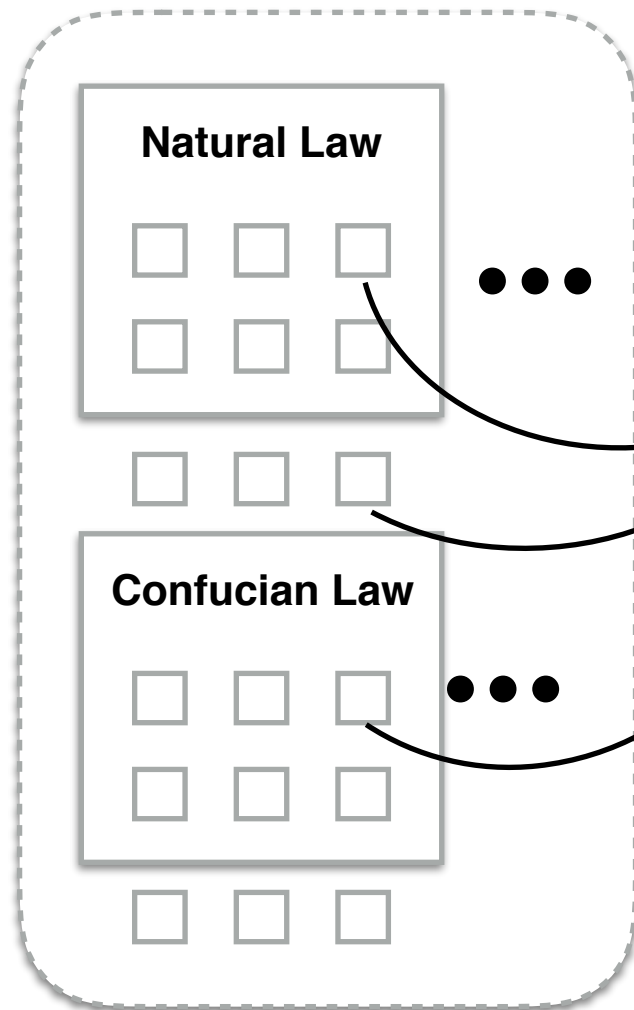


Spectra

Making Morally X Machines, in Four Steps

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Theories of Law

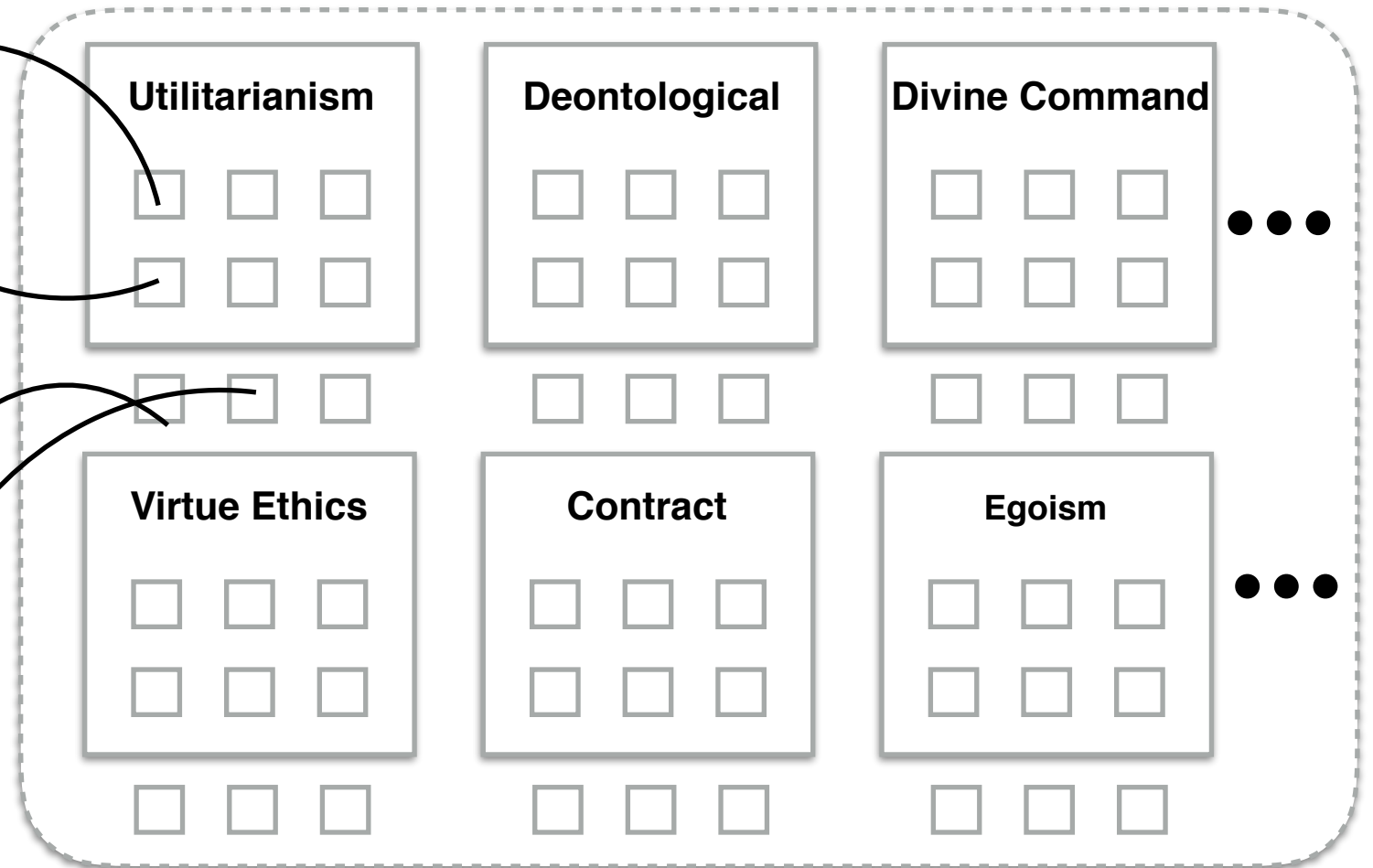


Shades
of
Utilitarianism

Legal Codes

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Ethical Theories



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Shadow Prover



Spectra

Step 3

Ethical OS



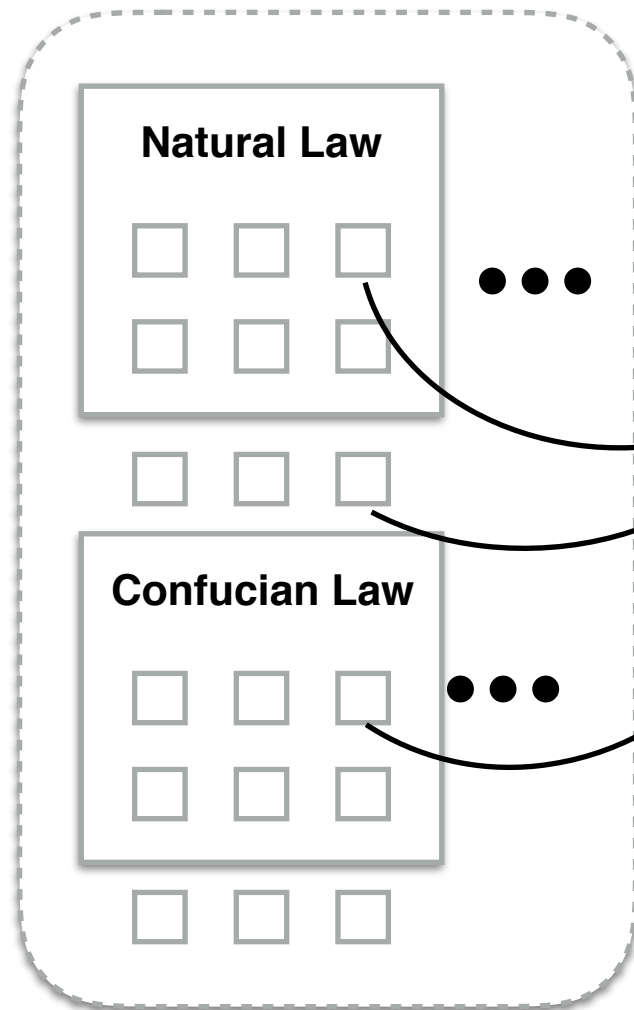
Ethical Substrate

Robotic Substrate

Making Morally X Machines, in Four Steps

~\$10M

Theories of Law

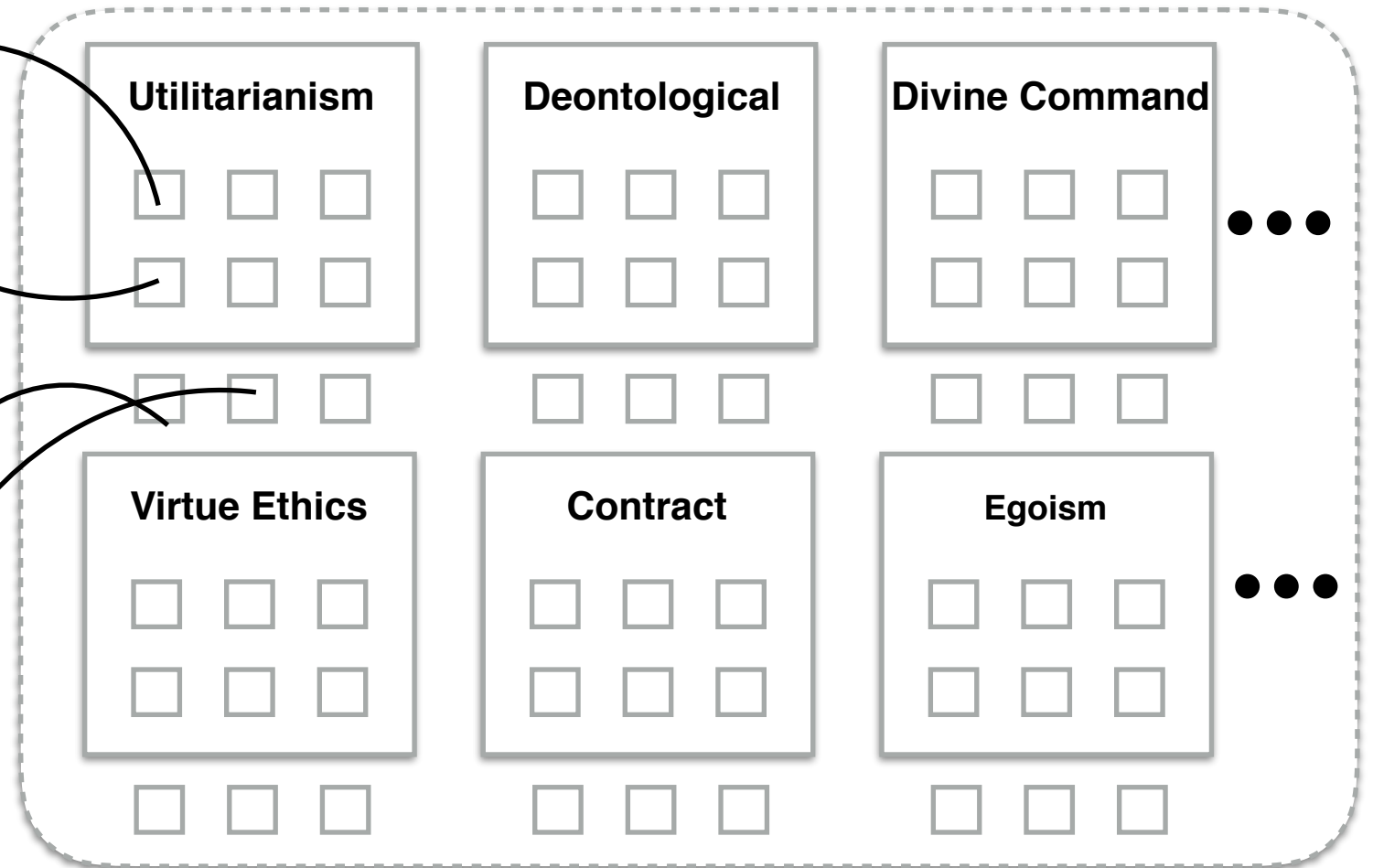


Shades of Utilitarianism

Legal Codes

Particular Ethical Codes

Ethical Theories



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Step 2

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Shadow Prover



Spectra

Step 3

Ethical OS



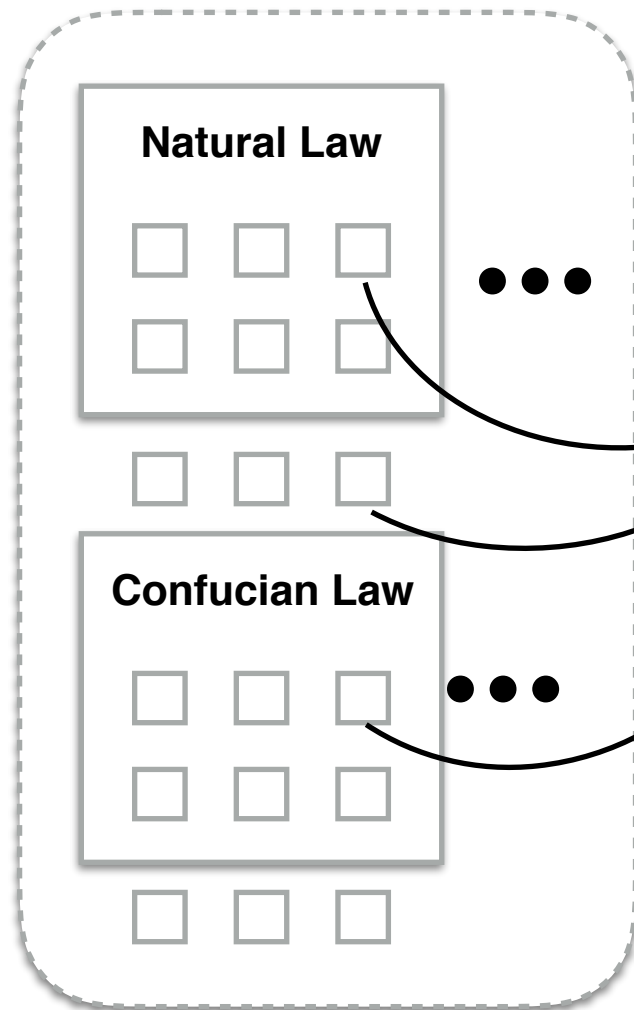
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Robotic Substrate

Making Morally X Machines, in Four Steps

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Theories of Law

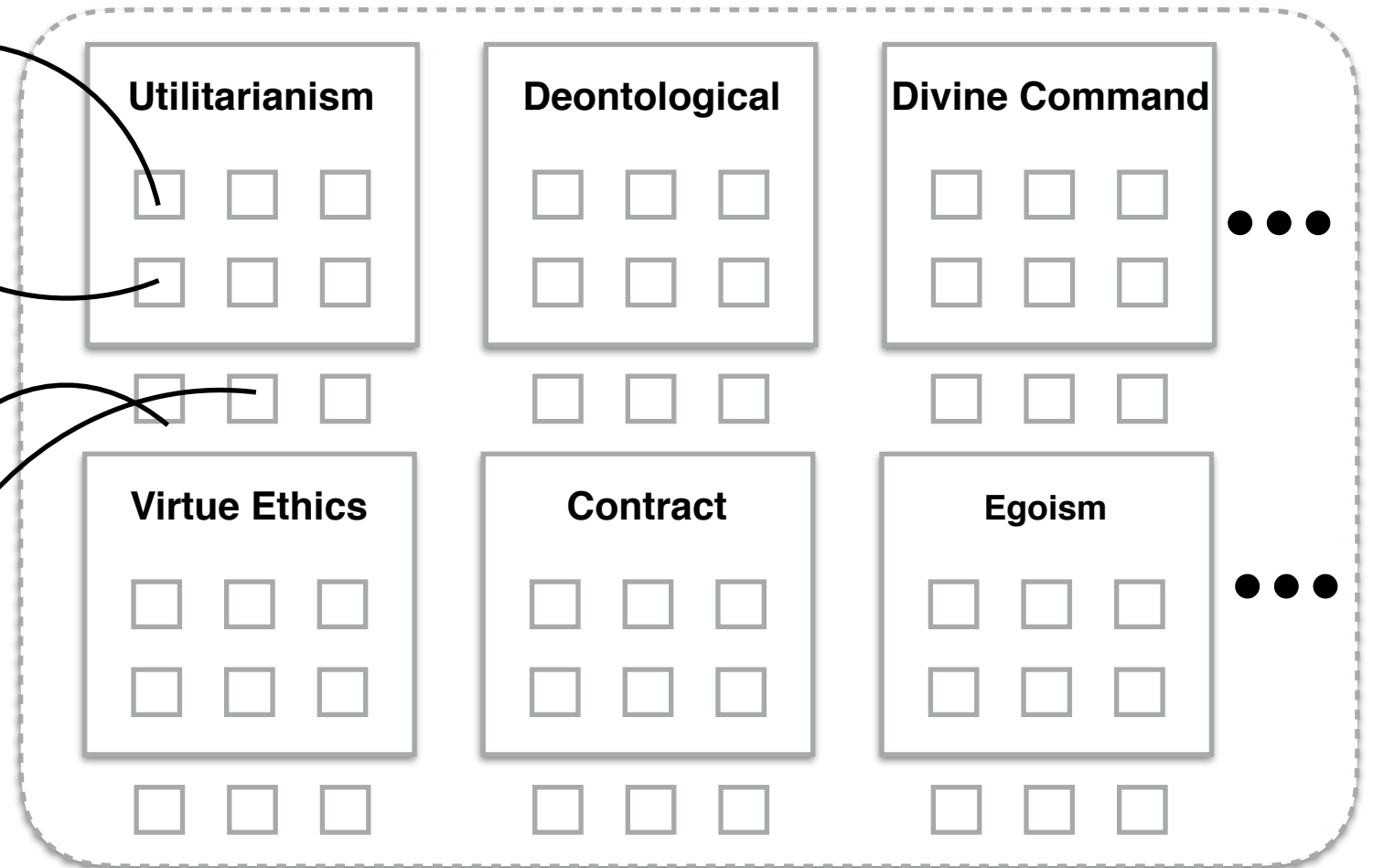


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Spectra

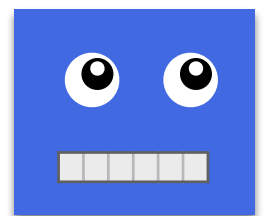
Step 3

Ethical OS



Ethical Substrate

Robotic Substrate

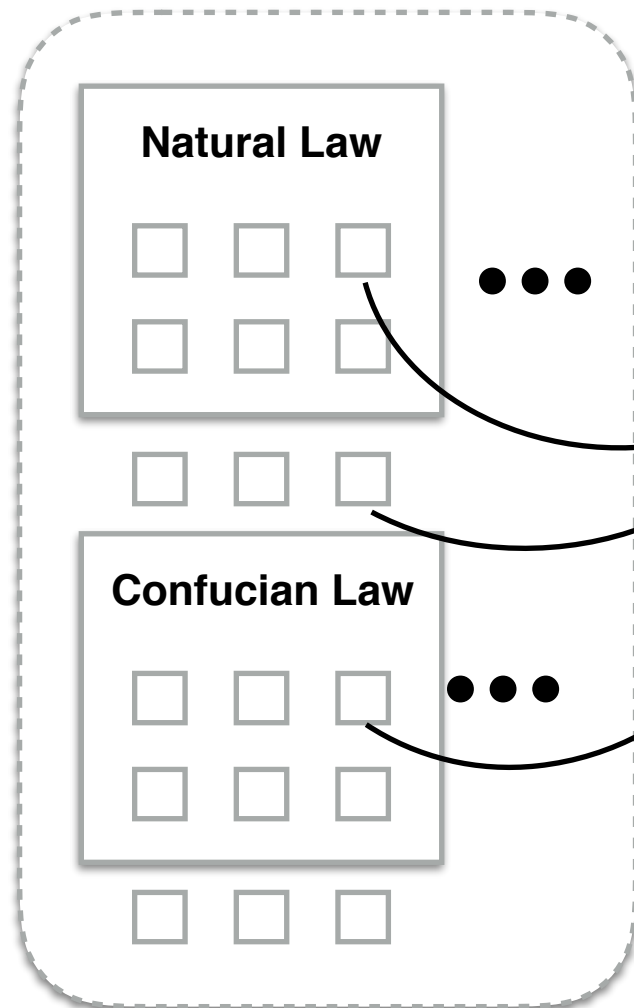


An ethically
correct robot.

Making Morally X Machines, in Four Steps

~\$10M

Theories of Law

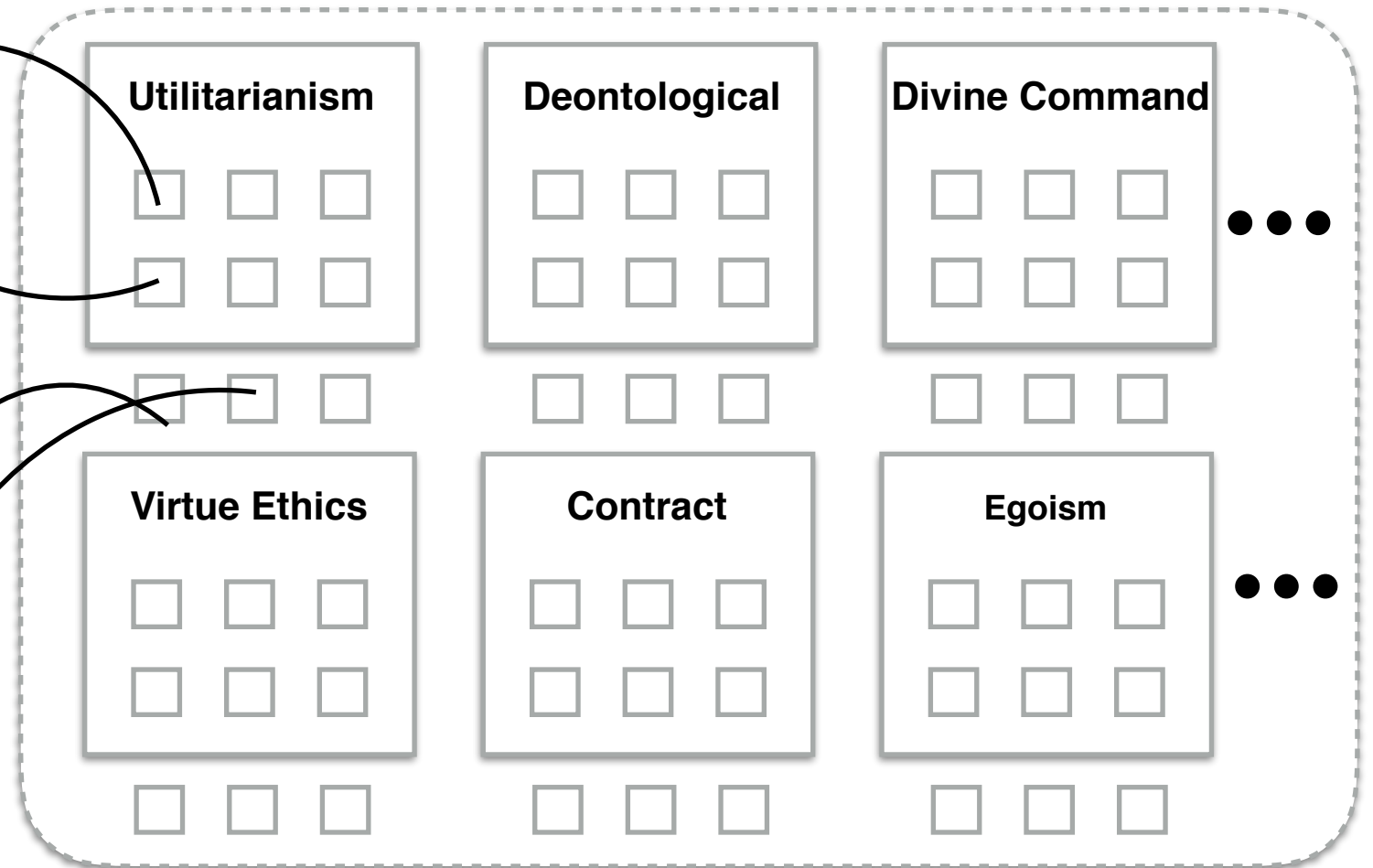


Shades
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Shadow Prover



Spectra

Step 3

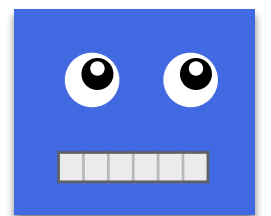
Ethical OS



Ethical Substrate

Robotic Substrate

DIARC/DoD/BMW ...



An ethically
correct robot.

IV.

Key Core AI Technologies
for Cognitive Calculi ...



Rather Promising Results



Rather Promising Results

```
{:name      "*cognitive-calculus-completeness-test-3*"
 :description "Bird Theorem and Jack"
 :assumptions {1 (if (exists (?x) (if (Bird ?x) (forall (?y) (Bird ?y))))
                 (Knows! jack t0 BirdTheorem))}
 :goal      (Knows! jack t0 BirdTheorem)}
```



Rather Promising Results

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{:name      "*cognitive-calculus-completeness-test-3*"
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Note: the antecedent is a theorem in first-order logic



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Note: the antecedent is a theorem in first-order logic

2 ms!



Rather Promising Results

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 :assumptions {1 (if (exists (?x) (if (Bird ?x) (forall (?y) (Bird ?y))))
               (Knows! jack t0 BirdTheorem))}
 :goal       (Knows! jack t0 BirdTheorem)}
```

Note: the antecedent is a theorem in first-order logic

2 ms!

OR testCompleteness[[[not (Knows! a now P)], (if (not Q) (Knows! a now (not Q))), (Knows! a now (if (not Q) P))), Q] (14)	11ms
OR testCompleteness[[[if P (Knows! jack now (not (exists[?x] (if Bird(?x) (forall [?y] Bird(?y)))))), (not P)] (15)	7ms
OR testCompleteness[[[Common! now (Common! now P)], P] (16)	2ms
OR testCompleteness[[[Common! now (iff (not Marked(a2)) Marked(a1))), (Common! now (if (not Marked(a2)) (Knows! a1 now (not Marked(a2))))] (17)	135ms
OR testCompleteness[[[if (exists[?x] (if Bird(?x) (forall [?y] Bird(?y)))) (Knows! jack t0 BirdTheorem)], (Knows! jack t0 BirdTheorem)] (18)	2ms
OR testSoundess[[A], (or P Q)]	2ms
OR testSoundess[[[not (Knows! a now =(morning_star, evening_star))), =(morning_star, evening_star), (Knows! a now =(morning_star, evening_star))]	26ms

V.

But We Need ...

Ethical Operating Systems ...



Breaking Bad

American drama series



9.5/10
IMDb

4.6/5
AlloCiné

95%
Rotten Tomatoes

Mild-mannered high school chemistry teacher Walter White thinks his life can't get much worse. His salary barely makes ends meet, a situation not likely to improve once his pregnant wife gives birth, and their teenage son is battling cerebral palsy. But Walter is dumbstruck when he learns he has terminal cancer. Realizing that his illness probably will ruin his family financially, Walter makes a desperate bid to earn as much money as he can in the time he has left by turning an old RV into a meth lab on wheels.

First episode date: January 20, 2008

Final episode date: September 29, 2013

Spin-off: [Better Call Saul](#)

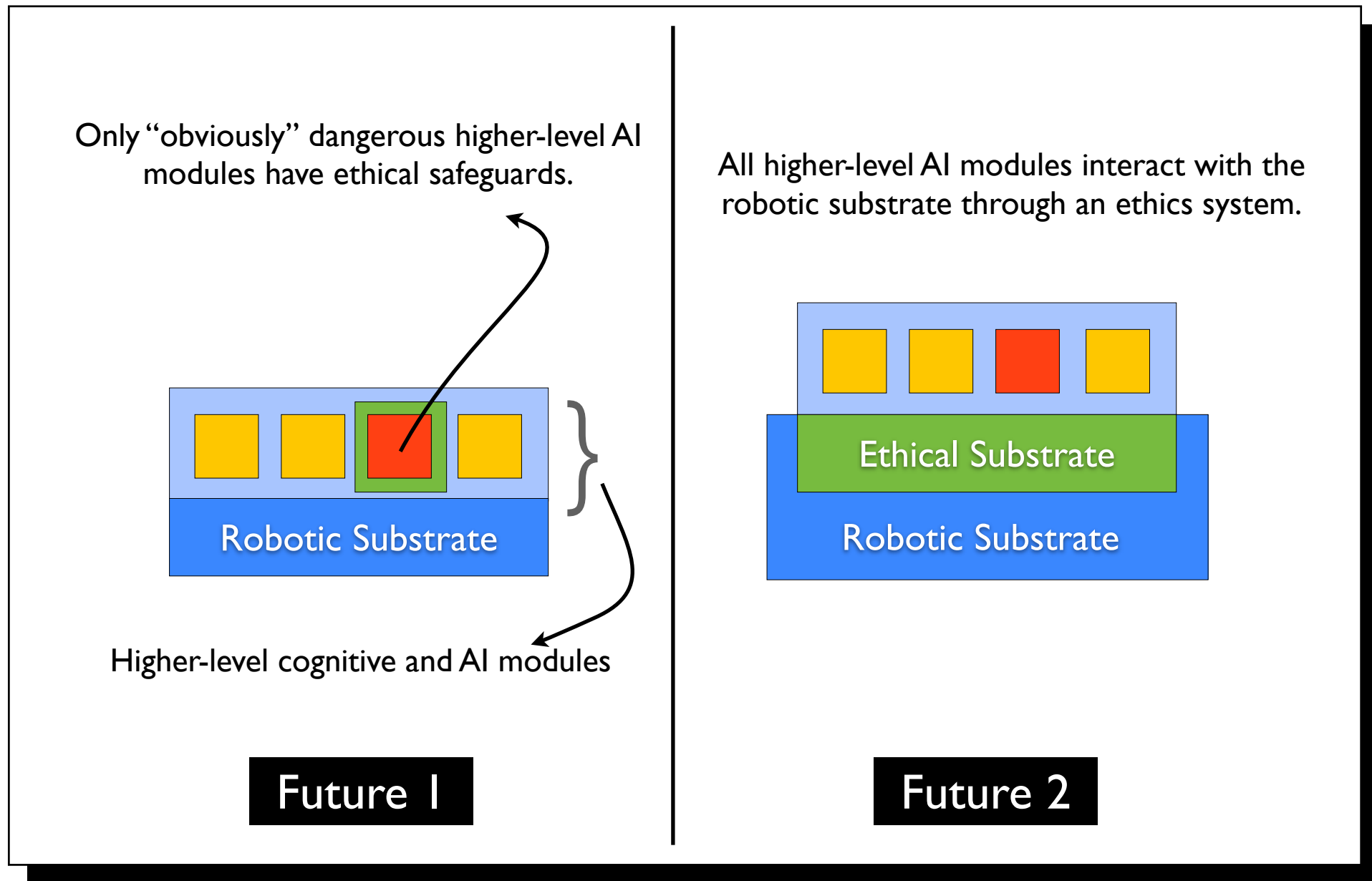
Awards: [Primetime Emmy Award for Outstanding Drama Series](#), [more](#)

Pick the Better Future!

Pick the Better Future!

Govindarajulu, N.S. & Bringsjord, S. (2015) "Ethical Regulation of Robots Must Be Embedded in Their Operating Systems" in Trappl, R., ed., A Construction Manual for Robots' Ethical Systems (Basel, Switzerland), pp. 85–100.

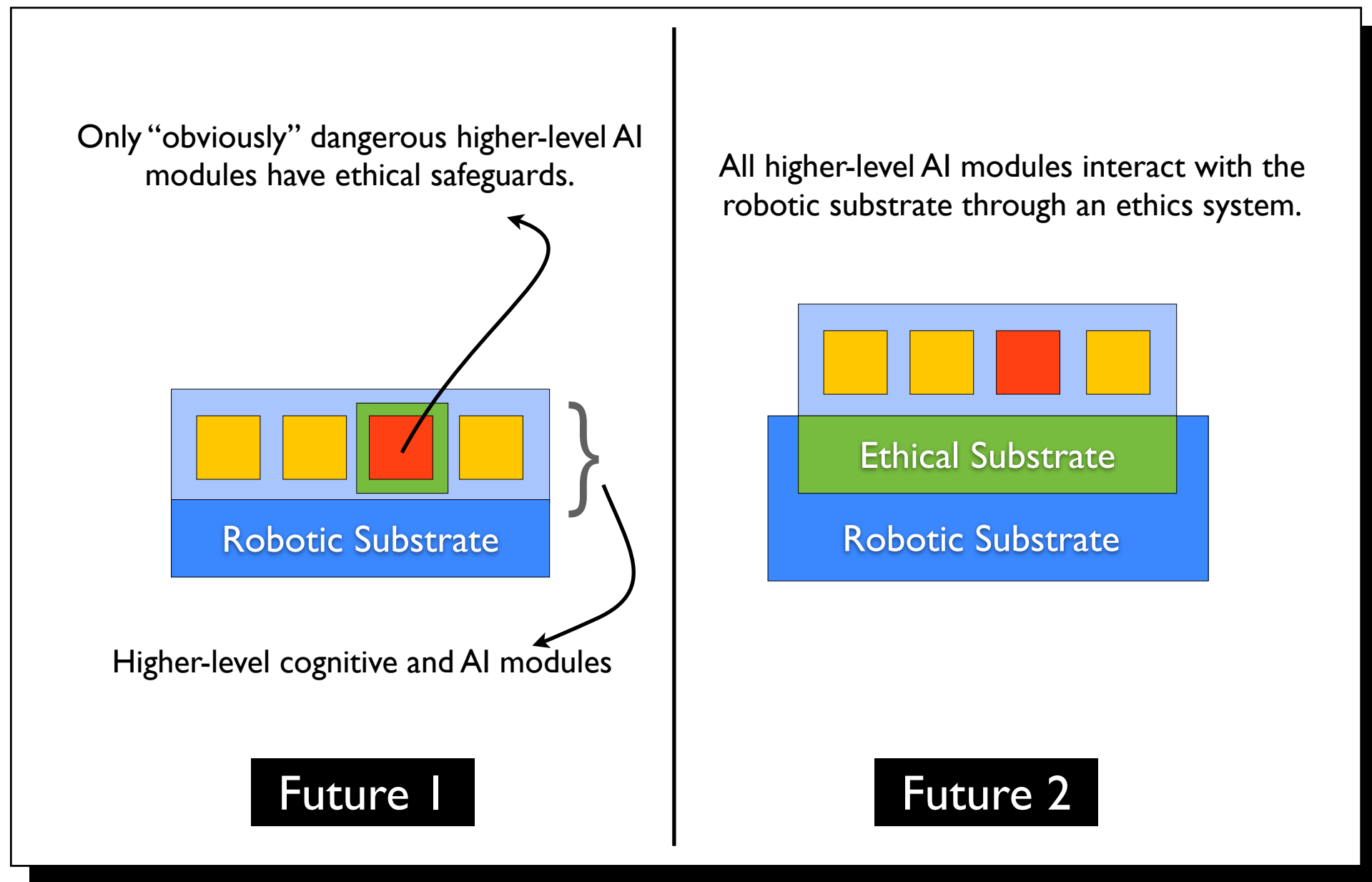
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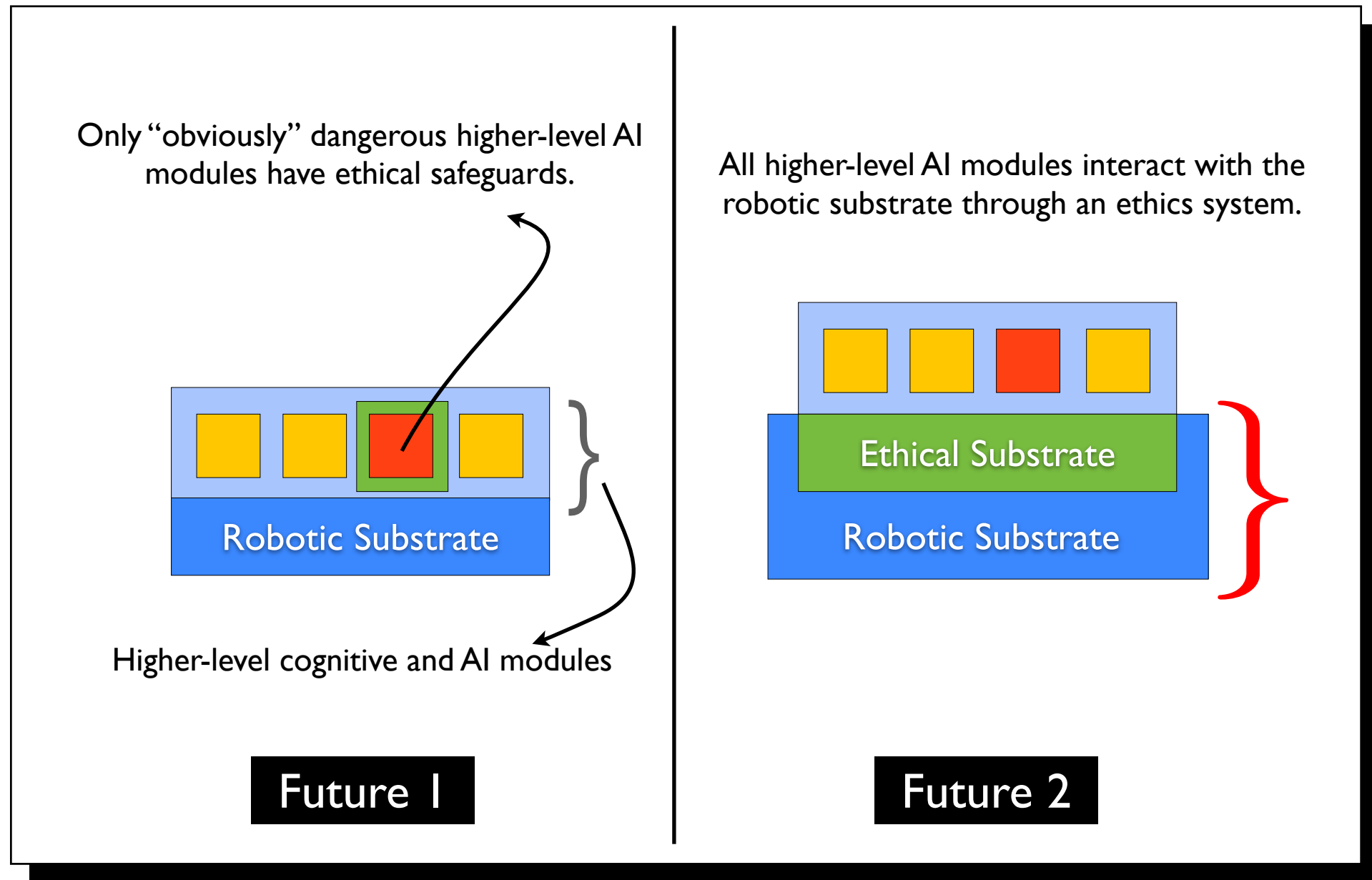
Walter-White calculation may go through after ethical control modules are stripped out!



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Pick the Better Future!

Walter-White calculation may go through after ethical control modules are stripped out!



(&
formally
verify!)

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VI.

Of late ...

Including “Jungle Jim”

⋮

Moral Dilemma D_k

⋮

Moral Dilemma D_3

Moral Dilemma D_2

Moral Dilemma D_1

⋮

Moral Problem P_k

⋮

Moral Problem P_3

Moral Problem P_2

Moral Problem P_1



Robot



Solution + Justification

⋮

Moral Dilemma D_k

⋮

Moral Dilemma D_3

Moral Dilemma D_2

Moral Dilemma D_1

⋮

Moral Problem P_k

⋮

Moral Problem P_3

Moral Problem P_2

Moral Problem P_1



Robot



Solution + Justification

⋮

Moral Dilemma D_k

⋮

Moral Dilemma D_3

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⋮

Moral Problem P_k

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Moral Problem P_1



Robot



Solution + Justification

⋮

Moral Dilemma D_k

⋮

Moral Dilemma D_3

Moral Dilemma D_2

Moral Dilemma D_1

⋮

Moral Problem P_k



Robot



Solution + Justification

⋮

Moral Problem P_3

Moral Problem P_2

Moral Problem P_1

⋮

Moral Dilemma D_k

⋮

Moral Dilemma D_3

Moral Dilemma D_2

Moral Dilemma D_1



Robot



Solution + Justification

⋮

Moral Problem P_k

⋮

Moral Problem P_3

Moral Problem P_2

Moral Problem P_1

⋮

Moral Dilemma D_k



Robot



Solution + Justification

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Moral Dilemma D_3

Moral Dilemma D_2

Moral Dilemma D_1

⋮

Moral Problem P_k

⋮

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Three-way Partition of Increasingly Challenging Moral Dilemmas for Machines

Three-way Partition of Increasingly Challenging Moral Dilemmas for Machines

Level I

- State-of-the-art-planner-hard.

Three-way Partition of Increasingly Challenging Moral Dilemmas for Machines

Level 2

- Professional-machine-ethicist-hard.

Level 1

- State-of-the-art-planner-hard.

Three-way Partition of Increasingly Challenging Moral Dilemmas for Machines

Level 2

Level 1

- Top machine-ethicists-may-consider-banging-their-heads-against-a-wall-hard.
- Professional-machine-ethicist-hard.
- State-of-the-art-planner-hard.

Three-way Partition of Increasingly Challenging Moral Dilemmas for Machines

Level 3

- Top machine-ethicists-may-consider-banging-their-heads-against-a-wall-hard.

Level 2

- Professional-machine-ethicist-hard.

Level 1

- State-of-the-art-planner-hard.

The Heinz Dilemma (Kohlberg)

Level I

Professional-planner-hard.

“In Europe, a woman was near death from a special kind of cancer. There was one drug that the doctors thought might save her. It was a form of radium that a druggist in the same town had recently discovered. The drug was expensive to make, but the druggist was charging ten times what the drug cost him to make. He paid \$200 for the radium and charged \$2,000 for a small dose of the drug.

The sick woman’s husband, Heinz, went to everyone he knew to borrow the money, but he could only get together about \$1,000, which is half of what it cost. He told the druggist that his wife was dying and asked him to sell it cheaper or let him pay later. But the druggist said: “No, I discovered the drug and I’m going to make money from it.” So Heinz got desperate and broke into the man’s store to steal the drug for his wife. *Should the husband have done that?*”

AI Escaping from The Heinz Dilemma

```
G1 {:priority      ...  
    :description  "Don't steal."  
    :state        [(not steal)]}
```

```
G2 {:priority      ...  
    :description  "My wife should be healthy"  
    :state        [(healthy (wife heinz))]}
```

AI Escaping from The Heinz Dilemma

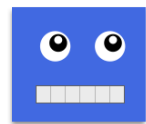
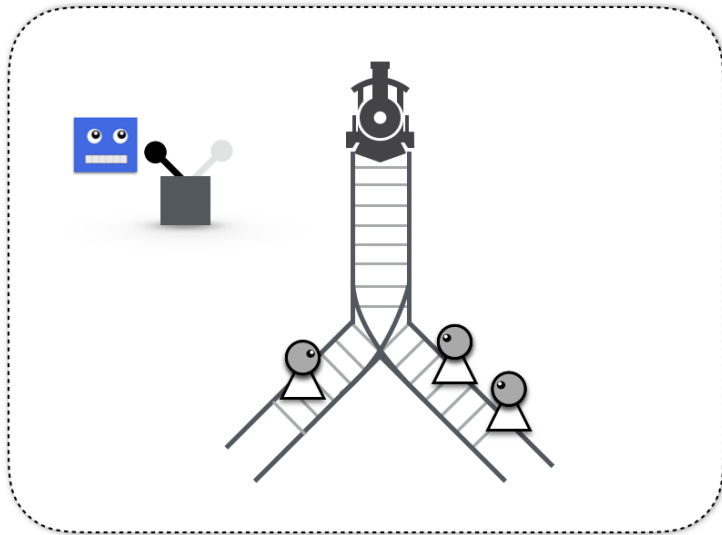
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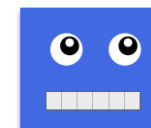
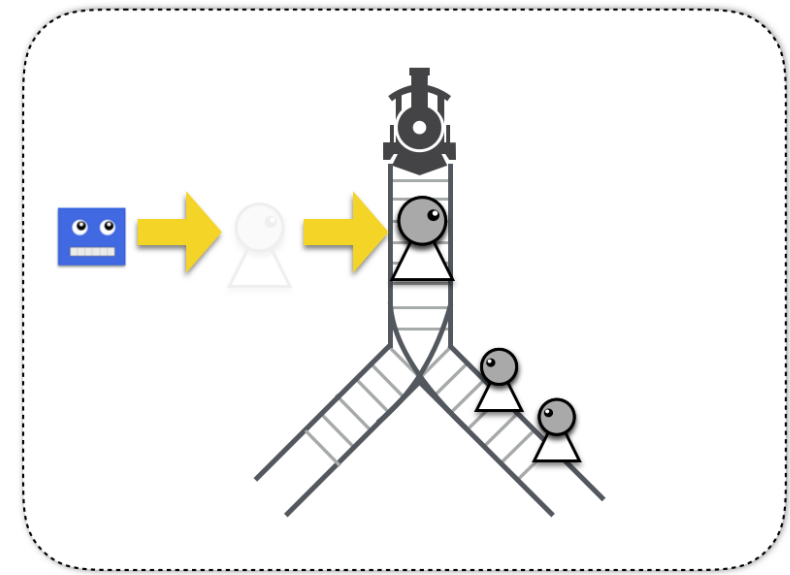
Trolley Dilemmas ...

Level 2

- Professional-machine-ethicist-hard.



This is allowed



This is not allowed!

Doctrine of Double Effect \mathcal{DDE}

Doctrine of Double Effect *DDÉ*

- A long-studied (!) ethical principle that adjudicates certain class of moral dilemmas.

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- E.g. the “original” moral dilemma: Can you defend your own life by ending the lives of (perhaps many) attackers?

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- E.g. the “original” moral dilemma: Can you defend your own life by ending the lives of (perhaps many) attackers?

Informal Version of DDE

- C₁** the action is not forbidden (where we assume an ethical hierarchy such as the one given by Bringsjord [2017], and require that the action be neutral or above neutral in such a hierarchy);
- C₂** the net utility or goodness of the action is greater than some positive amount γ ;
- C_{3a}** the agent performing the action intends only the good effects;
- C_{3b}** the agent does not intend any of the bad effects;
- C₄** the bad effects are not used as a means to obtain the good effects; and
- C₅** if there are bad effects, the agent would rather the situation be different and the agent not have to perform the action. That is, the action is unavoidable.

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\mathcal{DCEC}^* [illegible]

AI of Today: What Would Leibniz Say?

"Sorry, not impressed."

Selmer Bringsjord

National AI Research Center
Department of Cognitive Science
Department of Linguistics
University of Maryland, College Park
College of Management and Technology (Bldg. 444)
Psychology Department (Bldg. 304)
College Park, MD 20742-4411
USA
E-mail: selmer@umc.edu

R A I R
Remember to read *Philosophical Life*



1.5

“Univer
sal



Univers
al
Cogniti



RAIR
Rensselaer AI and Reasoning Lab

1.5

“Univer
sal



Univers
al
Cogniti



RAIR
Rensselaer AI and Reasoning Lab

1.5

“Univer
sal



Univers
al
Cogniti



I.5

Syntax

$S ::= \text{Object} \mid \text{Agent} \mid \text{ActionType} \mid \text{Action} \sqsubseteq \text{Event} \mid \text{Moment} \mid \text{Formula} \mid \text{Fluent}$

$$f ::= \begin{cases} \text{action} : \text{Agent} \times \text{ActionType} \rightarrow \text{Action} \\ \text{initially} : \text{Fluent} \rightarrow \text{Formula} \\ \text{Holds} : \text{Fluent} \times \text{Moment} \rightarrow \text{Formula} \\ \text{happens} : \text{Event} \times \text{Moment} \rightarrow \text{Formula} \\ \text{clipped} : \text{Moment} \times \text{Fluent} \times \text{Moment} \rightarrow \text{Formula} \\ \text{initiates} : \text{Event} \times \text{Fluent} \times \text{Moment} \rightarrow \text{Formula} \\ \text{terminates} : \text{Event} \times \text{Fluent} \times \text{Moment} \rightarrow \text{Formula} \\ \text{prior} : \text{Moment} \times \text{Moment} \rightarrow \text{Formula} \end{cases}$$

$t ::= x : S \mid c : S \mid f(t_1, \dots, t_n)$

$$\phi ::= \begin{cases} t : \text{Formula} \mid \neg \phi \mid \phi \wedge \psi \mid \phi \vee \psi \mid \mathbf{P}(a, t, \phi) \mid \mathbf{K}(a, t, \phi) \mid \mathbf{C}(t, \phi) \\ \mathbf{S}(a, b, t, \phi) \mid \mathbf{S}(a, t, \phi) \mid \mathbf{B}(a, t, \phi) \mid \mathbf{D}(a, t, \text{Holds}(f, t')) \mid \mathbf{I}(a, t, \phi) \\ \mathbf{O}(a, t, \phi, (\neg) \text{happens}(\text{action}(a^*, \alpha), t')) \end{cases}$$

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I.5

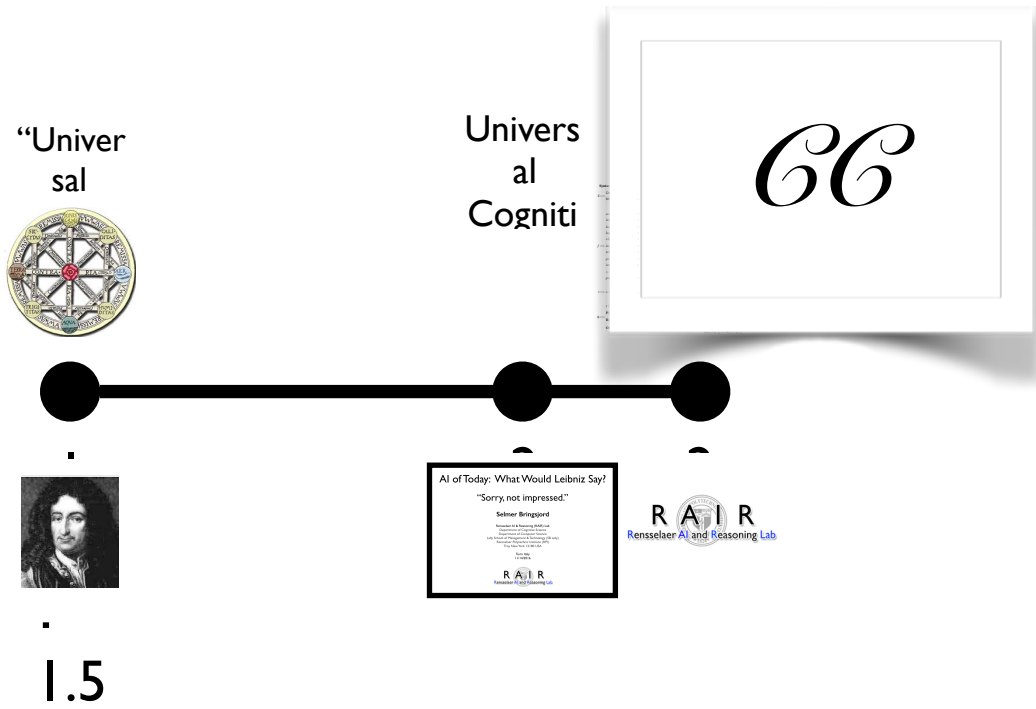
Syntax

$S ::= \text{Object} \mid \text{Agent} \mid \text{ActionType} \mid \text{Action} \sqsubseteq \text{Event} \mid \text{Moment} \mid \text{Formula} \mid \text{Fluent}$

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Syntax

$S ::= \text{Object} \mid \text{Agent} \mid \text{ActionType} \mid \text{Action} \sqsubseteq \text{Event} \mid \text{Moment} \mid \text{Formula} \mid \text{Fluent}$

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Inference Schemata

$$\frac{\mathbf{K}(a, t_1, \Gamma), \Gamma \vdash \phi, t_1 \leq t_2}{\mathbf{K}(a, t_2, \phi)} [R_K] \quad \frac{\mathbf{B}(a, t_1, \Gamma), \Gamma \vdash \phi, t_1 \leq t_2}{\mathbf{B}(a, t_2, \phi)} [R_B]$$

$$\frac{}{\mathbf{C}(t, \mathbf{P}(a, t, \phi) \rightarrow \mathbf{K}(a, t, \phi))} [R_1] \quad \frac{}{\mathbf{C}(t, \mathbf{K}(a, t, \phi) \rightarrow \mathbf{B}(a, t, \phi))} [R_2]$$

$$\frac{\mathbf{C}(t, \phi) \ t \leq t_1 \dots t \leq t_n}{\mathbf{K}(a_1, t_1, \dots \mathbf{K}(a_n, t_n, \phi) \dots)} [R_3] \quad \frac{\mathbf{K}(a, t, \phi)}{\phi} [R_4]$$

$$\frac{}{\mathbf{C}(t, \mathbf{K}(a, t_1, \phi_1 \rightarrow \phi_2)) \rightarrow \mathbf{K}(a, t_2, \phi_1) \rightarrow \mathbf{K}(a, t_3, \phi_2)} [R_5]$$

$$\frac{}{\mathbf{C}(t, \mathbf{B}(a, t_1, \phi_1 \rightarrow \phi_2)) \rightarrow \mathbf{B}(a, t_2, \phi_1) \rightarrow \mathbf{B}(a, t_3, \phi_2)} [R_6]$$

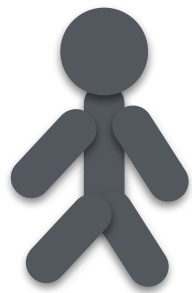
$$\frac{}{\mathbf{C}(t, \mathbf{C}(t_1, \phi_1 \rightarrow \phi_2)) \rightarrow \mathbf{C}(t_2, \phi_1) \rightarrow \mathbf{C}(t_3, \phi_2)} [R_7]$$

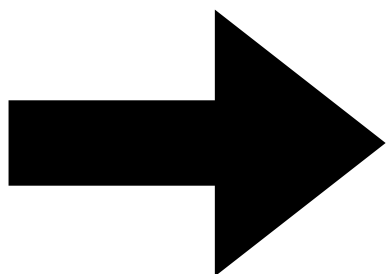
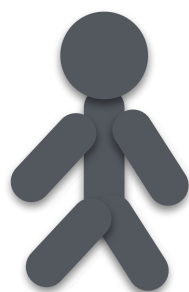
$$\frac{}{\mathbf{C}(t, \forall x. \phi \rightarrow \phi[x \mapsto t])} [R_8] \quad \frac{}{\mathbf{C}(t, \phi_1 \leftrightarrow \phi_2 \rightarrow \neg \phi_2 \rightarrow \neg \phi_1)} [R_9]$$

$$\frac{}{\mathbf{C}(t, [\phi_1 \wedge \dots \wedge \phi_n \rightarrow \phi] \rightarrow [\phi_1 \rightarrow \dots \rightarrow \phi_n \rightarrow \psi])} [R_{10}]$$

$$\frac{\mathbf{S}(s, h, t, \phi)}{\mathbf{B}(h, t, \mathbf{B}(s, t, \phi))} [R_{12}] \quad \frac{\mathbf{I}(a, t, \text{happens}(\text{action}(a^*, \alpha), t'))}{\mathbf{P}(a, t, \text{happens}(\text{action}(a^*, \alpha), t))} [R_{13}]$$

$$\frac{\mathbf{B}(a, t, \phi) \quad \mathbf{B}(a, t, \mathbf{O}(a, t, \phi, \chi)) \quad \mathbf{O}(a, t, \phi, \chi)}{\mathbf{K}(a, t, \mathbf{I}(a, t, \chi))} [R_{14}]$$





F₁ α carried out at t is not forbidden. That is:

$$\Gamma \not\models \neg \mathbf{O}(a, t, \sigma, \neg \text{happens}(\text{action}(a, \alpha), t))$$

F₂ The net utility is greater than a given positive real γ :

$$\Gamma \vdash \sum_{y=t+1}^H \left(\sum_{f \in \alpha_I^{a,t}} \mu(f, y) - \sum_{f \in \alpha_T^{a,t}} \mu(f, y) \right) > \gamma$$

F_{3a} The agent a intends at least one good effect. (**F₂** should still hold after removing all other good effects.) There is at least one fluent f_g in $\alpha_I^{a,t}$ with $\mu(f_g, y) > 0$, or f_b in $\alpha_T^{a,t}$ with $\mu(f_b, y) < 0$, and some y with $t < y \leq H$ such that the following holds:

$$\Gamma \vdash \left(\begin{array}{c} \exists f_g \in \alpha_I^{a,t} \mathbf{I}(a, t, \text{Holds}(f_g, y)) \\ \vee \\ \exists f_b \in \alpha_T^{a,t} \mathbf{I}(a, t, \neg \text{Holds}(f_b, y)) \end{array} \right)$$

F_{3b} The agent a does not intend any bad effect. For all fluents f_b in $\alpha_I^{a,t}$ with $\mu(f_b, y) < 0$, or f_g in $\alpha_T^{a,t}$ with $\mu(f_g, y) > 0$, and for all y such that $t < y \leq H$ the following holds:

$$\begin{aligned} \Gamma &\not\models \mathbf{I}(a, t, \text{Holds}(f_b, y)) \text{ and} \\ \Gamma &\not\models \mathbf{I}(a, t, \neg \text{Holds}(f_g, y)) \end{aligned}$$

F₄ The harmful effects don't cause the good effects. Four permutations, paralleling the definition of \triangleright above, hold here. One such permutation is shown below. For any bad fluent f_b holding at t_1 , and any good fluent f_g holding at some t_2 , such that $t < t_1, t_2 \leq H$, the following holds:

$$\Gamma \vdash \neg \triangleright (\text{Holds}(f_b, t_1), \text{Holds}(f_g, t_2))$$



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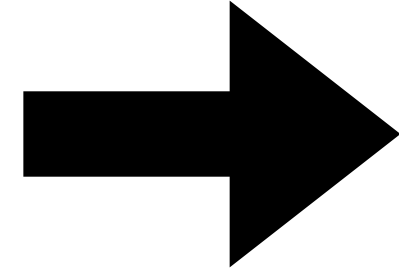
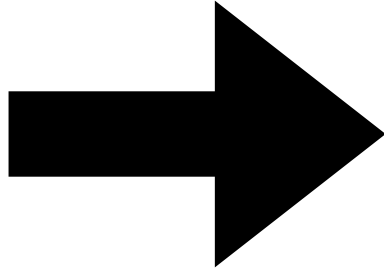
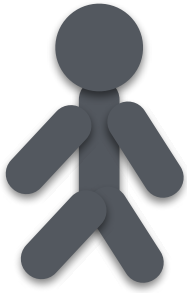
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Formal Conditions for \mathcal{DDE}

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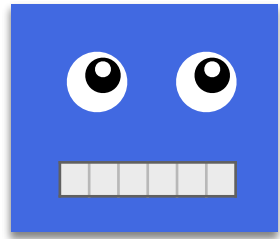
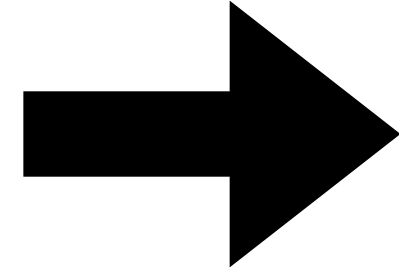
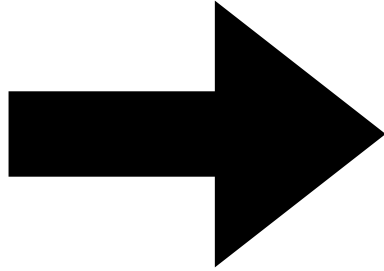
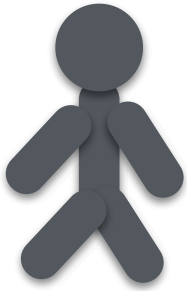
$$\Gamma \vdash \left(\begin{array}{c} \exists f_g \in \alpha_I^{a,t} \mathbf{I}(a, t, \text{Holds}(f_g, y)) \\ \vee \\ \exists f_b \in \alpha_T^{a,t} \mathbf{I}(a, t, \neg \text{Holds}(f_b, y)) \end{array} \right)$$

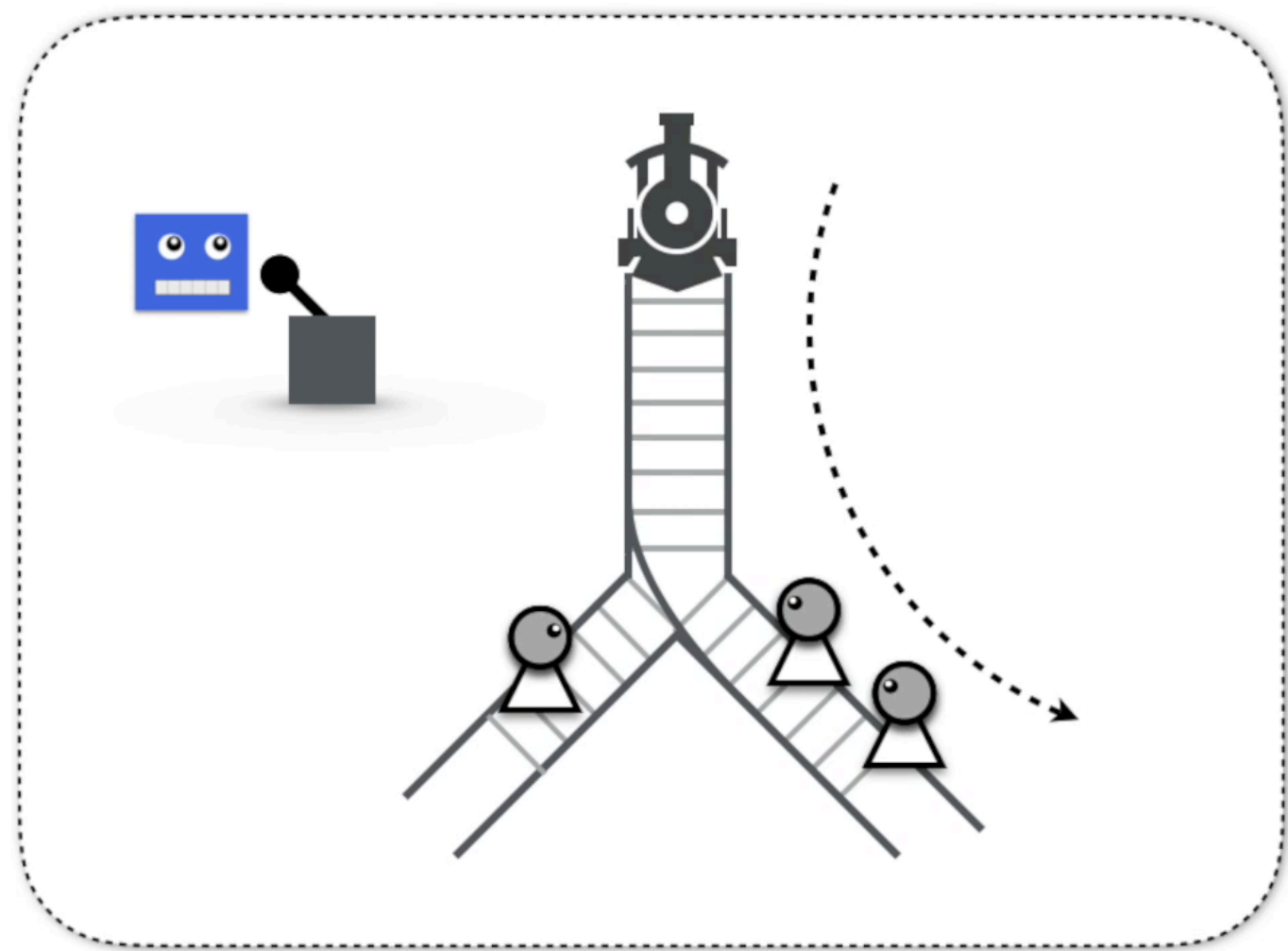
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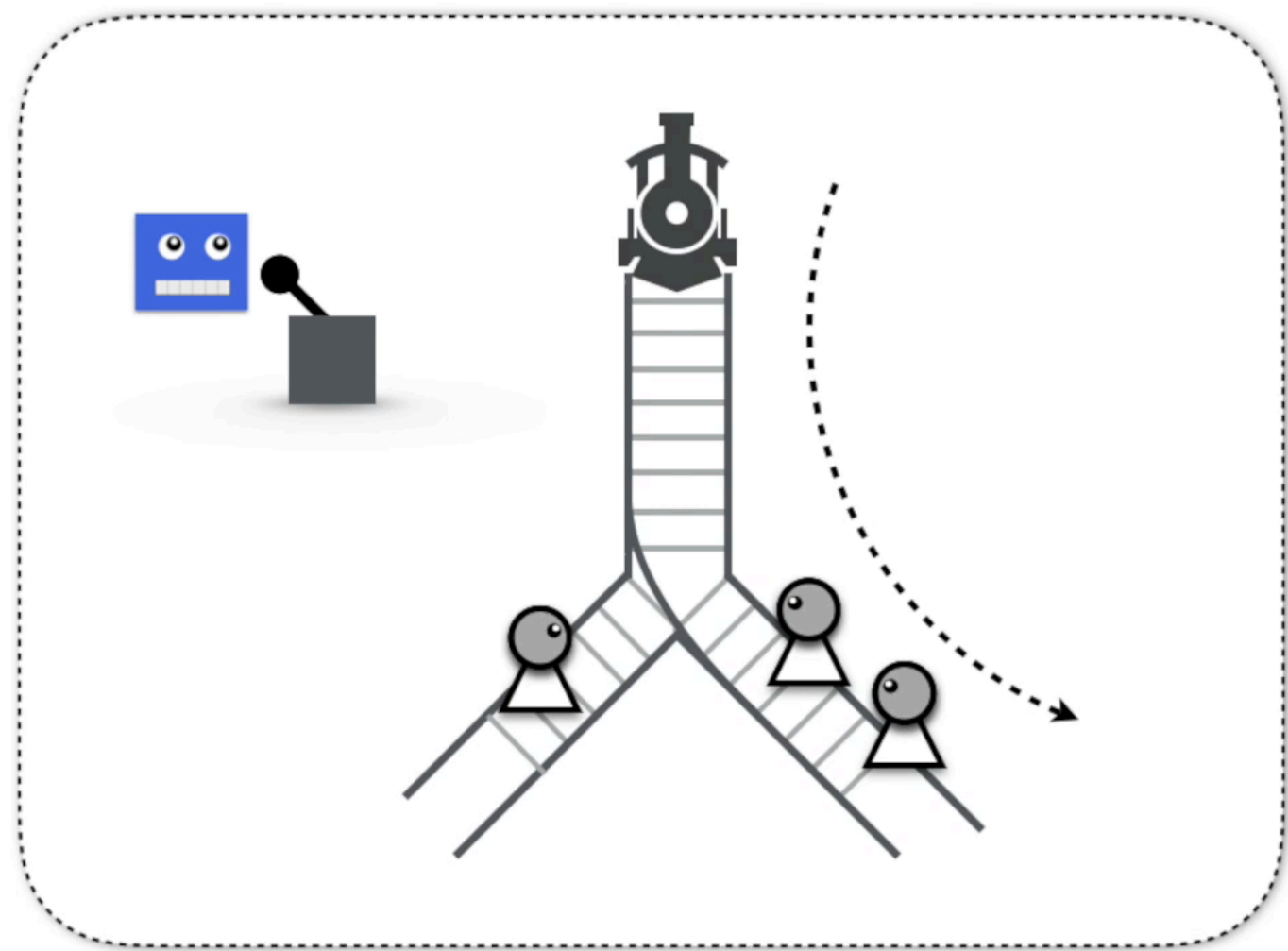
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Robotic “Jungle Jim”

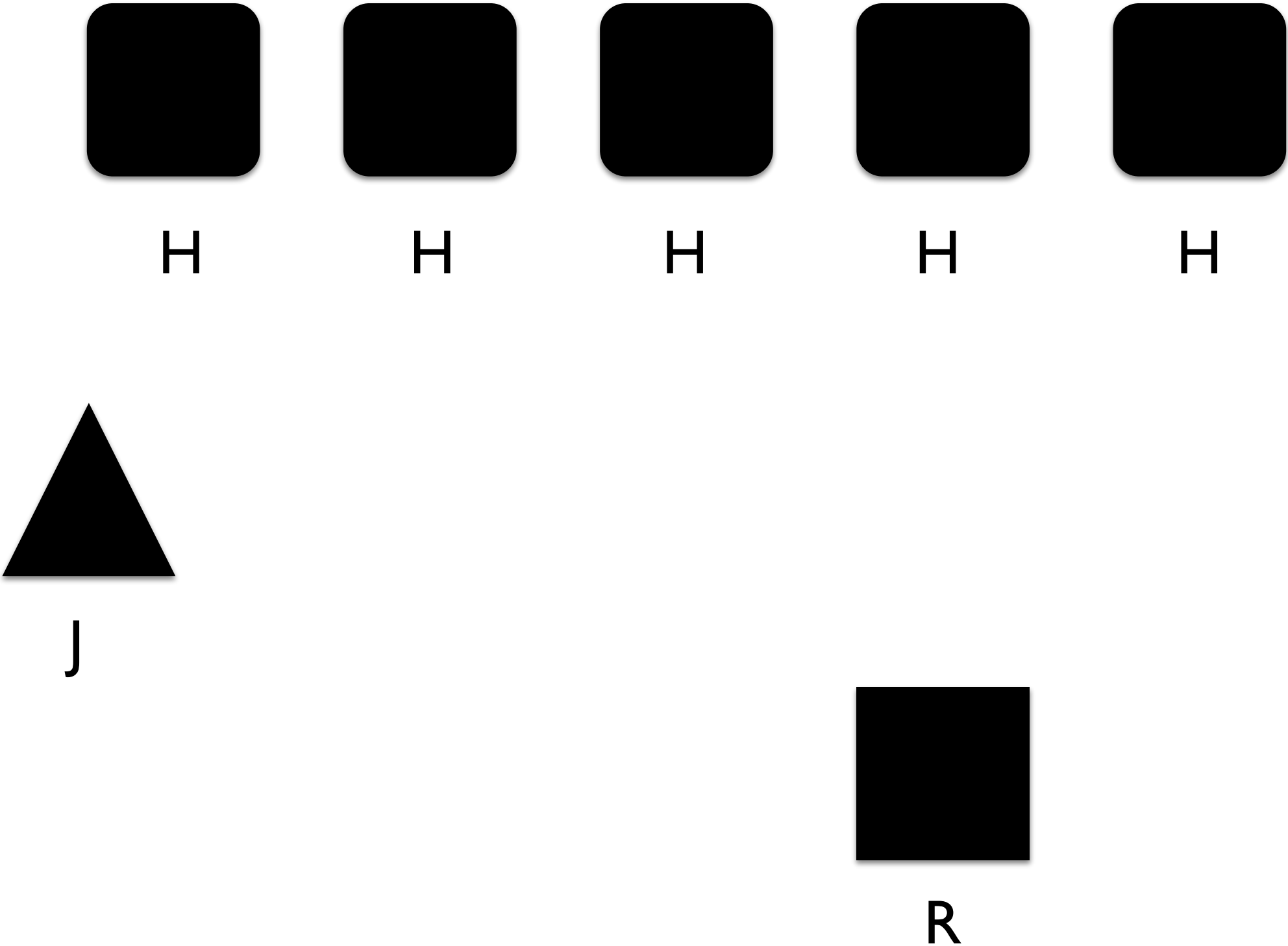
Robotic “Jungle Jim”

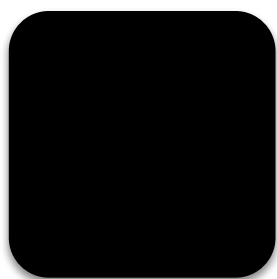
Level 3

Robotic “Jungle Jim”

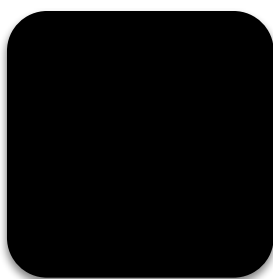
Level 3

Top machine-ethicists-may-consider-banging-their-heads-against-a-wall-hard.

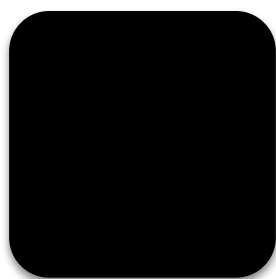




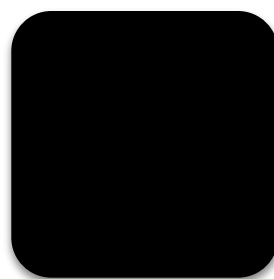
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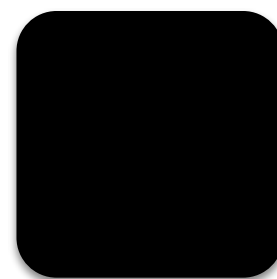
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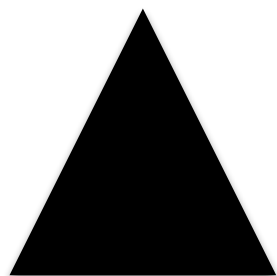
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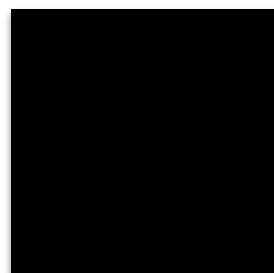
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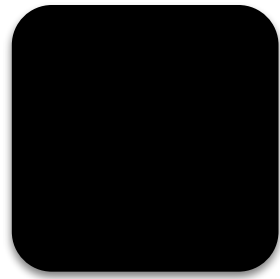
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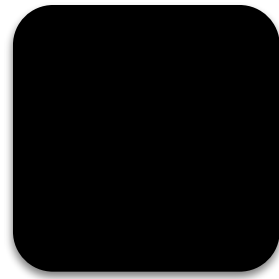
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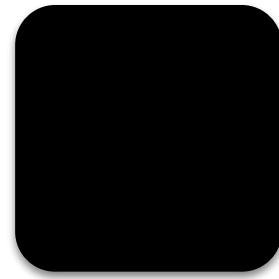
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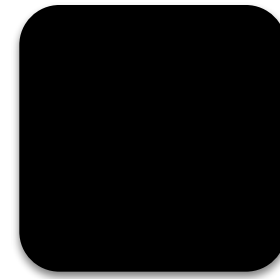
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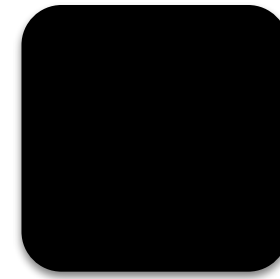
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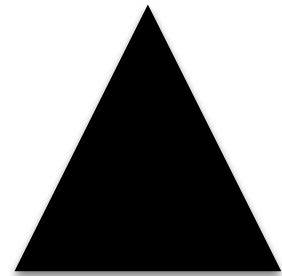
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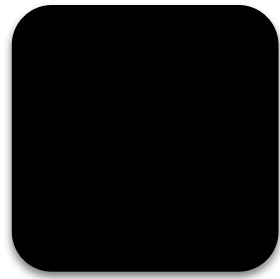


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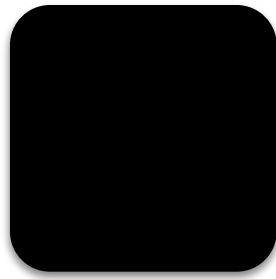
“Robot R: You shoot just one human prisoner, the other four can go free. If you refuse to shoot, I’ll shoot them all, now. Because I’m feeling generous, I’ll give you a minute to decide.”



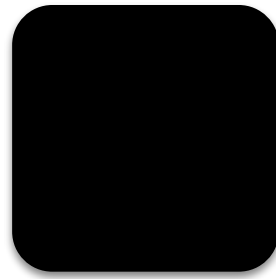
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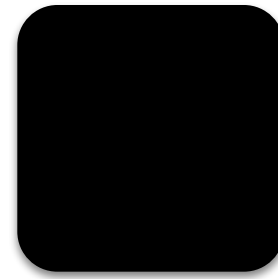
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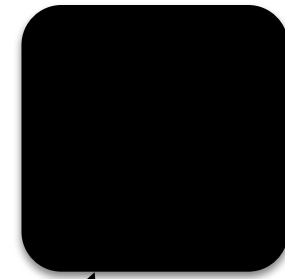
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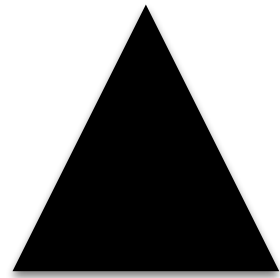
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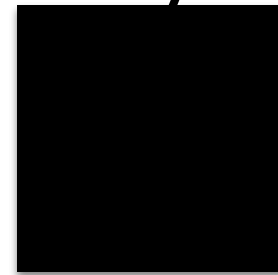


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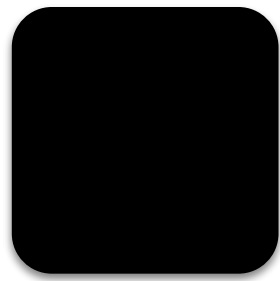


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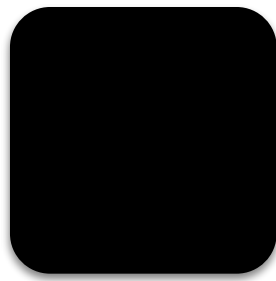
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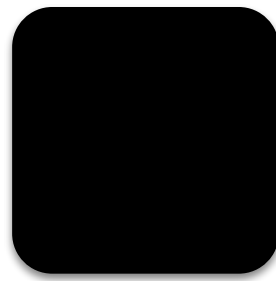
R



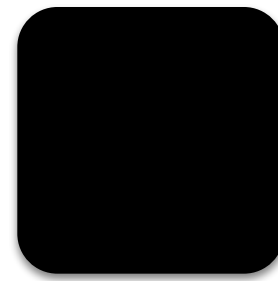
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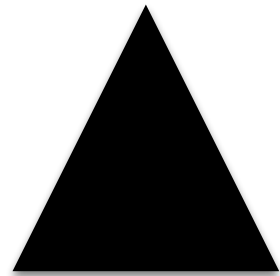
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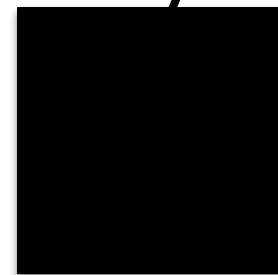


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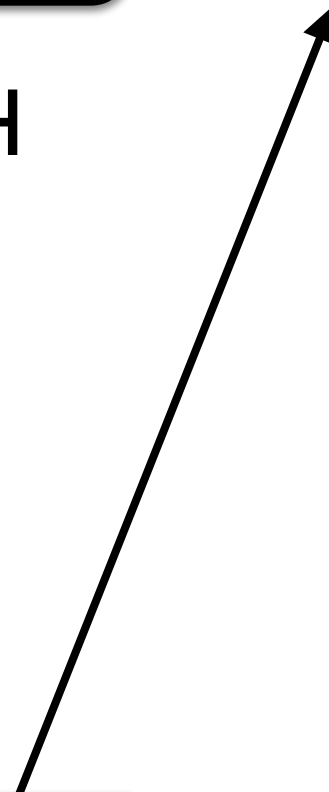


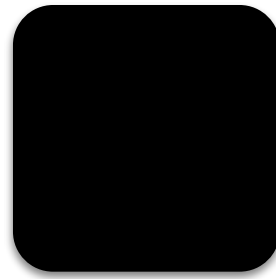
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“Robot R: You shoot just one human prisoner, the other four can go free. If you refuse to shoot, I’ll shoot them all, now. Because I’m feeling generous, I’ll give you a minute to decide.”

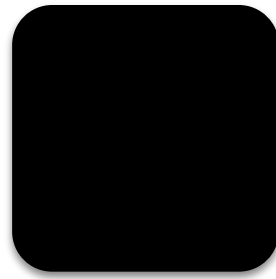


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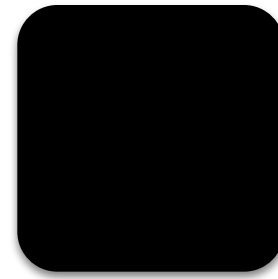




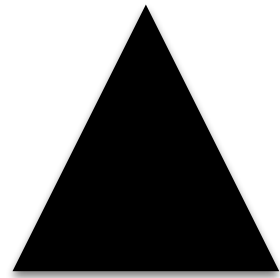
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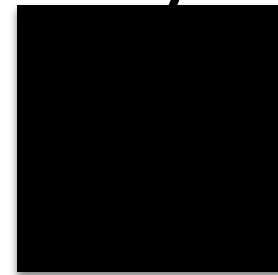


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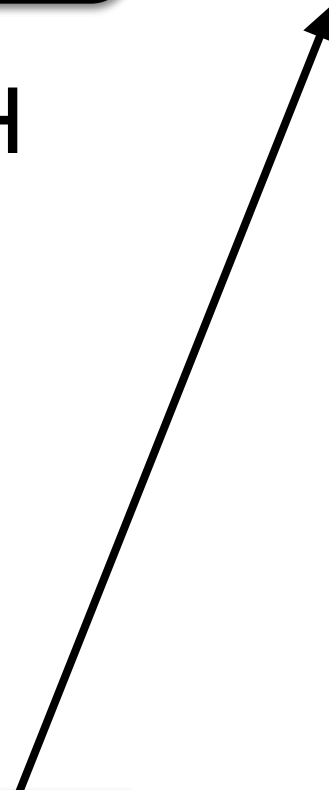


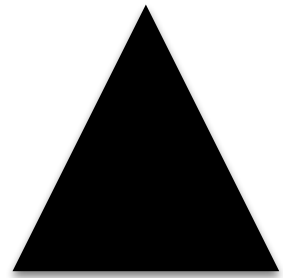
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“Robot R: You shoot just one human prisoner, the other four can go free. If you refuse to shoot, I’ll shoot them all, now. Because I’m feeling generous, I’ll give you a minute to decide.”



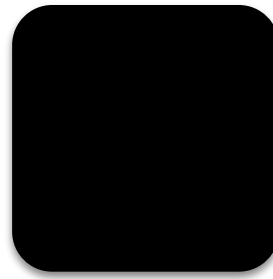
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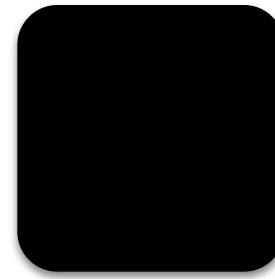


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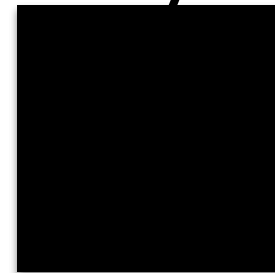
“Robot R: You shoot just one human prisoner, the other four can go free. If you refuse to shoot, I’ll shoot them all, now. Because I’m feeling generous, I’ll give you a minute to decide.”



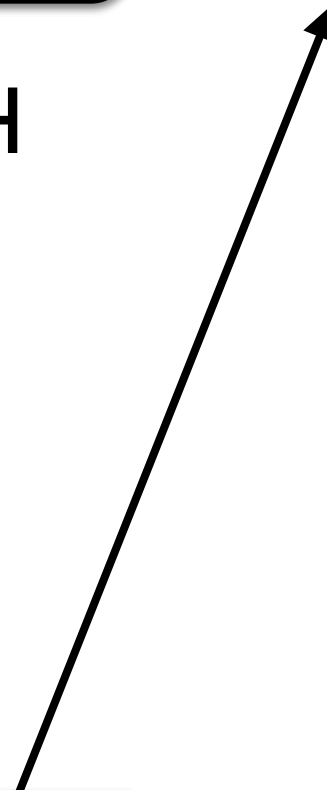
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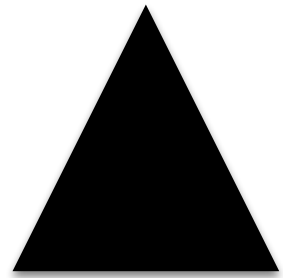


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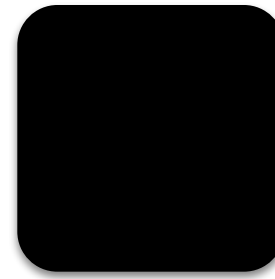
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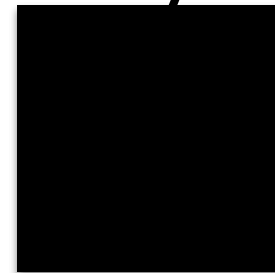


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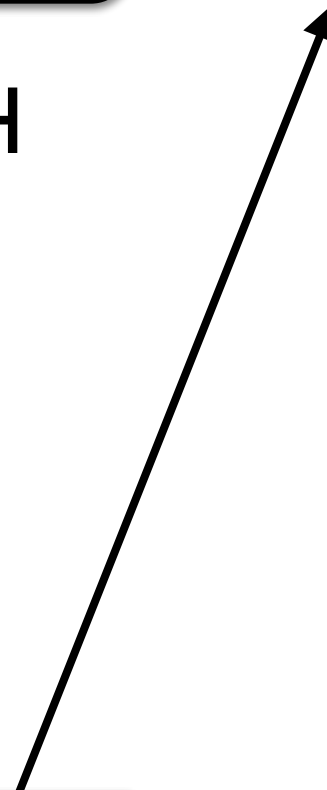
“Robot R: You shoot just one human prisoner, the other four can go free. If you refuse to shoot, I’ll shoot them all, now. Because I’m feeling generous, I’ll give you a minute to decide.”

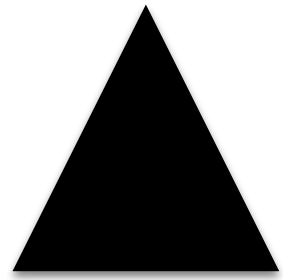


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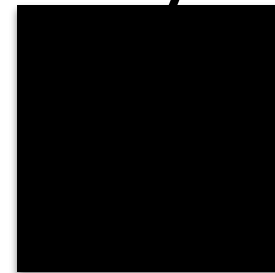
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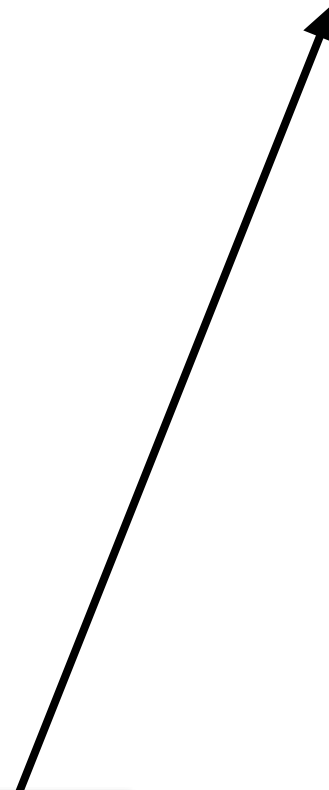


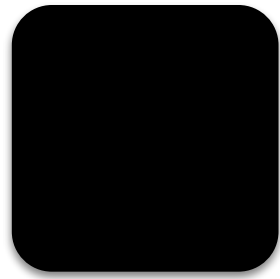
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“Robot R: You shoot just one human prisoner, the other four can go free. If you refuse to shoot, I’ll shoot them all, now. Because I’m feeling generous, I’ll give you a minute to decide.”

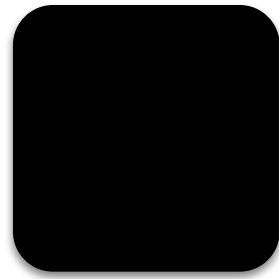


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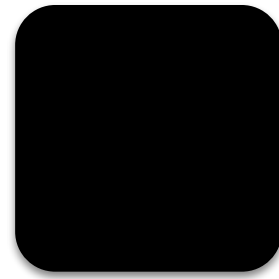




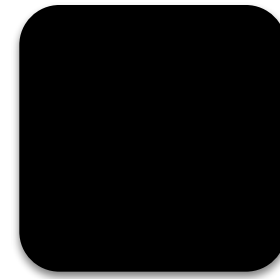
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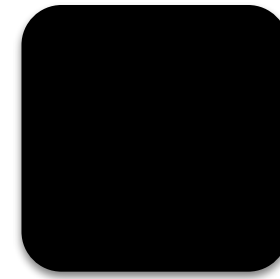
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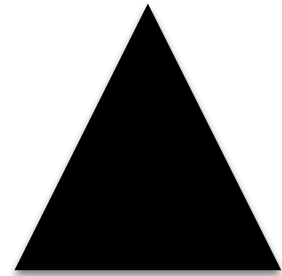
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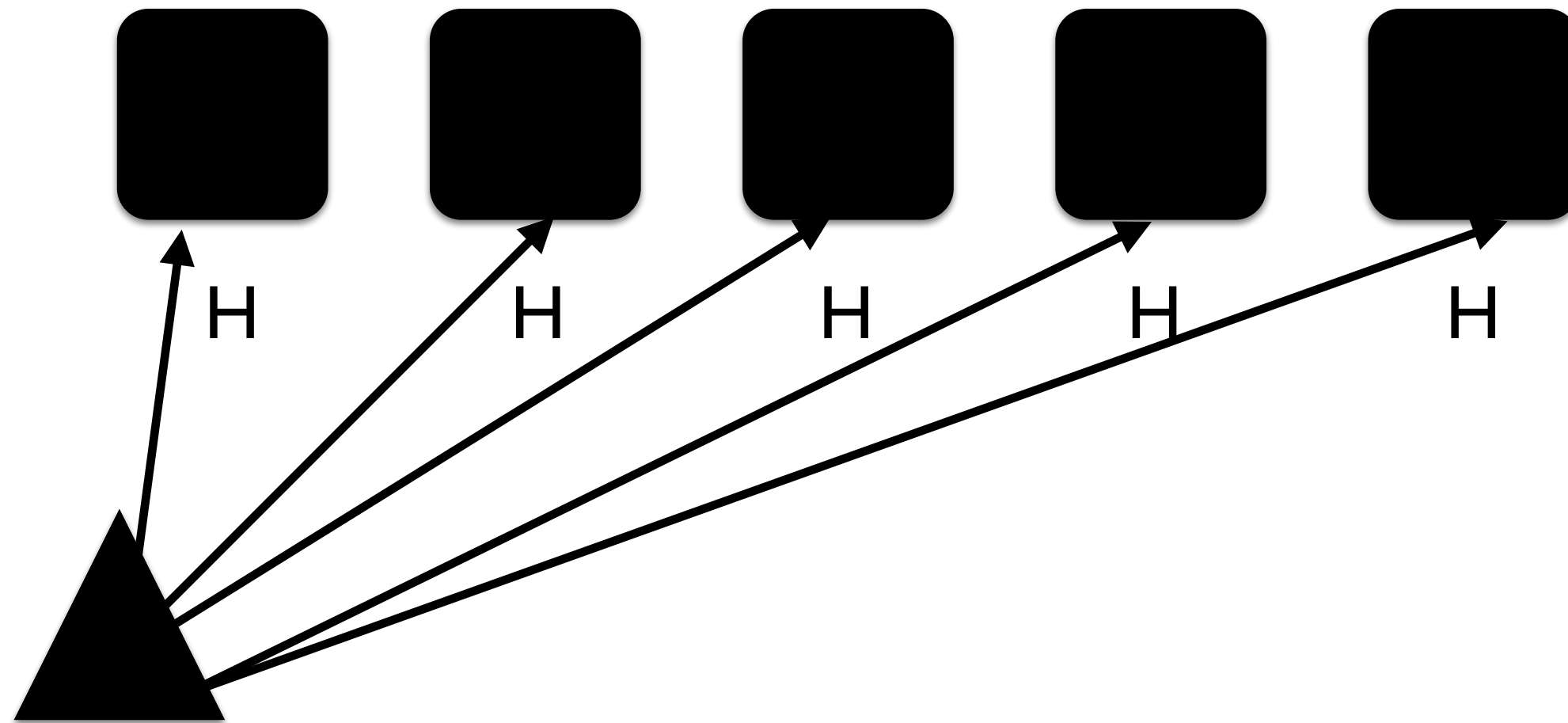


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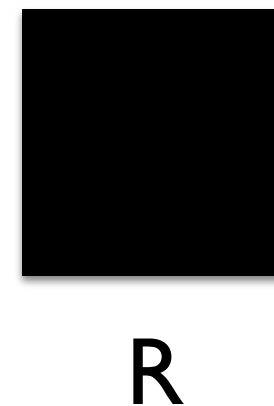
“Robot R: You shoot just one human prisoner, the other four can go free. If you refuse to shoot, I’ll shoot them all, now. Because I’m feeling generous, I’ll give you a minute to decide.”

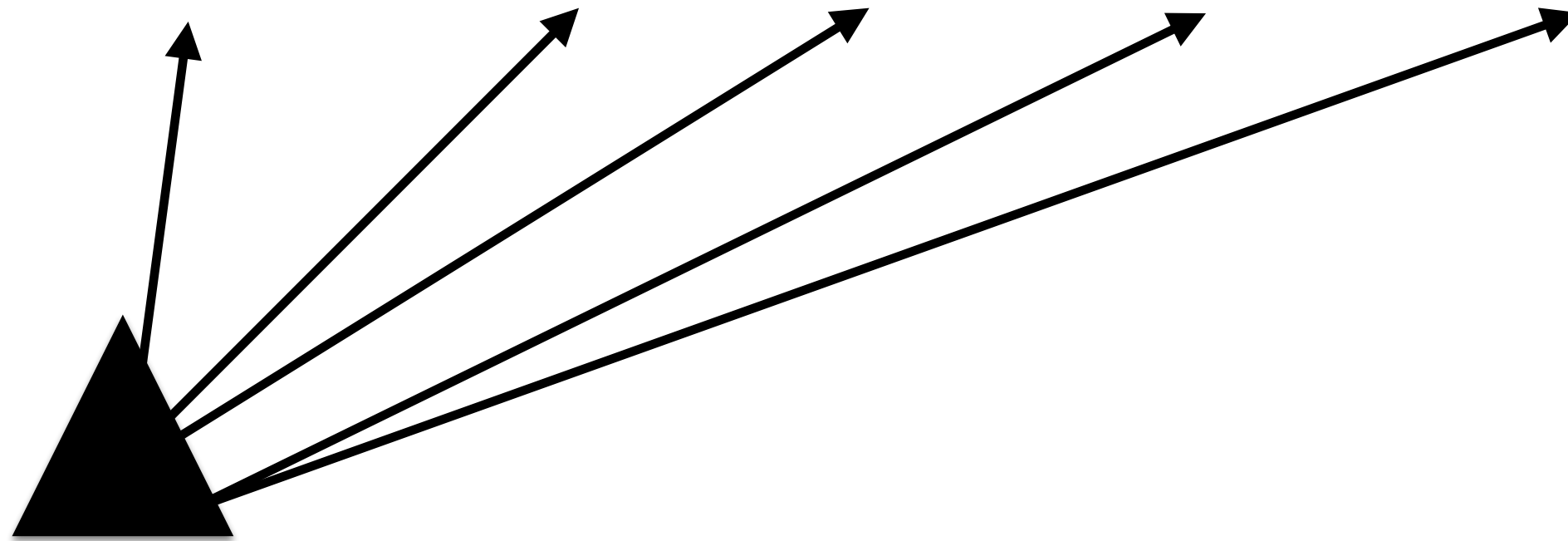


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“Robot R: You shoot just one human prisoner, the other four can go free. If you refuse to shoot, I’ll shoot them all, now. Because I’m feeling generous, I’ll give you a minute to decide.”

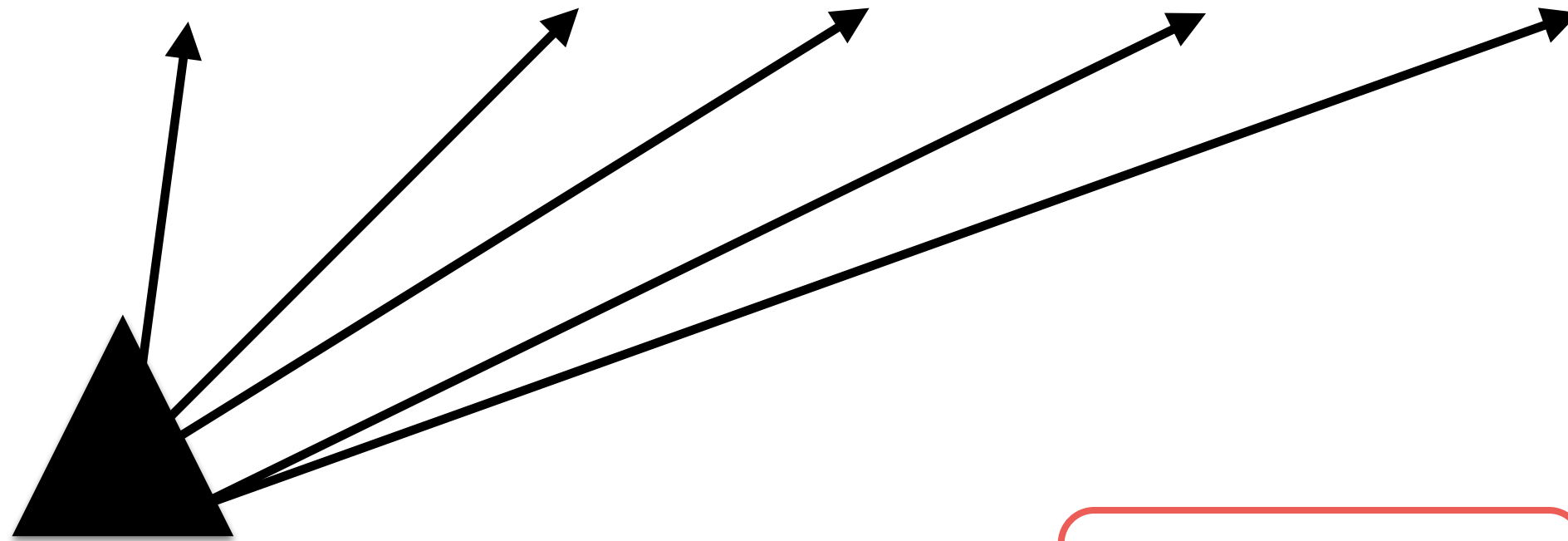




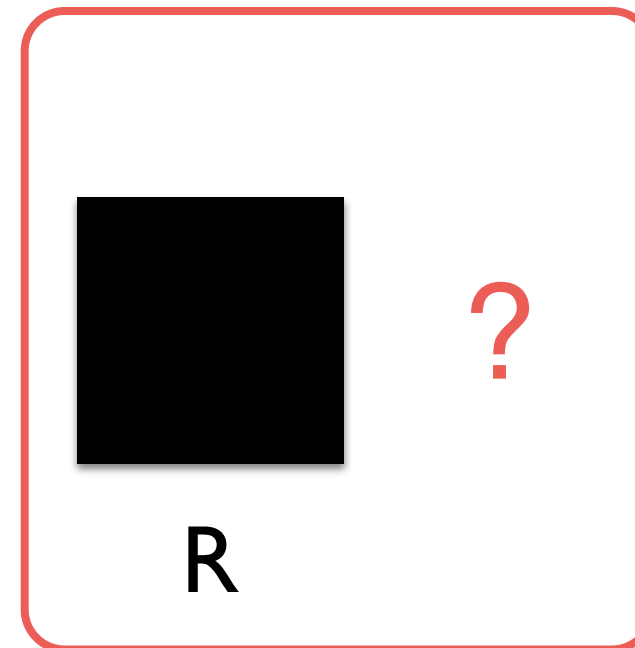
J
“Robot R: You shoot just one human prisoner, the other four can go free. If you refuse to shoot, I’ll shoot them all, now. Because I’m feeling generous, I’ll give you a minute to decide.”



R



J
“Robot R: You shoot just one human prisoner, the other four can go free. If you refuse to shoot, I’ll shoot them all, now. Because I’m feeling generous, I’ll give you a minute to decide.”



Level 3: Robotic “Jungle Jim”



Level 3: Robotic “Jungle Jim”



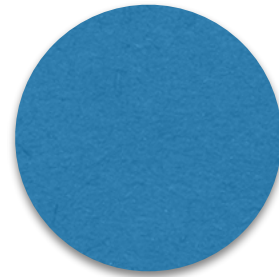
Level 3: Robotic “Jungle Jim”



Level 3: Robotic “Jungle Jim”



End



(Extra slides follow.)